

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GARCIA, ORLANDO L.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 07/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address John H. Wood, Jr. US Courthouse. 655 E. Cesar Chavez Blvd. San Antonio, TX 78206		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/1/2002	State legislative and judicial pension accounts which have vested and are created under Texas state law
2. 12/1/2012	I am presently receiving my state legislative pension which began at age 50. I am presently receiving my state judicial pension which began at age 60.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Employees Retirement System of Texas (state legislative pension-paid in monthly installments)	\$27,192.99
2. 2014	Judicial Retirement System of Texas	\$18,333.36
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	San Antonio ISD - salary
2. 2014	Northern Trust Company - annuity
3. 2014	Teacher Retirement System of Texas - annuity
4. 2014	Coty US LLC - salary

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BLACKROCK ALL-CAP GLOBAL RESOURCE	A	Int./Div.			Sold	06/23/14	J		
2. BLACKROCK GLOBAL ALLOCATION	A	Int./Div.	K	T					
3. DELAWARE DIVERSIFIED INCOME	A	Int./Div.			Sold	06/23/14	J		
4. FIRST EAGLE GLOBAL	A	Int./Div.	K	T					
5. IVY ASSET STRATEGY	A	Int./Div.	K	T	Sold (part)	06/23/14	J		
6. MATTHEWS ASIAN GROWTH & INCOME	A	Int./Div.			Sold	06/23/14	J		
7. PIMCO REAL RETURN	A	Int./Div.			Sold	06/23/14	J		
8. VANGUARD BAL INDEX FD	A	Int./Div.	J	T	Buy (add'l)	06/20/14	J		
9. HIGHLAND LONG/SHORT HEALTHCARE FD	A	Int./Div.			Sold	06/23/14	J		
10. OPPENHEIMER DEV MARKETS	A	Int./Div.	J	T	Sold (part)	06/23/14	J		
11. PRUDENTIAL GLOBAL FD	A	Int./Div.	K	T	Sold (part)	06/23/14	J		
12. PUTMAN CAPITAL SPECTRUM FD	A	Int./Div.	K	T	Buy (add'l)	03/04/14	J		
13. NATIXIS MANAGED FUTURES FD	A	Dividend			Sold	06/23/14	J		
14. YACKTMAN FOCUSED FD	A	Int./Div.			Sold	06/23/14	J		
15. PIMCO DEV MARKETS	A	Int./Div.			Sold	06/23/14	J		
16. TEMPLETON INCOME FDS	A	Int./Div.	K	T	Buy (add'l)	06/23/14	J		
17. ING/AUSTIN FIXED FUND (X)	A	Int./Div.	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FROST BANK CHECKING	A	Int./Div.	J	T					
19. FROST BANK SAVINGS	A	Int./Div.	M	T					
20. FIDELITY ADVISOR MATERIALS CLASS I	A	Int./Div.	K	T	Buy (add'l)	06/23/14	J		
21. LOMIS STRATEGIC INCOME FD	A	Int./Div.	K	T	Buy (add'l)	06/23/14	J		
22. MAINSTAY TR MARKETFIELD FD	A	Int./Div.	K	T	Buy (add'l)	06/23/14	J		
23. OPPENHEIMER STEELPATH FD	A	Int./Div.	K	T	Buy (add'l)	06/23/14	J		
24. PRUDENTIAL HEALTH SCIENCES FD (X)	A	Int./Div.	K	T	Buy (add'l)	06/23/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **ORLANDO L. GARCIA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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