

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, David C.	2. Court or Organization U.S. District Court	3. Date of Report 08/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust (Trust #1)
2.	Trustee	Article 5 Trust
3.	Trustee	Article 6 Trust
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Fulton County Medical Examiner, Atlanta, GA. - Salary
2. 2012	Web MD - Editorial Stipend
3. 2012	Private Consulting
4. 2012	Medical Director, Life Point of SC

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Louisiana State Bar	11/28/12 to 12/01/12	New Orleans, LA	Activity of professional association	Transportation, lodging & food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc		None	L	T					
3. Alcoa Inc	A	Dividend	J	T					
4. Allianz Life Franklin Valuemark	A	Dividend	L	T					
5. Altria Group	A	Dividend	J	T					
6. American Express Co	A	Dividend	J	T					
7. Amgen Inc	A	Dividend	J	T					
8. AT&T Inc #1	A	Dividend	J	T					
9. AT&T Inc #2	A	Dividend	J	T					
10. AT&T Inc #3	A	Dividend	K	T					
11. Bank of America	A	Dividend	K	T					
12. Bank of New York Mellon Corp	A	Dividend	J	T					
13. Berkshire Hathaway Inc C1 B		None	J	T					
14. BP PLC Spons ADR	A	Dividend	K	T					
15. Bristol Myers Squibb	A	Dividend	J	T					
16. American Cap Wrld Gr & Inc A #1	A	Dividend	K	T	Buy (add'l)	04/02/12	J		
17. American Cap Wrld Gr & Inc A #2	A	Dividend	K	T	Buy (add'l)	04/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. American Cap Wrld Gr & Inc A #3	A	Dividend	K	T					
19. American Cap Wrld Gr & Inc A #4	A	Dividend	K	T					
20. Chevron Corp #1	B	Dividend	J	T					
21. Chevron Corp #2	A	Dividend	K	T					
22. Chevron Corp #3	A	Dividend	K	T					
23. Cisco Sys Inc	A	Dividend	J	T					
24. Citibank Deposit Program #1	A	Interest	J	T					
25. Citibank Deposit Program #2	A	Interest	K	T					
26. Citibank Deposit Program #3	A	Interest	K	T					
27. Citigroup Inc	A	Dividend	J	T					
28. Coca-Cola Co	A	Dividend	J	T					
29. Comcast Corp CL A #1	A	Dividend	J	T					
30. Comcast Corp CL A #2	A	Dividend	J	T					
31. CSX Corp	A	Dividend	K	T					
32. Dell Inc		None	J	T					
33. Walt Disney Co #1	A	Dividend	J	T					
34. Walt Disney Co #2	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Walt Disney Co #3	A	Dividend	J	T					
36. Dover	A	Dividend	K	T					
37. Dow Chemical 31	A	Dividend	J	T					
38. Dow Chemical #2	A	Dividend	J	T					
39. Duke Energy	A	Dividend	K	T					
40. E I Dupont Co	A	Dividend	J	T					
41. EV SC Muni Income A	C	Interest	N	T					
42. Eli Lilly & Co #1	B	Dividend	K	T					
43. Eli Lilly & Co #2	A	Dividend	J	T					
44. Emerson Electric	A	Dividend	K	T					
45. Energy Transfer Partners LP #1		None	K	T					
46. Energy Transfer Partners LP #2		None	K	T					
47. Exxon Mobil Corp #1	A	Dividend	J	T					
48. Exxon Mobil Corp #2	B	Dividend	K	T					
49. First Federal of Charleston	C	Interest	O	T					
50. First Financial Holdings Inc #1	A	Dividend	M	T					
51. First Financial Holdings Inc #2	A	Dividend	K	T					

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52. General Electric Co #1	A	Dividend	K	T					
53. General Electric Co #2	A	Dividend	K	T					
54. General Electric Co #3	B	Dividend	L	T					
55. Goldman Sachs Group Inc	A	Dividend	J	T					
56. American Gr Fd of America A #1	A	Dividend	K	T					
57. American Gr Fd of America A #2	A	Dividend	K	T					
58. American Gr Fd of America A #3	A	Dividend	J	T					
59. Guided Therapeutics Inc		None	J	T					
60. Hartford Financial Services Grp	A	Dividend	J	T					
61. Hartford Capital Appreciation Fund (IRA)	B	Dividend	M	T					
62. Hewlett Packard Co	A	Dividend	J	T					
63. Home Depot Inc	A	Dividend	K	T					
64. Honeywell Intl Inc	A	Dividend	J	T					
65. IBM	A	Dividend	M	T					
66. Intel (IRA) #1	B	Dividend	K	T					
67. Intel #2	A	Dividend	J	T					
68. Johnson & Johnson	A	Dividend	J	T					

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69. Kimberly Clark	A	Dividend	J	T					
70. Kraft Foods Inc CL A	A	Dividend	J	T					
71. Legg Mason Clrbidge Agg Gw C		None	J	T					
72. Legg Mason Cap Mgmt Val C	A	Dividend	K	T					
73. Lincoln National Corp	A	Dividend	J	T					
74. Lincoln National Legacy II	A	Dividend	O	T					
75. Lincoln American Legacy View	A	Dividend	M	T					
76. MFS South Carolina Muni Bond CL A	A	Interest	M	T					
77. Mass Mutual Life Ins		None	J	T					
78. McDonalds Corp	A	Dividend	K	T					
79. Merck & Co Inc New	A	Dividend	J	T					
80. Metlife TVL Metlife Portfolioaccess	A	Dividend	M	T					
81. Metlife TVL Marquis Portfolios	A	Dividend	N	T					
82. Microsoft Corp	A	Dividend	J	T					
83. JP Morgan Chase & Co	A	Dividend	J	T					
84. Motorola Solutions Inc	A	Dividend	J	T		01/07/11	J		
85. 3M Company A	A	Dividend	K	T					

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86. Nokia Corp		None	J	T					
87. Norfolk Southern	B	Dividend	L	T					
88. North Carolina Rental Property 100% Transylvania County, NC	B	Rent	P1	W					
89. Paragon Life Fixed Fund		None	J	T					
90. Pepsico Inc	A	Dividend	J	T					
91. Pfizer Inc #1	A	Dividend	J	T					
92. Pfizer Inc #2	A	Dividend	J	T					
93. Phillip Morris Intl Inc	A	Dividend	J	T					
94. Proctor & Gamble #1	A	Dividend	K	T					
95. Proctor & Gamble #2	A	Dividend	K	T					
96. Progress Energy	A	Dividend	J	T	Merged (with line 39)	07/02/12	J		
97. Putnam Tax Smart FDS Equity FD C1 B	A	Dividend	M	T					
98. Safeway Inc New	A	Dividend	J	T					
99. SCANA Corp	A	Dividend	K	T					
100. SCBT	A	Dividend	K	T					
101. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
102. South Carolina State Retirement Plan	B	Dividend	M	T					

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103. Suntrust Banks Inc	A	Dividend	L	T					
104. Synovus Financial Corp	A	Dividend	J	T					
105. Texas Instruments Inc	A	Dividend	J	T					
106. Time Warner Inc	A	Dividend	J	T					
107. Total System Services Inc	A	Dividend	J	T					
108. Travelers Companies Inc	A	Dividend	J	T					
109. Unit First Trust Dow Target 10 May 2012 R	A	Dividend	K	T	Sold (part)	05/02/12	K	A	
110. Unit First Trust Dow Target 10 May 2012 R	A	Dividend	K	T	Buy (add'l)	05/04/12	K		
111. Verizon #1	A	Dividend	J	T					
112. Verizon #2	A	Dividend	J	T					
113. Washington Mutual Inc	A	Dividend	J	T					
114. American WA Mutual A #1	B	Dividend	M	T					
115. American WA Mutual A #2	B	Dividend	L	T					
116. American WA Mutual A #3	A	Dividend	J	T					
117. Wells Fargo & Co New #1	A	Dividend	K	T					
118. Wells Fargo & Co New #2	A	Dividend	J	T					
119. Zimmer Holdings Inc		None	J	T					

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120. Trust #1 - Royal Dutch Shell	A	Dividend	L	T					
121. Trust #1 - Allegheny Energy	A	Dividend	K	T					
122. Trust #1 - Idearc	A	Int./Div.	J	T					
123. Trust #1 - Eli Lilly	A	Dividend	K	T					
124. Trust #1 - Pepco Holdings Inc	A	Dividend	J	T					
125. Trust #1 - Lain Farm	E	Rent	P1	W					
126. Mondelez Intl Inc Com		None	J	T	Spinoff (from line 70)	10/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 88 is located in Transylvania County, North Carolina, USA.

2. Trust # I consist of : Royal Dutch Shell value L

Allegheny Energy value K

Idearc value J

Eli Lilly value K

Pepco Holdings Inc value J

value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA.

3. Lines 17-19 - Capital World Growth & ncome Fd CL A, name changed to American Cap Wrld Gr & Inc A.

4. Line 39 - Duke Energy was formed due to the merger with Progress Energy on 07/02/12.

5. Lines 56-58 - Growth Fund of America CL a name changed to American Gr Fd of America A.

6. Line 71 - Legg Mason Partners Aggressive Growth Fd Cl C name changed to Legg Mason Clrbridge Agg Gw C

7. Line 72 - Legg Mason Value Trust Inc name changed to Legg mason Cap Mgmt Val C.

8. Line 75 - Lincoln American Legacy View erroneously omitted in 2011.

9. Line 80 - Metlife Life & Ann Co of Conn TLAC Port Arch name changed to Metlife TVL Portfolioaccess.

10. Line 81 - Metlife Life & Ann Co of Conn TLAC Marquiss Portfolio name changed to Metlife TVL Portfolio.

11. Line 109-110 - UTS FPT Dow Target 10 name changed to Unit First Trust Dow Target 10 May 2012 R. Same item listed on line 109 & 110, shown twice to illustrate partial sale & partial purchase.

12. Line 113-115 - Washington Mutual Investors Fund CL A name changed to Washington WA Mutual A.

13. Article 5 Trust consist of lines: 10,18,20,27,31,4,42,45,48,51,54,57,65,84,87 & 117.

14. Article 6 Trust consist of lines:

3,5,6,7,9,11,12,13,16,22,23,28,3,32,35,38,39,41,47,53,55,60,62,63,64,67,68,69,70,76,78,79,82,83,86,90,91,93,94,98,105,106,108,111,112,114 & 116.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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