

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Babero, Laurel E.	2. Court or Organization United States Bankruptcy Court, District of Nevada	3. Date of Report 10/25/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court District of Nevada 300 Las Vegas Boulevard South Las Vegas, NV 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	The Platypus Trust DTD 01-22-16
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank #1	A	Interest	J	T					
2. Wells Fargo Bank #2 (Y)									
3. Trust - LPL Financial Brokerage Account: (H)									
4. -Insured Cash Account Morgan Stanley Bank N.A.		None	J	T					
5. -JPMorgan California Municipal Money Market Fund	A	Dividend	K	T					
6. -First Trust North American Energy Infrastructure ETF EMLP		None			Sold	02/23/17	K	B	
7. -Pimco Dynamic Credit & Mortgage Income Fund PCI	B	Dividend			Sold	03/28/17	K	B	
8.					Buy	07/14/17	K		
9.					Sold	11/30/17	K		
10. -ETF Ser Solutions US Global Jets ETF JETS		None			Sold	03/20/17	K	C	
11. -Pimco Low Duration Income CI P PFTPX (see Part VIII)	A	Dividend			Sold	02/28/17	K	A	
12.					Buy	03/20/17	K		
13.					Sold	04/21/17	K	A	
14. -Wisdomtree Japan Hedged Financials Fund ETF DXJF		None			Sold (part)	01/17/17	J	B	
15.					Sold	01/19/17	K	A	
16. -Oppenheimer Senior Floating Rate CI Y OOSYX	A	Dividend			Sold	01/12/17	K	A	
17.					Buy	03/20/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	04/25/17	K		
19.	-Powershares S&P Small Cap Materials ETF PSCM	None			Sold	01/19/17	K		
20.	-SPDR S&P Regional Banking ETF KRE	A	Dividend		Sold	03/20/17	K	A	
21.	-First Trust Nasdaq ABA Community Bank ETF QABA		None		Sold	01/19/17	K		
22.					Buy	11/30/17	K		
23.					Sold	12/06/17	K		
24.	-Powershares S&P Small Cap Energy Energy ETF PSCE		None		Sold	01/19/17	K		
25.	-Oppenheimer Senior Floating Rate Plus Cl Y OSFYX	A	Dividend		Buy	01/12/17	K		
26.					Sold	02/28/17	K	A	
27.	-Global X Social Media Index ETF SOCL		None		Buy	01/27/17	K		
28.					Sold	03/24/17	K	B	
29.	-Global X Lithium & Battery Tech ETF LIT		None		Buy	01/27/17	K		
30.					Sold	02/28/17	K		
31.					Buy	07/14/17	J		
32.					Buy (add'l)	08/07/17	J		
33.					Sold	12/06/17	K	C	
34.	-Ishares MSCI Frontier 100 ETF FM		None		Buy	02/03/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	02/28/17	K		
36. -Ishares US Medical Devices ETF IHI	A	Dividend			Buy	02/23/17	K		
37.					Sold	03/24/17	K	A	
38. -Global X Robotics & Artificial Intelligence Thematic ETF BOTZ	A	Dividend	K	T	Buy	02/28/17	K		
39.					Sold	03/24/17	K	A	
40.					Buy	08/29/17	K		
41. -Oppenheimer Global High Yield Cl Y OGYX	A	Dividend			Buy	02/28/17	K		
42.					Sold	03/20/17	K		
43.					Buy	04/25/17	K		
44.					Sold (part)	07/14/17	K		
45.					Sold	07/18/17	K	A	
46. -Pimco High Yield Spectrum Cl P PHSPX	A	Dividend			Buy	02/28/17	K		
47.					Sold	03/20/17	K		
48. -SPDR S&P Aerospace & Defense ETF XAR	A	Dividend			Buy	02/28/17	K		
49.					Sold	03/22/17	K		
50. -ALPS Medical Breakthroughs ETF SBIO		None			Buy	03/20/17	K		
51.					Sold	03/24/17	K		

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52.					Buy	07/14/17	J		
53.					Sold	09/26/17	K	A	
54. -Rydex Guggenheim S&P 500 Equal Weight Technology ETF RYT		None			Buy	03/20/17	K		
55.					Sold	03/24/17	K		
56. -Western Asset Core Plus Bond C1 I WACPX	A	Dividend			Buy	03/28/17	K		
57.					Buy (add'l)	04/05/17	K		
58.					Sold	05/04/17	K	A	
59. -Pimco Income C1 P PONPX	A	Dividend	K	T	Buy	04/05/17	K		
60.					Sold (part)	04/25/17	K	A	
61.					Sold	04/26/17	K	A	
62.					Buy	07/18/17	K		
63.					Sold (part)	07/27/17	J	A	
64.					Sold	08/07/17	K	A	
65.					Buy	11/30/17	K		
66. -Pimco Diversified Income C1 P PDVPIX	A	Dividend			Buy	04/21/17	K		
67.					Sold (part)	07/14/17	J	A	
68.					Sold	07/18/17	K	A	

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69. -Pimco Emerging Loal Bond CI P PELPX	B	Dividend			Buy	04/25/17	K		
70.					Buy (add'l)	07/27/17	J		
71.					Buy (add'l)	08/29/17	J		
72.					Sold (part)	10/17/17	J	A	
73.					Sold	11/30/17	K		
74. -Pimco Foreign Bond Unhedged CI P PFUPX	A	Dividend	K	T	Buy	04/26/17	K		
75.					Buy (add'l)	07/27/17	J		
76.					Sold (part)	10/17/17	J	A	
77. -Western Asset Macro Opptys CI I LAOIX	B	Dividend	K	T	Buy	05/04/17	K		
78.					Sold (part)	07/14/17	J	A	
79.					Sold (part)	11/30/17	J	A	
80. -First Trust Dow Jones Internet Index ETF FDN		None			Buy	07/14/17	K		
81.					Sold	08/29/17	K		
82. -Oppenheimer Intl Bond CI Y OIBYX	A	Dividend	K	T	Buy	07/14/17	K		
83.					Buy (add'l)	07/27/17	K		
84.					Sold (part)	08/29/17	K	A	
85. -Powershares Golden Dragon China ETF PGJ	A	Dividend			Buy	07/14/17	J		

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86.					Buy (add'l)	08/07/17	J		
87.					Sold	11/30/17	K	A	
88. -Oppenheimer Emerging Markets Local Debt Cl Y OEMYX	A	Dividend			Buy	07/18/17	K		
89.					Buy (add'l)	08/29/17	K		
90.					Sold	11/30/17	K		
91. -iShares MSCI Europe Financials ETF EUFN		None			Buy	08/07/17	K		
92.					Sold	08/29/17	K		
93. -Exchange EMQQ Emerging Markets Internet & Ecommerce ETF EMQQ	A	Dividend	K	T	Buy	08/29/17	K		
94. -iShares US Home Construction ETF ITB	A	Dividend	K	T	Buy	10/17/17	K		
95. -iShares US Broker-Dealers & Securities Exchanges ETF IAI	A	Dividend	K	T	Buy	11/30/17	K		
96. -SPDR Series Trust S&P Retail ETF XRT	A	Dividend	K	T	Buy	11/30/17	K		
97. LPL Financial Retirement Account (H)									
98. -Cash (Y)									
99. -US Bank N.A. Deposit Cash Account	A	Interest	M	T					
100. -First Trust North American Energy Infrastructure ETF EMLP		None			Sold	02/23/17	L	C	
101. -Pimco Dynamic Credit & Mortgage Income Fund PCI	C	Dividend			Sold	03/28/17	M	D	
102. -Oppenheimer Senior Floating Rate Cl Y OOSYX	C	Dividend			Sold	01/12/17	M	B	

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103.					Buy	03/20/17	M		
104.					Sold (part)	04/25/17	L		
105.					Sold (part)	07/14/17	K		
106.					Sold	08/07/17	K		
107. -Pimco Low Duration Income Cl P PFTPX (see Part VIII)	B	Dividend			Sold	02/28/17	L	B	
108.					Buy	03/20/17	M		
109.					Sold	04/21/17	M	A	
110. -Ishares U S Broker-Dealers & Securities Exchanges ETF IAI	A	Dividend			Sold	03/22/17	M		
111.					Buy	07/14/17	L		
112.					Sold	08/29/17	L		
113. -Ishares U S Broker-Dealers & Securities Exchanges ETF IAI	A	Dividend	L	T	Buy	11/30/17	L		
114. -Powershares S&P Small Cap Materials ETF PSCM		None			Sold	01/19/17	M		
115. -SPDR S&P Regional Banking ETF KRE		None			Sold	01/19/17	M		
116. -First Trust Nasdaq ABA Community Bank ETF QABA		None			Sold	01/19/17	L		
117. -First Trust RBA American Intl Renaissance ETF AIRR		None			Buy (add'l)	01/12/17	J		
118.					Sold	01/19/17	L		
119. -Powershares S&P Small Cap Energy ETF PSCE		None			Sold (part)	01/27/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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Babero, Laurel E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	02/01/17	L		
121. -Oppenheimer Senior Floating Rate Plus Cl Y OSFYX	B	Dividend			Buy	01/12/17	M		
122.					Sold	02/28/17	M	A	
123. -Global X Social Media Index ETF SOCL		None	L	T	Buy	01/27/17	L		
124.					Sold	03/24/17	L	C	
125.					Buy	07/14/17	L		
126. -Global X Lithium New ETF LIT		None			Buy	01/27/17	L		
127.					Sold	02/28/17	L		
128.					Buy	08/29/17	L		
129.					Sold	12/06/17	L	D	
130. -Powershares MSCI Frontier100 ETF FM		None			Buy	02/01/17	L		
131.					Sold	02/28/17	L		
132. -ETF Managers Purefunds ISE Cyber Sec ETF HACK		None			Buy	02/03/17	L		
133.					Sold	03/09/17	L		
134. -First Trust Dow Jones Internet Index ETF FDN		None			Buy	02/03/17	L		
135.					Sold	03/23/17	L	B	
136. -Ishares US Medical Devices ETF IHI	A	Dividend			Buy	02/23/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	03/24/17	L	B	
138.					Buy	07/14/17	L		
139.					Sold	07/27/17	L		
140. -Global X Robotics & Artificial Intelligence Thematic ETF BOTZ		None	L	T	Buy	02/28/17	L		
141.					Sold	03/24/17	L	C	
142.					Buy	07/27/17	K		
143.					Buy (add'l)	08/07/17	K		
144. -Oppenheimer Global High Yield Cl Y OGYYX	B	Dividend			Buy	02/28/17	M		
145.					Sold	03/20/17	M		
146.					Buy	04/25/17	L		
147.					Sold (part)	07/14/17	K		
148.					Sold	07/18/17	K	A	
149.					Buy	10/17/17	K		
150.					Sold	11/30/17	K		
151. -Pimco High Yield Spectrum Cl P PHSPX	A	Dividend			Buy	02/28/17	L		
152.					Sold	03/20/17	L		
153. -SPDR S&P Aerospace & Defense EFT XAR	A	Dividend			Buy	02/28/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	03/20/17	L		
155. -ALPS Medical Breakthroughs ETF SBIO		None			Buy	03/09/17	L		
156.					Sold	03/22/17	L		
157. -Western Asset Core Plus Bond C1 I WACPX	A	Dividend			Buy	03/28/17	M		
158.					Buy (add'l)	04/05/17	K		
159.					Sold	05/04/17	M	A	
160. -Pimco Income C1 P PONPX	C	Dividend	L	T	Buy	04/05/17	M		
161.					Sold (part)	04/25/17	L	A	
162.					Buy (add'l)	07/14/17	L		
163.					Sold (part)	07/27/17	K	A	
164.					Sold (part)	08/07/17	K	A	
165.					Sold (part)	08/29/17	K	A	
166.					Buy (add'l)	10/17/17	K		
167. -Pimco Diversified Income C1 P PDVPX	C	Dividend	L	T	Buy	04/21/17	M		
168.					Sold (part)	07/14/17	L	A	
169. -Pimco Emerging Local Bond C1 P PELPX	C	Dividend	L	T	Buy	04/25/17	L		
170.					Buy (add'l)	07/27/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/29/17	K		
172.					Sold (part)	10/17/17	L	B	
173. -Western Asset Macro Opptys C I I LAOIX	D	Dividend	M	T	Buy	05/04/17	M		
174.					Sold (part)	08/29/17	J	A	
175. -EMQQ Emerging Markets Internet & Ecommerce ETF EMQQ	A	Dividend	L	T	Buy	07/14/17	K		
176.					Buy (add'l)	08/07/17	K		
177. -Oppenheimer Emerging Markets Local Debt C I Y OEMYX	B	Dividend			Buy	07/14/17	K		
178.					Buy (add'l)	07/27/17	L		
179.					Buy (add'l)	08/29/17	K		
180.					Sold (part)	10/17/17	K	A	
181.					Sold	11/30/17	L		
182. -Pimco Income Strategy Fund PFL	C	Dividend			Buy	07/14/17	L		
183.					Sold	11/30/17	L		
184. -Oppenheimer Steelpath MLP Income CL Y MLPZX	A	Dividend			Buy	07/14/17	K		
185.					Sold	08/07/17	K		
186. -Oppenheimer Intl Bond C I Y OIBYX	B	Dividend	M	T	Buy	07/18/17	K		
187.					Buy (add'l)	07/27/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Babero, Laurel E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	08/29/17	K	A	
189.					Buy (add'l)	11/30/17	K		
190. -Ishares U S Medical Financials ETF EUFN		None			Buy	07/27/17	K		
191.					Buy (add'l)	08/07/17	K		
192.					Sold	08/29/17	L		
193. -ETF Managers ETFMG Drone Economy Strategy ETF IFLY	A	Dividend			Buy	08/29/17	L		
194.					Sold	10/17/17	L	C	
195. -Vaneck Vectors Gold Miners ETF GDX		None			Buy	08/29/17	K		
196.					Sold	11/02/17	K		
197. -ETF SER Solutions U S Global Jets ETF JETS		None			Buy	10/17/17	L		
198.					Sold	10/27/17	L		
199. -Powershares Dynamic Semiconductors ETF PSI		None			Buy	10/27/17	L		
200.					Sold	11/30/17	L		
201. -Ishares PHLX Semiconductor ETF SOXX		None			Buy	11/02/17	K		
202.					Sold	12/06/17	K		
203. -Ishares US Home Construction ETF ITB	A	Dividend	L	T	Buy	11/30/17	L		
204. -Oppenheimer Global Opptys Cl Y OGIYX	B	Dividend	L	T	Buy	11/30/17	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Babero, Laurel E.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Lines 11-13 and 107-109: The name of the security changed from Pimco Floating Rate Income Cl P (as reported on the 2016 report) to Pimco Low Duration Income Cl P.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Laurel E. Babero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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