

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHELL, RICHARD A.	2. Court or Organization U.S. DISTRICT COURT, EDETX	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. COURTHOUSE 7940 PRESTON ROAD PLANO, TEXAS 75024		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Visiting Professor	SMU Dedman School of Law
2. Director	Baylor Medical Center at McKinney, TX
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	SMU Dedman School of Law - teaching	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank	A	Interest	J	T					
2. Capital One Bank	B	Interest	M	T					
3. Community Trust Bank	B	Interest	N	T	Open	03/23/11	M		
4.					Open	10/12/11	L		
5. Legacy Texas Bank (X)	A	Interest	M	T	Open	05/05/11	M		
6. Ally Demand Notes	A	Interest	K	T					
7. Wells Fargo	A	Interest	L	T					
8. Vanguard Midcap	A	Dividend			Sold	03/07/11	K	E	
9. Vanguard Total Stock Market	A	Dividend	K	T					
10. BP - common stock	A	Dividend	K	T					
11. COP - common stock (X)	A	Dividend	J	T					
12. F - common stock (X)	A	Dividend	J	T					
13. JNJ - common stock (X)	A	Dividend	J	T					
14. JPM - common stock (X)	A	Dividend	K	T					
15. WMT - common stock (X)	A	Dividend	K	T					
16. Beech Grove IN Multi School Bldg - muni bond (X)		None	J	T					
17. Brownsburg IN Bldg Corp - muni bond (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 R = More than \$5,000,000	M = \$100,001 - \$250,000 P = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Carmel IN High School Bldg Corp - muni bond (X)	A	Interest	K	T					
19. Eagle-Union Middle School Bldg Corp - muni bond (X)		None	J	T					
20. Evansville - Vanderbrgh IN School Bldg Corp - muni bond (X)	A	Interest	K	T					
21. Fishers IN Redev Auth- muni bond (X)	A	Interest	J	T					
22. Greater Jasper IN School Bldg Corp - muni bond (X)		None	J	T					
23. Hammond IN Multi-School Bldg Corp - muni bond (X)	A	Interest	J	T					
24. Indiana Univ Revs Student Fee - muni bond (X)	A	Interest			Redeemed	08/25/11	J	A	
25. IPS Multi-School Bldg Corp - muni bond (X)	A	Interest	J	T					
26. Ivy Tech St. College - muni bond (X)	A	Interest	J	T					
27. Knox IN Middle School Bldg Corp - muni bond (X)		None	J	T					
28. Knox IN Middle School Bldg Corp - muni bond (X)		None	K	T					
29. Lake County IN Pub. Libr. Dist. - muni bond (X)	A	Interest	J	T					
30. MCCSC IN School Bldg Corp. - muni bond (X)		None	J	T					
31. Noblesville IN High School Bldg. Corp. - muni bond (X)		None	K	T					
32. Plainfield IN Elem. School Bldg Corp - muni bond (X)	A	Interest	J	T					
33. Portage TWP IN Multi School - muni bond (X)	A	Interest	J	T					
34. South Madison IN Elem School Bldg Corp. - muni bond (X)	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Valparaiso IN Swr. Rev. muni bond (X)	A	Interest	J	T					
36. Zionsville IN Cmnty. School Bldg Corp. - muni bond (X)		None	J	T					
37. Acreage - Ellis Co., TX		None	J	W					
38. Fidelity IRA: Diversified International Fund	A	Dividend	J	T					
39. Fidelity IRA: Small Cap Stock Fund		None	J	T					
40. Fidelity IRA: Capital Appreciation Fund	A	Dividend	J	T					
41. Fidelity IRA: Contrafund	A	Dividend	J	T					
42. Fidelity IRA: Ginnie Mae Fund	A	Dividend	J	T					
43. Fidelity IRA: Dividend Growth Fund	A	Dividend	J	T					
44. Fidelity IRA: Real Estate Income Fund	A	Dividend	J	T					
45. Fidelity IRA: Value Fund	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **RICHARD A. SCHELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544