

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pym, Sheri	2. Court or Organization U.S. District Court for the Central District of California	3. Date of Report 10/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 3470 12th Street Riverside, CA 92501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Federal Bar Association, Inland Empire Chapter
2.	Personal Representative	Estate [REDACTED] #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Pomona College -- salary
2. 2012	Claremont Graduate University -- committee work
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Bar Association and FBA Inland Empire Chapter	March 30 - April 1, 2012	Arlington, VA	FBA Mid-Year Meeting	Airfare and lodging
2. Federal Bar Association	September 22, 2012	San Diego, CA	FBA Annual Meeting	Mileage and parking
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market Fund	A	Int./Div.	J	T					
2. Vanguard Rollover IRA	D	Dividend	M	T					
3. -- Vanguard Capital Opportunity Fund Adm									
4. -- Vanguard Growth Index Fund Adm									
5. -- Vanguard STAR Fund									
6. -- Vanguard U.S. Value Fund									
7. Vanguard Roth IRA #1	A	Dividend	K	T					
8. -- Vanguard Explorer Fund Inv									
9. -- Vanguard Small-Cap Index Fund Inv									
10. Vanguard Roth IRA #2	A	Dividend	J	T					
11. -- Vanguard Total Stock Market Index Fund Inv									
12. -- Vanguard Windsor II Fund Inv									
13. Claremont University Consortium 403(B)(7) Plan	C	Dividend	M	T					
14. -- Vanguard International Growth Fund Inv									
15. -- Vanguard LifeStrategy Growth Fund									
16. -- Vanguard STAR Fund									
17. -- Vanguard Windsor II Fund Inv									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Vanguard 500 Index Fund Inv									
19. Pomona College Academic Retirement Plan	A	Dividend	L	T					
20. -- CREF Growth Fund									
21. -- CREF Equity Index Fund									
22. -- CREF Global Equities Fund									
23. -- TIAA-CREF Lifecycle 2030-Inst Fund					Sold	10/04/12	K		
24. -- Vanguard Target Retirement 2030 Fund					Buy	10/04/12	K		
25. -- Vanguard Total Stock Market Index Signal Fund					Buy	10/26/12	J		
26. Northwestern Mutual Variable Life Insurance #1	A	Dividend	K	T					
27. -- Northwestern Mutual Index 500 Stock Fund (MSA)									
28. -- Northwestern Mutual Mid Cap Growth Stock Fund (MSA)									
29. Northwestern Mutual Variable Life Insurance #2	A	Dividend	K	T					
30. -- Northwestern Mutual Growth Stock Fund (MSA)									
31. -- Northwestern Mutual Index 400 stock Fund (MSA)									
32. Vanguard UGTMA Account #1	A	Dividend	J	T					
33. -- Vanguard Lifestrategy Moderate Growth Fund									
34. Vanguard UGTMA Account #2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -- Vanguard Lifestrategy Moderate Growth Fund									
36. Vanguard Education Savings Account #1	A	Dividend	J	T					
37. -- Vanguard Explorer Fund Inv									
38. -- Vanguard STAR Fund									
39. Vanguard Education Savings Account #2	A	Dividend	J	T					
40. -- Vanguard Small-Cap Growth Index Fund									
41. College Savings Iowa 529 Plan #1		None	L	T					
42. -- Vanguard Growth Age-Based Track: Growth Portfolio									
43. College Savings Iowa 529 Plan #2		None	K	T					
44. -- Vanguard Growth Age-Based Track: Aggress Growth Portfolio					Sold	03/05/12	K		
45. -- Vanguard Growth Age-Based Track: Growth Portfolio					Buy	03/05/12	K		
46. University of California Retirement Savings Program	A	Dividend	J	T					
47. -- UC Savings Fund									
48. Putnam UGTMA Account #1 (X)	A	Dividend	J	T					
49. -- Putnam Dynamic Asset Allocation Balanced Fund									
50. -- Putnam Dynamic Asset Allocation Growth Fund									
51. Putnam UGTMA Account #2 (X)	A	Dividend	J	T					

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52. -- Putnam Dynamic Asset Allocation Balanced Fund									
53. -- Putnam Dynamic Asset Allocation Growth Fund									
54. Virginia 529 College Savings Plan #1 (X)	A	Dividend	K	T					
55. -- American Funds Bond Fund of America									
56. -- American Funds Capital World Growth and Income Fund									
57. -- American Funds Growth Fund of America									
58. -- American Funds New Perspective Fund									
59. -- American Funds Washington Mutual Investors Fund									
60. Virginia 529 College Savings Plan #2 (X)	A	Dividend	J	T					
61. -- American Funds Bond Fund of America									
62. -- American Funds Capital World Growth and Income Fund									
63. -- American Funds Growth Fund of America									
64. -- American Funds New Perspective Fund									
65. -- American Funds Washington Mutual Investors Fund									
66. Franklin Templeton 529 College Savings Plan #1 (X)		None	K	T					
67. --Templeton Growth 529 Portfolio									
68. -- Franklin Growth 529 Portfolio									

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69. -- Franklin Small Mid Cap Growth 529 Portfolio									
70. -- Mutual Shares 529 Portfolio									
71. -- S&P 500 Index 529 Portfolio									
72. Franklin Templeton 529 College Savings Plan #2 (X)		None	K	T					
73. -- Founding Funds 529 Portfolio									
74. Chase bank account	A	Interest	J	T	Open	06/18/12	J		
75. Real property in San Juan County, WA (X)		None	L	Q					
76. Real property in Shoreline, WA (X)		None	N	Q					
77. Chase bank account for estate #1		None	J	T	Open	05/23/12	J		
78. Edward Jones IRA (X)	A	Dividend			Sold	06/22/12	K		
79. -- American Funds Short-Term Bond Fund of America-A	A	Dividend			Sold	06/22/12	J		
80. -- American Funds American Mutual Fund-A	A	Dividend			Sold	06/22/12	J		
81. -- American Funds Capital World Growth and Income Fund-A	A	Dividend			Sold	06/22/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, lines 48-53 -- these Putnam UGMA accounts were inadvertently omitted from prior financial disclosure reports; they were established by a [REDACTED] some time ago; although I knew the relative intended to establish some sort of education savings accounts, I did not know anything more about them; consequently, I did not think of them when completing prior financial disclosure reports; I only thought of them this year, after custodianship for the accounts was transferred from the [REDACTED] and so we began receiving financial reports for the accounts.
- 2) Part VII, lines 54-73 -- these 529 accounts were inadvertently omitted from prior financial disclosure reports; they were established [REDACTED] some time ago; as with the Putnam accounts, I knew these relatives had established some sort of education savings accounts, but again did not know anything about them and did not think of them when completing prior financial disclosure reports; as a result of learning of the Putnam accounts, this year I thought to inquire of my [REDACTED] about what accounts they had set up.
- 3) Part VII, line 75 -- I inherited an interest in this real property in 2012 as a result of the death of a relative (part of estate [REDACTED] #1); this was appraised on 10/23/2012, and the value shown is my interest of the whole.
- 4) Part VII, line 76 -- I inherited an interest in this real property in 2012 as a result of the death of a relative (part of estate [REDACTED] #1); this was appraised on 04/23/2013, retrospectively as of 04/26/2012, and the value shown is my interest of the whole.
- 5) Part VII, lines 78-81 -- I acquired a beneficiary interest in a [REDACTED] Edward Jones IRA at the time of the [REDACTED] death in 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sheri Pym

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544