

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adelman, Terry I.	2. Court or Organization Eastern District of Missouri	3. Date of Report 04/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Thomas F. Eagleton Courthouse 111 So. Tenth Street, 15.174 St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 1/1/13

to 12/31/13-- Salary --Private Law Practice

2. 1/1/13

to 12/31/13-- State of Missouri Pension

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ☐ Amazon Com Inc Com		None	J	T					
2. ☐ Apple	A	Dividend	J	T					
3. ☐ AT&T Inc	B	Dividend	K	T	Buy (add'l)	12/10/13	J		
4. ☐ Bank of New York	A	Dividend	J	T	Buy	08/28/13	J		
5. ☐ Berkshire Hathaway B		None	L	T					
6. ☐ Comcast	A	Dividend	J	T					
7. ☐ Conoco Phillips	A	Dividend	J	T					
8. ☐ Ebay		None	J	T					
9. ☐ Energy Transfer Ptnrs		None	J	T	Buy	03/27/13	J		
10. ☐ Exxon Mobil Corp	C	Dividend	M	T					
11. ☐ Facebook		None	J	T					
12. ☐ Frontier Comm	A	Dividend			Sold	06/28/13	J		
13. ☐ Glaxo Smith Kline	A	Dividend	J	T	Buy	05/22/13	J		
14. ☐ McDonalds	A	Dividend	J	T					
15. ☐ Microsoft	D	Dividend	M	T					
16. ☐ Nextera Energy	A	Dividend	J	T					
17. ☐ Panera Bread		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. ☒ Pepco Hldgs	A	Dividend	J	T					
19. ☒ Pfizer	B	Dividend	K	T					
20. ☒ Phillips 66	A	Dividend	J	T					
21. ☒ PPL Corp	A	Dividend	J	T	Buy	10/08/13	J		
22. ☒ Priceline		None	K	T					
23. ☒ Sirius Sat		None	J	T					
24. ☒ Starbucks	A	Dividend	J	T					
25. ☒ Teva Pharma	A	Dividend	J	T					
26. ☒ Twitter		None	J	T	Buy	12/10/13	J		
27. ☒ Verizon	B	Dividend	K	T					
28. ☒ Visa	A	Dividend	J	T					
29. ☒ Vodaphone	A	Dividend	J	T					
30. ☒ Walmart	A	Dividend	J	T					
31. ☒ American New Persp. Fund	B	Dividend	M	T	Buy (add'l)	12/10/13	J		
32. ☒ Blackrock National Municipal Bond	A	Dividend	J	T					
33. ☒ DWS Muni Bond Fund	A	Dividend	J	T					
34. ☒ Franklin High Yield Tax Free Income Fund	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ☒ Nuveen Intm Duration	A	Dividend	J	T					
36. ☒ Beaver Valley Ranch	A	Dividend	J	T					
37. ☒ US Savings Bonds	A	Interest	K	T					
38. ☒ US Bank	B	Interest	K	T					
39. ☒ Merrill-Lynch CMA Fund	B	Interest	L	T					
40. ☒ ML Retirement Res- MLB&T Rasp	A	Dividend	J	T					
41. Allianz Sm Cap--IRA + ☒ IRA	A	Dividend	J	T					
42. ☒ American Amcap Fd Cl C - IRA	A	Dividend	J	T					
43. ☒ Amer Euro Pacific FD-IRA	A	Dividend	J	T					
44. ☒ Amer New World Fund--IRA + ☒ IRA	A	Dividend	J	T					
45. Blackrock Equity	A	Dividend	K	T					
46. ☒ IRA--Blackrock High Income Corp. Bond Fund	A	Dividend			Sold	12/10/13	J	A	
47. Davis NY Fund Venture--IRAs & ☒ IRA	A	Dividend	K	T					
48. Hotchkis & Wiley Large -- ☒ IRA	A	Dividend			Sold	12/10/13	J	A	
49. JP Morgan MidCap Value Cl C-IRA & ☒ IRA	A	Dividend	K	T					
50. Allianz NFJ--IRA, Small Cap & Dividend (2 funds)	A	Dividend	J	T					
51. MFS Value Fund--IRA + ☒ IRA		None	J	T					

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52. Oppenheimer Global Fund IRA	A	Dividend	K	T					
53. ☐ American Growth Fund--IRA	A	Dividend	J	T					
54. ☐ Columbia Midcap Val--IRA	A	Dividend	J	T					
55. ☐ DWS Dremam Val --IRA	A	Dividend	J	T					
56. Ivy Asset Strategy--IRA & ☐ IRA	B	Dividend	J	T					
57. ☐ Munder Midcap Core--IRA	A	Dividend	J	T					
58. ☐ Van Eck Global-- Self and ☐ IRA		None			Sold	12/10/13	J	A	
59. ☐ IRAs--Google		None	J	T					
60. ☐ State of Missouri Deferred Comp Plan	A	Interest	J	T					
61. Social Security Pension (X)		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Terry I. Adelman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544