

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 03/24/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 300 Willow #221 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Self employed film production

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Sedona Conference-13th
Annual Conference on
Patent Litigation

October 10-11 2012

Del Mar, California

Listen to and participate in
discussions of patent issues.

Travel, meals and 2 nights at hotel.

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	K	T					
2. USAA Mutual Fund USSPX	B	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	C	Dividend	M	T					
5. Vanguard Mutual Funds IRA 1	D	Dividend	N	T					
6. -Health Care Fund									
7. -Windsor II Fund									
8. -Explorer Fund									
9. -500 Index Fund									
10. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
11. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	J	T					
12. Vanguard Mutual Fund IRA 4 - 500 Index Fund	A	Dividend	K	T					
13. American Mut. Fund IRA New Perspective Fund	B	Dividend	M	T					
14. Vanguard Variable Annuity		None	K	T					
15. XOM com. stock	C	Dividend	M	T					
16. U.S. Savings Bond		None	J	T					
17. Compass Bank Accounts	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 529 Acct 1 - American Funds	A	Dividend	J	T					
19. -New Perspective Fund									
20. -New World Fund									
21. -Capital Wrld Grwth & Income									
22. 529 Acct 2 American Funds	A	Dividend	J	T					
23. -New Perspective Fund									
24. -New World Fund									
25. -Capital Wrld Grwth & Income									
26. AMGN com. stk	C	Dividend	M	T	Sold (part)	12/04/12	J	C	
27. AT&T com. stk	B	Dividend	K	T					
28. AMAT com. stk	A	Dividend	J	T					
29. ADP. com. stk	C	Dividend	L	T					
30. CVX com. stk	A	Dividend	K	T					
31. KO com. stk	B	Dividend	K	T					
32. ETN com. stk	C	Dividend			Merged (with line 33)	12/03/12	M	F	
33. ETN com. stk (new company, same symbol)		None	M	T					
34. EMR com. stk	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. HD com. stk	B	Dividend	L	T					
36. LLY com. stk	B	Dividend	K	T					
37. MCD com. stk	B	Dividend	K	T					
38. PEP com stk	B	Dividend	L	T					
39. PFE com. stk	A	Dividend	K	T					
40. SLB com. stk	A	Dividend	K	T					
41. American Mutual Fund, New Perspective Fund	C	Dividend	M	T					
42. American Mutual Fund Small Cap World	A	Dividend	L	T					
43. Dreyfus S&P 500 Index Fund X	B	Dividend	L	T					
44. BAC Cap. TR XII preferred	B	Interest			Redeemed	11/05/12	J	D	
45. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund	A	Dividend	K	T					
46. Dreyfus AMT-Free Mun. Bnd Fund	C	Dividend	M	T					
47. Dreyfus Mun. Bnd. Fund	C	Dividend	L	T					
48. Salt River Prj AZ bonds	A	Interest	J	T	Redeemed (part)	01/01/12	J	A	
49. McCallister Academic VLG bonds	B	Interest	K	T					
50. Pima Cty AZ bond	A	Interest	J	T					
51. Coconino & Yavapai Cos AZ bonds	A	Interest	J	T					

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52. U.of AZ Cop Brd bond	A	Interest			Redeemed	06/25/12	J	A	
53. Prescott Vly AZ Mun. bond	A	Interest	J	T					
54. Mesa AZ Util Sys bonds	A	Interest	J	T					
55. UBS - RMA Money Mkt X	A	Interest	K	T					
56. Beneficiary IRA	E	Int./Div.	O	T	Distributed (part)	11/26/12	K		
57. -AT&T com. stk									
58. -CVX com. stk									
59. -XOM com.stk									
60. -WRE com. stk									
61. -WRI com. stk									
62. -Oppenheimer Gbl Strategic Income fund									
63. -Nuveen Equity P & G fund									
64. -USBPRI preferred shares					Redeemed	02/22/12	J	A	
65. -Eaton Vance En. Equity Inc Fund									
66. -John Hancock Inc Sec. Fund									
67. -Lord AbbotBond Deb. Fund									
68. -Franklin H.I. Fund Class A (Y)									

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69. -Franklin US Govt Sec. A (Y)									
70. ELNK com. stk	A	Dividend			Sold	12/04/12	K	A	
71. Elizabeth Arden com stk		None			Sold	12/04/12	K	D	
72. FDX com stk	A	Dividend			Sold	09/20/12	J	A	
73. F com stk	A	Dividend			Buy	01/31/12	J		
74. F com stk		None			Sold	12/04/12	J	A	
75. GE com. stk	A	Dividend			Sold	12/04/12	K	D	
76. IP	A	Dividend	J	T					
77. JNJ com. stk	A	Dividend			Sold	05/04/12	K	D	
78. LBY com. stk		None			Sold	01/31/12	J	A	
79. Pet & Res Co. PEO	A	Dividend	J	T					
80. PRU com. stk	A	Dividend			Sold	01/31/12	K	A	
81. WGL Holdings Inc com. stk	A	Dividend			Sold	12/04/12	J	B	
82. ISHARES MSCI CDP Can Idx Fnd: EWC	A	Dividend			Sold	12/04/12	J	A	
83. ISHARES MSCI CDP Can Idx Fnd: EWC	A	Dividend			Sold	12/04/12	J	A	
84. Lazard Emerg Mkt: LZOEX	A	Dividend			Sold	12/04/12	K	B	
85. Am Century Inter term tax free TWTIX	A	Distribution	K	T	Buy	12/04/12	K		

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86. BMO Intermed tax free MITFX	A	Dividend	K	T	Buy	12/04/12	K		
87. Laudus Grwth Investors, LGILX	A	Dividend	K	T	Buy	05/04/12	K		
88. Mat. As. Div. fund MAPIX	A	Dividend	K	T	Sold (part)	12/04/12	J	A	
89. MFS Intl. New Disc. MIDAX	A	Dividend	J	T					
90. Ridgeworth Lg Cap. STVTX	A	Dividend	K	T					
91. Scout Intl fund	A	Dividend	K	T	Sold (part)	12/04/12	J	B	
92. SECTOR SPDR Energy Select: XLE	A	Dividend	K	T					
93. SECTOR SPDR Tech Select: XLK	A	Dividend	K	T	Sold (part)	05/04/12	K	D	
94. SECTOR SPDR TR CON STPLS: XLP	A	Dividend	J	T					
95. SPDR S&P Div ETF: SDY	B	Dividend	K	T	Sold (part)	12/04/12	K	C	
96. Vanguard Financial VFH	A	Dividend	J	T	Buy	9/20/12	J		
97. Vanguard Health Care VHT	A	Dividend	K	T	Buy	05/04/12	K		
98. Vanguard Small Cap Grwth	A	Dividend	K	T					
99. Vanguard Small Cap Value	B	Dividend	K	T					
100. Wisdom Tree Emerg Mkt DEM	A	Dividend	J	T	Buy	01/31/12	J		
101. Schwab Money Market account	A	Interest	J	T					
102. M cmn. stk	A	Dividend			Buy	01/31/12	J		

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103. M com. stk		None			Sold	12/04/12	J	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lines 32 and 33 - On 12/03/2012 Eaton Corp., symbol ETN, merged with Eaton Corp PLC, which kept the symbol ETN.
2. Line 62 Oppenheimer Champion Income Fund changed name to Oppenheimer Global Strategic Income Fund on 09/25/2012. No gain or loss recognized.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ron Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544