

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Taranto, Richard G.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 11/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 717 Madison Place, NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Taranto, Richard G.

Date of Report

11/15/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. William Connor Inn of Court	01.20.16-01.21.16	New York, NY	Dinner honoring Judge Linn	Transportation, meal, hotel
2. Los Angeles Int. Prop. Association	02.27.16-03.02.16	Los Angeles, CA	Bar conference	Transportation, meals, hotel
3. NY Int. Prop. Association	04.01.16-04.02.16	New York, NY	Bar reception	Transportation, meal, hotel
4. Federal Circuit Bar Ass'n	06.22.16-06.25.16	Nashville, TN	Bar conference	Transportation, meals, hotel
5. Harvard University	09.08.16-09.09.16	Cambridge, MA	Seminar	Transportation, meals, hotel
6. Fed Cir Bar Ass'n & LA/Orange County Bar Ass'ns	10.04.16-10.05.16	Los Angeles, CA	Dinners during Fed Cir sitting in Southern Cal	Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting	Date of Report
Taranto, Richard G.	11/15/2017

7.	Harvard University	10.27.16-10.29.16	Cambridge, MA	Seminar/conference	Transportation, meals, hotel
----	--------------------	-------------------	---------------	--------------------	------------------------------

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Taranto, Richard G.

Date of Report

11/15/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Taranto, Richard G.

Date of Report

11/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC (cash & CDs)	B	Interest	N	T	Buy	05/10/16	K		
2. Guardian: Whole Life		None	M	T					
3. Guardian: Whole Life		None	M	T					
4. MONY: Whole Life		None	L	T					
5. MONY: Whole Life		None	K	T					
6. UBS Cash Funds	E	Interest	K	T					
7. Ally Bank CD	A	Interest			Sold (part)	09/25/16	L		
8.					Redeemed	09/26/16	L	A	
9. Ally Bank CD	A	Interest	L	T					
10. American Express CD	A	Interest	K	T					
11. Barclay's CD	A	Interest	M	T	Buy	9/15/15	L		
12. BMW Bk CD	A	Interest	K	T					
13. BMW Bk CD	B	Interest	L	T					
14. CapitolOne CD	A	Interest	L	T	Buy	10/20/15	L		
15. CapitalOne CD	A	Interest	L	T	Buy	09/29/16	L		
16. Comenity Bk CD	B	Interest	K	T					
17. Comenity Bk CD	A	Interest	K	T	Buy	09/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Taranto, Richard G.

Date of Report

11/15/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GE Cap CD	A	Interest	J	T					
19. Goldman Sachs CD	B	Interest	L	T	Buy	02/19/16	L		
20. Goldman Sachs CD	A	Interest	K	T					
21. Goldmann Sachs CD	A	Interest	K	T					
22. HSBC CD	A	Interest	K	T					
23. BMO Harris CD	A	Interest			Redeemed	05/08/16	K	A	
24. Goldman Sachs CD	A	Interest			Redeemed	08/31/16	K	A	
25. Goldman Sachs CD	A	Interest			Sold	11/21/16	J	A	
26. Goldman Sachs CD	A	Interest			Sold	10/12/16	J	A	
27. Discover Bk CD	A	Interest			Redeemed	03/24/16	M	A	
28. Cathay Bk CD	A	Interest			Redeemed	09/20/16	L	A	
29. Comerity Bk CD	B	Interest	M	T	Buy	04/04/16	M		
30.									
31.									
32.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Taranto, Richard G.

Date of Report

11/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, items 29 and 30 refer to assets held by children who, as of 2015, were no longer dependents. Information for those assets, listed in previous Reports, is therefore not provided here.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Taranto, Richard G.

Date of Report

11/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard G. Taranto**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544