

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Maughmer, John T.	2. Court or Organization U.S.D.C., W.D. MO	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Charles Evans Whittaker U.S. Courthouse 400 E. 9th St., Room 7662 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Power of attorney #1	Schroeder
2.	Power of attorney #2	Maughmer
3.	Power of attorney #3	Maughmer
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 12/31/11

David's Bridal - Sales

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T, Inc.	A	Dividend	J	T	Sold (part)	10/20/11	J	B	
2. Alcatel-Lucent	A	Dividend			Sold	10/20/11	J	A	
3. Smith Barney Bank Deposits	A	Dividend	J	T					
4. Legg Mason Partners Aggressive Growth Fund	B	Dividend			Sold	9/1/11	L	F	
5. CG Capital Markets Large Cap. Value Equity Investments	A	Dividend			Sold	09/01/11	J	A	
6. CG Capital Markets Small Cap. Value Equity Investments	A	Dividend			Sold	09/01/11	J	A	
7. CG Capital Markets International Equity Investments	B	Dividend			Sold	09/01/11	K	A	
8. CG Capital Markets Small Cap. Growth Investments	A	Dividend			Sold	09/01/11	J	B	
9. CG Capital Markets Large Capital Growth Investments	B	Dividend			Sold	09/01/11	K	A	
10. Legg Mason Partners Fund. Value Fund	A	Dividend			Sold	08/29/11	K	B	
11. [REDACTED] House purchased 01/0/95 for \$76,000	B	Rent	M	R					
12. Vodafone Group PLC Sponsor ADR (new)	A	Dividend	J	T					
13. Verizon Communications	A	Dividend	J	T					
14. Comcast Corp.	A	Dividend			Sold	10/20/11	J	B	
15. ING Diversified International Fund	A	Distribution			Sold	08/29/11	J	A	
16. Northwestern Mutual Life Insurance Co.	A	Dividend	J	T					
17. CG Capital Markets Gov't Money Market Investments	A	Dividend			Sold	09/01/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Fairpoint Communications, Inc.	A	Dividend			Sold	02/17/11	J	A	
19. Pimco Total Return Fund	A	Dividend			Sold	09/06/11	J	A	
20. Frontier Comm. Corp.	A	Dividend			Sold	10/20/11	J	A	
21. Dreyfus Liquid Assets	A	Dividend	J	T	Buy	08/30/11	J		
22. Cambair Small Cap Fund	A	Dividend	J	T	Buy	09/01/11	J		
23.					Sold (part)	10/07/11	J	A	
24. Eaton Vance Large Cap Value Fund	A	Dividend	K	T	Buy	09/07/11	K		
25.					Sold (part)	10/07/11	J	A	
26. Eaton Vance Commodity Strategy Fund	A	Dividend	J	T	Buy	09/07/11	J		
27.					Sold (part)	10/07/11	J	A	
28. Eaton Vance Income Fund	A	Dividend	J	T	Buy	09/07/11	J		
29.					Sold (part)	10/07/11	J	A	
30. Blackrock Inflation Protected Bond Portfolio	A	Dividend	J	T	Buy	09/07/11	J		
31.					Sold (part)	10/07/11	J	A	
32. Goldman Sachs Absolute Return Fund	A	Dividend	K	T	Buy	09/07/11	K		
33.					Sold (part)	10/07/11	J	A	
34. ING Global Real Estate Fund	A	Dividend	J	T	Buy	09/07/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	10/07/11	J	A	
36. Ivy Mid Cap Growth Fund	A	Dividend	J	T	Buy	09/07/11	J		
37.					Sold (part)	10/07/11	J	A	
38. JP Morgan Dynamic Small Cap Growth Fund	A	Dividend	J	T	Buy	09/07/11	J		
39.					Sold (part)	10/07/11	J	A	
40. Mainstay Large Cap Growth Fund	B	Dividend	K	T	Buy	09/07/11	K		
41.					Sold (part)	10/07/11	J	A	
42. Managers AMG Systematic Mid Cap Value Fund	A	Dividend	J	T	Buy	09/07/11	J		
43.					Sold (part)	10/07/11	J	A	
44. Metropolitan West Total Return Bond Fund	B	Dividend	K	T	Buy	09/07/11	K		
45. Pimco Emerging Local Bond Fund	A	Dividend	J	T	Buy	09/07/11	J		
46.					Sold (part)	10/07/11	J	A	
47. Rydex SGI Managed Futures Strategy Fund	A	Dividend	J	T	Buy	09/07/11	J		
48. Thornburg International Value Fund	A	Dividend	J	T	Buy	09/07/11	J		
49.					Sold (part)	10/07/11	J	A	
50. Templeton Global Bond Fund	A	Dividend	J	T	Buy	09/07/11	J		
51. Virtus Emerging Markets Opportunities Fund	B	Dividend	K	T	Buy	09/07/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	10/7/11	J	A	
53. Smith Barney Bank Deposit - POA #1	B	Dividend	L	T					
54. Centurytel, Inc. - POA #1	A	Dividend	J	T					
55. El DuPont De Nemours & Co - POA #1	A	Dividend	J	T					
56. Calamos Strategic Total Return Fund - POA #1	B	Distribution	K	T					
57. Cohen & Steers Quality Income Realty Fund - POA #1	A	Dividend	J	T					
58. Pimco Commodity Real Return Strategy Fund - POA #1	B	Dividend	J	T					
59. Vanguard Morgan Growth Fund - POA #1	A	Dividend	L	T					
60. CG Capital Markets Money Market Investments - POA #1	A	Distribution			Sold	09/01/11	J	A	
61. CG Capital Markets Core Fixed Income Fund - POA #1	B	Dividend			Sold	08/9/11	K	B	
62. CG Capital Markets Large Cap Value Investments - POA #1	B	Dividend			Sold	08/29/11	K	A	
63. CG Capital Markets Small Cap Value Investments - POA #1	A	Distribution			Sold	08/29/11	J	A	
64. CG Capital Markets High Yield Investments - POA #1	A	Dividend			Sold	08/29/11	K	B	
65. CG Capital Markets Int'l Fixed Income Investments - POA #1	A	Dividend			Sold	08/29/11	K	A	
66. CG Capital Markets International Equity Investments - POA #1	B	Dividend			Sold	08/29/11	K	B	
67. CG Capital Markets Large Cap Growth Investments - POA #1	A	Dividend			Sold	08/29/11	K	C	
68. CG Capital Markets Small Cap Growth Investments - POA #1	A	Dividend			Sold	08/29/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. BankAmerica Corp. Bonds-POA #1	C	Int./Div.	K	T					
70. Hartford Financial Sves Group Bonds - POA #1	A	Int./Div.			Redeemed	10/17/11	K	A	
71. Marshall & Illsey Bond - POA #1	A	Int./Div.	J	T					
72. United Missouri Bank (account) - POA #1	A	Int./Div.	K	T					
73. Hillcrest Bank (account) Bank Midwest (name change) - POA #1	A	Int./Div.	J	T					
74. North American Savings Bank (account) - POA #1	C	Int./Div.	M	T					
75. MO State Health & Education Facility Authority Bonds - POA 1	A	Int./Div.	J	T					
76. Dow Chemical Co. Bonds - POA #1	A	Int./Div.			Redeemed	08/15/11	J	A	
77. Cambair Small Cap Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
78.					Sold (part)	10/07/11	J	A	
79. Blackrock Inflation Protected Bond Portfolio Fund - POA #1	A	Dividend	J	T	Buy	09/8/11	J		
80.					Sold (part)	10/07/11	J	A	
81. Eaton Vance Commodity Strategy Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
82.					Sold (part)	10/07/11	J	A	
83. Eaton Vance Large Cap Value Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	K		
84.					Sold (part)	10/07/11	K		
85. Eaton Vance Income Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Sold (part)	10/07/11	J	A	
87. Goldman Sachs Absolute Return Fund - POA #1	A	Dividend	K	T	Buy	09/08/11	J		
88.					Sold (part)	10/07/11	J	A	
89. ING Global Real Estate Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
90.					Sold (part)	10/07/11	J	A	
91. Ivy Mid Cap Growth Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
92.					Sold (part)	10/07/11	J	A	
93. JP Morgan Dynamic Small Cap Growth Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
94.					Sold (part)	10/07/11	J	A	
95. Mainstay Large Cap Growth Fund - POA #1	A	Dividend	K	T	Buy	09/08/11	K		
96.					Sold (part)	10/07/11	J	A	
97. Managers AMG Systematic Mid Cap Value Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
98.					Sold (part)	10/07/11	J	A	
99. Metropolitan West Total Return Bond Fund - POA #1	C	Dividend	L	T	Buy	09/08/11	K		
100.					Buy	10/07/11	K		
101. Pimco Emerging Local Bond Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
102.					Sold (part)	10/07/11	J	A	

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103. Rydex SGI Managed Futures Strategy Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
104.					Buy	10/07/11	J		
105. Templeton Global Bond Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
106.					Buy	10/07/11	J		
107. Thornburg International Value Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	J		
108.					Sold (part)	10/07/11	J	A	
109. Vitrus Emerging Markets Opportunities Fund - POA #1	A	Dividend	J	T	Buy	09/08/11	K		
110.					Sold (part)	10/07/11	J	A	
111. Land, appraised 09/30/09 POA 2 & 3	D	Rent	O	Q					
112. Centurion (annuity) - POA 2 and 3	A	Int./Div.	K	T					
113. Bank of the West (accounts) - POA 2 & 3	A	Int./Div.	J	T					
114. 1st Bank of Missouri - POA 2 & 3	A	Int./Div.	J	T					
115. Adrian Bank (accounts) - POA 2 & 3	A	Int./Div.	J	T					
116. Bank Midwest (accounts) - POA 2 & 3	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Maughmer, John T.

Date of Report

05/10/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Maughmer, John T.

Date of Report

05/10/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John T. Maughmer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544