

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Owen, Priscilla R.                                                                                                                   | <b>2. Court or Organization</b><br><br>Fifth Circuit Court of Appeals                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>06/14/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Circuit Judge - Active                                                   | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>903 San Jacinto Blvd., Rm 434<br>Austin, TX 78701                                                                                                             |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>          | <u>NAME OF ORGANIZATION/ENTITY</u>  |
|--------------------------|-------------------------------------|
| 1. Director              | Texas Hearing and Service Dogs      |
| 2. Advisory Board Member | Federalist Society, Austin Chapter  |
| 3. Advisory Board Member | Federalist Society, Houston Chapter |
| 4.                       |                                     |
| 5.                       |                                     |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                                                                                                                  |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 2013     | State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court Justice or 40% at age 60 |
| 2.          |                                                                                                                                                           |
| 3.          |                                                                                                                                                           |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| DATE    | SOURCE AND TYPE                                                               | INCOME<br>(yours, not spouse's) |
|---------|-------------------------------------------------------------------------------|---------------------------------|
| 1. 2013 | United States Department of Agriculture - Direct and Counter-Cyclical Program | \$186.00                        |
| 2.      |                                                                               |                                 |
| 3.      |                                                                               |                                 |
| 4.      |                                                                               |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|--------|-------|----------|---------|------------------------|
| 1.     |       |          |         |                        |
| 2.     |       |          |         |                        |
| 3.     |       |          |         |                        |
| 4.     |       |          |         |                        |
| 5.     |       |          |         |                        |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Federated Prime Obligation #10                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. T Rowe Price Mid-Cap Growth Fund                                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Vanguard Index Funds Vanguard 500 Index Fund Admiralshs                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Vanguard Index Fund 500 Index Fund Siganal Shs-merged, now see line 3                                                    | A                                       | Dividend                                      |                                                 |                                           | Merged<br>(with line 3)                         | 11/27/13                | J                               | A                              |                                                                   |
| 5. 1/8 interest, surface, [REDACTED] Matagorda County, TX                                                                   | B                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. 1/12 mineral interest, [REDACTED] Matagorda County, TX                                                                   |                                         | None                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. 1/4 surface interest in [REDACTED], Matagorda County, TX                                                                 | A                                       | Rent                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. mineral interest, [REDACTED], Matagorda County, TX (Brigham                                                              |                                         | None                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. [REDACTED] land, Matagorda County, TX                                                                                    | A                                       | Rent                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. royalty or mineral interests in Matagorda, Brazoria, Cts., TX                                                           |                                         | None                                          | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. cash, Community Bank & Trust                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. cash, Chase checking                                                                                                    | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. cash, Chase money market                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Federated Prime Obligation #10 (IRA)                                                                                    | A                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. T Rowe Price Blue Chip Growth Fund                                                                                      |                                         | None                                          | K                                               | T                                         | Buy                                             | 10/25/13                | K                               |                                |                                                                   |
| 16. T Rowe Price Intl Discovery Fund                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/25/13                | K                               |                                |                                                                   |
| 17. Vanguard Equity Income Fund Admiral Shs (IRA)                                                                           | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 10/25/13                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                             |   |           |   |   |                          |          |   |   |  |
|-------------------------------------------------------------|---|-----------|---|---|--------------------------|----------|---|---|--|
| 18. Vanguard Index Funds Vanguard 500 Index Fund Admiralshs | A | Dividend  | K | T |                          |          |   |   |  |
| 19. Vanguard 500 Index Fund Signal Shs                      | A | Dividend  |   |   | Merged<br>(with line 18) | 11/27/13 | K |   |  |
| 20. Vanguard World Fund Intl Growth Fund Admiral Shs        | A | Dividend  | K | T | Buy                      | 10/25/13 | K |   |  |
| 21. FNMA StrNt 1% Due 12/18/2020                            | A | Interest  | K | T | Buy                      | 02/22/13 | K |   |  |
| 22. FNMA StrNt 1% Due 7/30/2027                             | A | Interest  | K | T |                          |          |   |   |  |
| 23. FNMA StrNt 2% Due 12/28/2027                            | A | Interest  | K | T | Buy                      | 01/28/13 | L |   |  |
| 24. FNMA Structured Nt 1.25% Due 6/28/2027                  | A | Interest  | K | T |                          |          |   |   |  |
| 25. Federal Home Ln Mtg Corp Mtnote 5.0% 1/30/14            | A | Interest  | J | T |                          |          |   |   |  |
| 26. Dodge & Cox Income Fund                                 | B | Dividend  | K | T | Buy<br>(add'l)           | 02/20/13 | K |   |  |
| 27. Eaton Vance Mutual Funds Tr Fltg Rate Fund Class I      | A | Dividend  | K | T | Buy                      | 01/11/13 | K |   |  |
| 28. Vanguard Fixed Income GNMA Fund Admiral Shs             | A | Dividend  | K | T |                          |          |   |   |  |
| 29. Vanguard Fixed Income Secs Fund Admiral                 | A | Dividend  | K | T |                          |          |   |   |  |
| 30. FNMA StrNt 1% Due 7/16/2027                             | A | Int./Div. |   |   | Redeemed                 | 01/16/13 | J |   |  |
| 31. FNMA StrNt 1.25% Due 1/25/2027                          | A | Interest  |   |   | Redeemed                 | 01/25/13 | K |   |  |
| 32. FNMA StrNt 1% Due 2/22/2027                             | A | Interest  |   |   | Redeemed                 | 02/22/13 | K |   |  |
| 33. Dodge & Cox International                               |   | None      |   |   | Sold                     | 10/25/13 | L | D |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Priscilla R. Owen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544