

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Yeakel III, Earl L.	2. Court or Organization District Court, W.D. Texas	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 501 West 5th Street Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Theodore Roosevelt Association
2.	Member	University of Texas Longhorn Foundation Advisory Council
3.	Member	Texas Commission on Uniform State Laws
4.	Member	National Conference of Commissioners on Uniform State Laws
5.	Member	Board of Advisors, Austin Lawyers Chapter, The Federalist Society
6.	President	Austin Intellectual Property Chapter, American Inns of Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Employees Retirement System of Texas - Retirement
2. 2013	Nitsche & Ferguson Ins. Agency Inc. - Commission Sales
3. 2013	Texas Bar Foundation - Contract Labor (Editing)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Commissioners on Uniform State Laws	January 23-27, 2013	Tucson, Arizona	Committee on Style Meeting	Transportation, Meals, & Lodging
2.	National Conference of Commissioners on Uniform State Laws	February 14-17, 2013	Washington, D.C.	Drafting Committee Meeting	Transportation, Meals, & Lodging
3.	Houston Intellectual Property American Inn of Court	March 28-29, 2013	Houston, Texas	Inn of Court Meeting	Meals & Lodging
4.	National Conference of Commissioners on Uniform State Laws	April 4-6, 2013	Washington, D.C.	Drafting Committee Meeting	Transportation, Meals, & Lodging

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5.	The University of Texas at Austin	April 11, 2013	Dallas, Texas	35th Annual Corporate Counsel Institute (Dallas Presentation)	Transportation & Meals
6.	National Conference of Commissioners on Uniform State Laws	April 24-28, 2013	Sacramento, California	Committee on Style	Transportation, Meals, & Lodging
7.	The University of Texas at Austin	May 1-2, 2013	Houston, Texas	35th Annual Corporate Counsel Institute (Houston Presentation)	Transportation, Meals, & Lodging
8.	American Conference Institute	July 29-31, 2013	San Francisco, California	25th National Forum on Bad Faith Litigation	Transportation, Meals, & Lodging
9.	National Conference of Commissioners on Uniform State Laws	September 4-8, 2013	Chicago, Illinois	Committee on Style	Transportation, Meals, & Lodging
10.	Austin Intellectual Property American Inn of Court	October 19-20, 2013	Washington, D.C.	American Inns of Court Celebration of Excellence Dinner	Transportation, Meals, & Lodging
11.	The Center for American & International Law	November 10-11, 2013	Plano, Texas	51st Annual Conference on Intellectual Property Law	Transportation, Meals, & Lodging
12.	Ocean Tomo, LLC	November 12-13, 2013	Chicago, Illinois	Surveys in Patent Litigation Presentation	Transportation, Meals, & Lodging
13.	National Conference of Commissioners on Uniform State Laws	November 14-16, 2013	Washington, D.C.	Drafting Committee Meeting	Transportation, Meals, & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Prosperity Bank	Line of Credit	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SCHW Common Stock	A	Dividend	J	T					
2. CVX Common Stock	C	Dividend	M	T	Buy (add'l)	09/24/13	J		
3. CVX Common Stock					Sold (part)	09/27/13	J	A	
4. C Common Stock	A	Dividend			Sold	12/10/13	J	A	
5. DELL Common Stock	A	Dividend			Sold	10/30/13	K	A	
6. XOM Common Stock	A	Dividend	K	T					
7. F Common Stock	A	Dividend	J	T					
8. JPM Common Stock	A	Dividend			Sold	12/13/13	K	E	
9. MSFT Common Stock	A	Dividend	J	T					
10. Rogers Communications, Inc. Class B Common Stock	A	Dividend	K	T					
11. Royal Dutch Shell PLC Common Stock	B	Dividend	K	T					
12. LUV Common Stock	A	Dividend	K	T					
13. SPND.OB Common Stock		None	J	T					
14. DIS Common Stock	A	Dividend	J	T					
15. Fidelity Blue Chip Growth Mutual Fund	A	Dividend	K	T					
16. Fidelity Blue Chip Growth Mutual Fund	C	Distribution							
17. Fidelity China Region Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity China Region Mutual Fund	A	Distribution							
19. Fidelity New Millenium Mutual Fund	A	Dividend	K	T					
20. Fidelity New Millennium Mutual Fund	C	Distribution							
21. Fidelity Municipal Money Market Mutual Fund	A	Interest	K	T					
22. Fidelity Municipal Money Market Mutual Fund	A	Distribution							
23. Fidelity US Gov't Reserves Mutual Fund	A	Dividend	L	T					
24. Vanguard Prime Money Market Mutual Fund	A	Dividend			Sold	10/07/13	K	A	
25. Vanguard Total Stock Market Index Admiral Mutual Fund	A	Dividend	K	T	Buy	10/07/13	K		
26. Prosperity Bank (CD)	A	Interest	L	T					
27. Prosperity Bank (CD)	A	Interest	K	T					
28. Prosperity Bank (Checking)	A	Interest	J	T					
29. Wells Fargo Bank (Checking)	A	Interest	J	T					
30. Wells Fargo Bank (Checking)	A	Interest	K	T					
31. Wells Fargo Bank (Savings)	A	Interest	K	T					
32. AllianceBernstein Growth & Income Fund Inc Advisor CL (Mutual Fund)	A	Dividend	K	T	Buy	08/23/13	K		
33. Alps ETF Tr Alerian MLP	A	Dividend	J	T	Buy	09/24/13	J		
34. Alps ETF Tr Alerian MLP					Sold (part)	09/27/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Alps ETF Tr Alerian MLP					Sold (part)	10/30/13	J	A	
36. Alps ETF Tr Alerian MLP					Sold (part)	11/29/13	J	A	
37. Altria Group Inc Common Stock		None	J	T	Buy	09/24/13	J		
38. Altria Group Inc Common Stock					Sold (part)	09/27/13	J	A	
39. Annaly Capital Management Inc. (Mutual Fund)	A	Dividend			Buy	09/24/13	J		
40. Annaly Capital Mangement Inc. (Mutual Fund)					Sold (part)	09/27/13	J	A	
41. Annaly Capital Managment Inc. (Mutual Fund)					Sold	10/30/13	J	A	
42. Artisan Value Fund (Mutual Fund)		None			Sold	02/13/13	K	A	
43. Artisan Funds Inc Global Value Fund Inv Shs (Mutual Fund)	A	Dividend	K	T	Buy	02/13/13	K		
44. Artisan Funds Inc Global Value Fund Inv Shs (Mutual Fund)	A	Distribution			Sold (part)	11/04/13	J	A	
45. American Century Diversified Bond Fund (Mutual Fund)	A	Dividend			Sold	06/27/13	J	A	
46. American Electric Power Co Inc Common Stock		None	J	T	Buy	12/26/13	J		
47. Bank of Montreal Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
48. Bank of Montreal Common Stock					Sold (part)	09/27/13	J	A	
49. Bank of Nova Scotia Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
50. Bank of Nova Scotia Common Stock					Sold (part)	09/27/13	J	A	
51. BCE Inc Common Stock		None	J	T	Buy	09/24/13	J		

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52. BCE Inc Common Stock					Sold (part)	09/27/13	J	A	
53. CenturyLink Inc Common Stock	A	Dividend			Buy	09/24/13	J		
54. CenturyLink Inc Common Stock					Sold (part)	09/27/13	J	A	
55. CenturyLink Inc Common Stock					Sold	12/26/13	J	A	
56. Darden Restaurants Inc Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
57. Darden Restaurants Inc Common Stock					Sold (part)	09/27/13	J	A	
58. Diamond Offshore Drilling Inc Common Stock		None			Buy	09/24/13	J		
59. Diamond Offshore Drilling Inc Common Stock					Sold (part)	09/27/13	J	A	
60. Diamond Offshore Drilling Inc Common Stock					Sold	10/30/13	J	A	
61. Dominion Resources Inc VA Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
62. Dominion Resources Inc VA Common Stock					Sold (part)	09/27/13	J	A	
63. Thornberg Investment Inc. Builder I (TIBIX)	A	Dividend	K	T					
64. Driehaus Mut Fds Select Cr Fds (Mutual Fund)	A	Dividend	J	T	Buy	02/14/13	J		
65. Driehaus Mut Fds Select Cr Fds (Mutual Fund)	A	Distribution							
66. Federated Strategic Value Dividend Fund Instl (Mutual Fund)	A	Dividend	K	T	Sold (part)	11/06/13	J	A	
67. Federated Strategic Value Dividend Fund Instl (Mutual Fund)	A	Distribution	K	T					
68. Fidelity Advisor Emerging Markets Income Fund FMKIX	A	Dividend	K	T					

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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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69. Fidelity Advisor Emerging Markets Income Fund FMKIX	A	Distribution							
70. Fidelity Advisor Growth Opportunites Mutual Fund-CL I		None	K	T	Sold (part)	11/06/13	J	A	
71. First Eagle US Value Fund CL I (Mutual Fund)	A	Dividend	K	T					
72. First Eagle US Value Fund CL I (Mutual Fund)	A	Distribution							
73. First Eagle Global Fund SGIIX	A	Dividend	J	T					
74. First Eagle Gobal Fund SGIIX	A	Distribution							
75. FirstEnergy Corp Common Stock	A	Dividend			Buy	09/24/13	J		
76. FirstEnergy Corp Common Stock					Sold (part)	09/27/13	J	A	
77. FirstEnergy Corp Common Stock					Sold	12/26/13	J	A	
78. Fidelity Advisor New Insights (Mutual Fund)	C	Distribution	K	T	Sold (part)	11/06/13	J	B	
79. Eaton Vance Floating Rate Advantage Fund (Mutual Fund)	A	Dividend	K	T					
80. Eaton Vance Income Fund Boston Inc EIBIX	A	Dividend	J	T					
81. Eli Lilly & Co Common Stock	A	Dividend			Buy	09/24/13	J		
82. Eli Lilly & Co Common Stock					Sold (part)	09/27/13	J	A	
83. Eli Lilly & Co Common Stock					Sold	11/29/13	J	A	
84. Health Care REIT Inc	A	Dividend			Buy	09/24/13	J		
85. Health Care REIT					Sold (part)	09/27/13	J	A	

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86. Health Care REIT					Sold	11/29/13	J	A	
87. Henderson Global Equity Income Fund CL I (Mutual Fund)	A	Dividend	K	T					
88. HSBC Holdings PLC		None	J	T	Buy	12/26/13	J		
89. Merck & Co Inc Common Stock		None	J	T	Buy	09/24/13	J		
90. Merck & Co Inc Common Stock					Sold (part)	09/27/13	J	A	
91. Microchip Technology Inc Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
92. Microchip Technology Inc Common Stock					Sold (part)	09/27/13	J	A	
93. Neuberger & Berman Equity Income FDS CL A (Mutual Fund)	A	Dividend			Sold	08/23/13	K	B	
94. Lockheed Martin Corp Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
95. Lockheed Martin Corp Common Stock					Sold (part)	09/27/13	J	A	
96. Loomis Sayles Investment Grade Bond Fund (Mutual Fund)	A	Dividend			Sold	07/08/13	K	A	
97. Lorillard Inc Common Stock	A	Dividend	J	T	Buy	09/24/13	J		
98. Lorillard Inc Common Stock					Sold (part)	09/27/13	J	A	
99. National Retail Properties Inc Common Stock	A	Dividend			Buy	09/24/13	J		
100. National Retail Properties Inc Common Stock					Sold (part)	09/27/13	J	A	
101. National Retail Properties Inc Common Stock					Sold (part)	10/30/13	J	A	
102. National Retail Properties Inc Common Stock					Sold	11/29/13	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Oakmark International Small Cap Fund (Mutual Fund)	A	Dividend	K	T	Buy	11/27/13	K		
104. Pimco Total Return Fund PTTAX (Mutual Fund)	A	Dividend			Buy (add'l)	05/17/13	J		
105. Pimco Total Return Fund PTTAX (Mutual Fund)					Buy (add'l)	06/18/13	K		
106. Pimco Total Return Fund PTTAX (Mutual Fund)					Sold	09/19/13	L	A	
107. Pimco Real Return Mutual Fund CI A PRTNX	A	Dividend			Sold	06/18/13	K	A	
108. Pimco FDS Real RTN AST P (Mutual Fund)	A	Dividend			Sold	02/14/13	J	A	
109. Invesco Asia Pacific Growth Fund ASIYX		None			Sold	11/29/13	K	A	
110. Washington Mutual Invs Fund CL F-2 SHS	A	Dividend	K	T	Sold (part)	11/06/13	J	A	
111. Washington Mutual Invs Fund CL F-2 SHS	A	Distribution							
112. JPMorgan TR II Equity Income Fund HLIEX	A	Dividend	K	T					
113. JPMorgan TR II Equity Income Fund HLIEX	A	Distribution							
114. Weitz FDS Hickory FD WEHIX		None	K	T	Sold (part)	11/04/13	J	A	
115. Third Avenue Real Estate Value Fund TAREX	A	Dividend	K	T	Buy (add'l)	02/07/13	J		
116. Third Avenue Real Estate Value Fund TAREX	A	Distribution			Sold (part)	11/04/13	J	A	
117. SPDR Gold TR GLD		None	J	T	Buy (add'l)	02/11/13	J		
118. SPDR Gold TR GLD					Sold (part)	06/27/13	J	A	
119. Prime Money Market Fund (Mutual Fund)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Yeakel III, Earl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Federated Gov't Obl. Tax Mgd. Fund #636 (see note)	A	Dividend	K	T	Buy (add'l)	03/26/13	K		
121. Federal Home Loan Bank 3.13% Note	A	Interest			Matured	12/13/13	K	A	
122. Federal Home Loan Bank 1.45% Note	A	Interest	K	T	Buy	04/17/13	K		
123. Federal Farm Credit Bank 3.45% Note	A	Interest	K	T					
124. U.S. Treasury 5.5% Note	D	Interest	M	T					
125. Federal Farm Credit Bank 2.30% Note	A	Interest			Redeemed	03/26/13	K	A	
126. Introgen Therapeutics Inc.		None			Sold	12/10/13	J	A	
127. Luby's Inc.		None	J	T					
128. United States Series I Savings Bonds		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Item 120. This item was listed as Federal Gov't Obl. Tax Mgd. Fund #636 on previous reports.

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Yeakel III, Earl L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Earl L. Yeakel III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544