

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walton Pratt., Tanya M.	2. Court or Organization U.S. District Court, Southern District of Indiana	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Birch Baygh Federal Courthouse 46 E. Ohio Street Chambers 330 Indianapolis IN, 46204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee, Board of Director	Cathedral High School
2. Member	American Inn of COurt, Indianapolis
3. Advisory Board	United Negro College Fund
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2009	Indiana Judges Pension Fund; eligible for pension upon retirement at age 62
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Walton Pratt,, Tanya M.

Date of Report

05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Self-employed Attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Walton Pratt, Tanya M.

Date of Report

05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	credit card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Walton Pratt, Tanya M.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. series EE bonds	A	Interest	J	T					
2. series I bonds	A	Interest	J	T					
3. CHASE Bank	A	Interest	J	T					
4. PNC (savings)	A	Interest	K	T					
5. APPL (Apple) stock	A	Dividend	J	T					
6. ARBA (Ariba Inc.) stock		None	J	T					
7. CBMC (Calypse biomed) stock		None	J	T					
8. CHK (Chesapeake energy) stock		None	J	T					
9. ERIC (Ericsson) stock		None	J	T					
10. MSTR (microstrategy inc.) stock	A	Dividend	J	T					
11. Fidelity Diversified International Fund		None			Sold	10/01/12	K		
12. Fidelity Low-Priced Stock		None			Sold	10/01/12	K		
13. Vanguard Capital Opportunity		None			Sold	10/01/12	K		
14. BlackRock Large Cap Value Retirement K		None			Sold	10/01/12	K		
15. Wells Fargo Capital Growth I		None			Sold	10/01/12	J		
16. WBMD (Web M.D.)		None	J	T					
17. PIMCO		None			Sold	10/01/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Walton Pratt, Tanya M.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Indiana Stable Value FUND		None			Sold	10/01/12	J		
19. Allianz IRA	A	Interest	L	T					
20. Schwab Fixed IRA	A	Interest	J	T					
21. Indiana 529 Plan: Core Bond Index Portfolio	A	Interest	J	T					
22. Vanguard Institutional Index Fund		None	K	T					
23. Artio International Equity II FUND		None	J	T					
24. Indiana 529 Plan: Large Cap Index Portfolio Class A	A	Interest	J	T					
25. Indiana 529 Plan: International Equity Index Portfolio Class	A	Interest	J	T					
26. Bank of America		None	J	T					
27. Citigroup		None	J	T					
28. Schwab IRA: American Century Investments One Choice	A	Interest	K	T					
29. AGZ - Ishares Barclays ETF		None	J	T	Buy	10/11/12	J		
30. EMB - Ishares JP Morgan ETF		None	J	T	Buy	10/11/12	J		
31. IEF - Ishares Barclays ETF		None	J	T	Buy	10/11/12	J		
32. IJJ - Ishares S&P Midcap		None	J	T	Buy	10/11/12	J		
33. IJK - Ishares S&P Midcap		None	J	T	Buy	10/11/12	J		
34. IVE - Ishares S&P500 Value ETF		None	J	T	Buy	10/11/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Walton Pratt, Tanya M.

Date of Report

05/14/2013

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IVW - Ishares S&P 500 Growth		None	J	T	Buy	10/11/12	J		
36. JNK - SPDR Barclays ETF		None	J	T	Buy	10/11/12	J		
37. MBB - Ishares Barclays		None	J	T	Buy	10/11/12	J		
38. PCY - Powershares EM MAR ETF		None	J	T	Buy	10/11/12	J		
39. PFF - Ishares S&P U.S.		None	J	T	Buy	10/11/12	J		
40. SHY - Ishares Barclays ETF		None	J	T	Buy	10/11/12	J		
41. STPZ - PIMCO ETF		None	J	T	Buy	10/11/12	J		
42. VCIT - Vanguard Intermediate ETF		None	J	T	Buy	10/11/12	J		
43. VCSH - Vanguard Short Term ETF		None	J	T	Buy	10/11/12	J		
44. VEA - Vanguard MSCI EAFE ETF		None	J	T	Buy	10/11/12	J		
45. VNQ - Vanguard REIT ETF		None	J	T	Buy	10/11/12	J		
46. VWO - Vanguard MSCI ETF		None	J	T	Buy	10/11/12	J		
47. ALARX - Alger INSTL FD		None	J	T	Buy	10/11/12	J		
48. ANAYX - Alliancebernstein		None	J	T	Buy	10/11/12	J		
49. ANCMX - Allianz FDS Multi-STRT		None	J	T	Buy	10/11/12	J		
50. BCSIX - Brown CAP MGMT MUT FDS		None	J	T	Buy	10/11/12	J		
51. FEVIX - First Eagle US Value		None	J	T	Buy	10/11/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Walton Pratt, Tanya M.

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52. GCIEX - Gabelli Equity Income		None	J	T	Buy	10/11/12	J		
53. GSZIX - Goldman Sachs Trust		None	K	T	Buy	10/11/12	K		
54. ICEIX - IVY FDS		None	J	T	Buy	10/11/12	J		
55. IGLIX - ING Global Real Estate		None	J	T	Buy	10/11/12	J		
56. IVHIX - IVY FDS INC		None	J	T	Buy	10/11/12	J		
57. JIGFX - Janus INVT FD		None	J	T	Buy	10/11/12	J		
58. LDLEX - Lord Abbett Investment		None	K	T	Buy	10/11/12	K		
59. MWTIX -Metropolitan West FDS		None	K	T	Buy	10/11/12	K		
60. NELYX - Loomis Sayles Limited		None	J	T	Buy	10/11/12	J		
61. ODVYX - Oppenheimer DEV MKTS		None	J	T	Buy	10/11/12	J		
62. PCRIX - PIMCO FDS PAC INVT		None	J	T	Buy	10/11/12	J		
63. PFIUX - PIMCO Unconstrained BD		None	K	T	Buy	10/11/12	K		
64. PRRIX - PIMCO REAL RETURN BOND		None	J	T	Buy	10/11/12	J		
65. SEVAX - Security Equity FD		None	J	T	Buy	10/11/12	J		
66. TGBAX - Templeton Funds		None	J	T	Buy	10/11/12	J		
67. TWHIX - American Century Funds		None	J	T	Buy	10/11/12	J		
68. YACKX - Managers AMG Funds		None	J	T	Buy	10/11/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Walton Pratt, Tanya M.

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05/14/2013

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69.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Walton Pratt,, Tanya M.

Date of Report

05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Walton Pratt,, Tanya M.

Date of Report

05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tanya M. Walton Pratt,

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544