

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morgenstern-Clarren, Pat E.	2. Court or Organization U.S. Bankruptcy Ct., N.D., Oh.	3. Date of Report 05/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Howard Metzenbaum US Ct. House 201 Superior Avenue East Cleveland, Ohio 44114		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/07/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014 _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/07/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/07/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch (IRA):									
2. -Putnam Premier 1 Tr.	A	Dividend	J	T					
3. -Entergy Corp Common Stock	A	Dividend	J	T					
4. -BA CA N.A. RASP (F/K/A ML Retirement Reserves Fund)	A	Interest	M	T					
5. -FIA Card Services NA RASP (F/K/A ML Retirement Reserves Fund)	A	Interest	M	T					
6. -Hewlett Packard Co. Common Stock	A	Dividend	L	T					
7. -Texas Instruments, Inc. Common Stock	A	Dividend	L	T					
8. -Apple Computer Inc. Common Stock	A	Dividend	M	T					
9. -KLA Tencor Corp. PV	A	Dividend	K	T					
10. -Colgate Palmolive Stock	A	Dividend	M	T					
11. -Agilent Technologies Inc.	A	Dividend	J	T					
12. -Intl Paper Co.	A	Dividend	J	T					
13. -Maxim Integrated Prods.	A	Dividend	K	T					
14. -Stratasys Ltd.		None	K	T					
15. -Keysight Technologies Inc. (Spinoff of Agilent Technologies Inc.)		None	J	T	Spinoff (from line 11)	11/16/14	J		
16. Merrill Lynch Brokerage Account (H):									
17. -ML Bank Deposit Program		None	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 (See Columns C1 and D3)	J ~\$15,000 or less K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. -Entergy Corp. Common Stock	A	Dividend	J	T					
19. University Suburban Real Estate - Note Receivable	B	Interest	L	T					
20. Huntington National Bank - Various Accounts	A	Interest	J	T					
21. Huntington National Bank Money Market Account	B	Interest	N	T					
22. Huntington National Bank Money Market Account	A	Interest	M	T					
23. Third Federal Savings & Loan Savings Account #1	A	Interest			Closed	08/12/14	L		
24. Third Federal Savings & Loan Savings Account # 2	A	Interest			Closed	08/12/14	L		
25. New York Community Bank (f.k.a. Ohio Savings Bank) # 1	A	Interest			Closed	04/21/14	L		
26. New York Community Bank (f.k.a. Ohio Savings Bank) # 2	A	Interest			Closed	04/21/14	M		
27. Vanguard (IRA) (H):									
28. -Vanguard Admiral Treasury Money Market Fund	A	Dividend			Closed	03/10/14	L		
29. -Vanguard Balanced Index Fund Adm	D	Dividend	O	T	Buy (add'l)	03/10/14	M		
30. -Vanguard Federal Money Market Fund	A	Dividend			Closed	03/10/14	N		
31. -Vanguard High Dividend Yld Idx Inv	E	Dividend	O	T	Buy (add'l)	03/10/14	M		
32. Fidelity (401K)(H):									
33. -Vanguard Target Ret 2015	D	Int./Div.	M	T					
34. Lincoln National: Universal Life (no control)	B	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. Charles Schwab Institutional (IRA)(H):									
36. -Schwab Adv Cash Reserve	A	Dividend	K	T					
37. -Avenue Credit Strategies Inst	A	Dividend	J	T					
38. -Avenue Credit Strategies Inv	A	Dividend	J	T					
39. -Cohen & Steers Preferred SEC and INCM A	A	Dividend	J	T	Buy (add'l)	01/06/14	J		
40.					Sold (part)	05/14/14	J	A	
41.					Buy (add'l)	10/08/14	J		
42.					Buy (add'l)	10/21/14	J		
43. -KKR Alternative High Yield Inv	A	Dividend			Sold	03/07/14	J		
44. -PIMCO Global Advantage Strategy RD CL D	A	Dividend			Sold	04/25/14	J		
45. -PIMCO Global Advantage Strategy BD Inst	A	Dividend			Sold	04/25/14	J		
46. -PIMCO Total Return Fund CL D	A	Dividend			Sold	10/13/14	J		
47. -PIMCO Total Return Fund Instl CL	A	Dividend			Sold	10/08/14	J		
48. -PIMCO Unconstrained BD FD CL D	A	Dividend			Sold	04/10/14	J		
49. -T Rowe Price Floating Rate Adv	A	Dividend	J	T	Sold (part)	05/14/14	J		
50. -T Rowe Price Inst Floating Rate FD	A	Dividend	J	T					
51. -Templeton Global Bond Fund Adv CL	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. -Templeton Global Bond Fund CL A	A	Dividend	J	T	Buy (add'l)	10/21/14	J		
53. -Leucadia National Corp	A	Dividend			Sold	07/08/14	J		
54. -Absolute Credit Oppty FD		None	J	T					
55. -Absolute Strategies Fund Instl SHR		None			Sold	05/14/14	J	A	
56. -ALPS Core CMDY MGMT Complete Cmty Strat A		None	J	T					
57. -Aston Lake Partners Lasso Alternative FD CL N	A	Dividend	J	T	Sold (part)	05/14/14	J	A	
58. -Aston Lake Partners Lasso Alternative FD CL I	A	Dividend	K	T					
59. -Blackrock Global Allocation CL A	A	Dividend	J	T					
60. -Blackrock Global Allocation Instl CL	A	Dividend	K	T					
61. -Center Coast MLP Focus FD A	A	Dividend	J	T					
62. -CMG SR Tactical Bond FD CL A		None			Sold	04/07/14	J		
63. -CMG SR Tactical Bond FD CL I		None			Sold	04/25/14	J		
64. -Mainstay Cushing MLP Premier FD CL A	A	Dividend	J	T					
65. -DFA Emerging Mkts Core Eqty Port Instl	A	Dividend	K	T					
66. -DFA Global Real Estate Securities Port	A	Dividend	J	T					
67. -DFA Intl Core Eqty Port Instl	B	Dividend	K	T					
68. -Empiric 2500 Fund CL A	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69. -Forward Global Infrastructure Instl CL	A	Dividend	J	T					
70. -FPA Crescent Fund Inst CL Shares	A	Dividend	K	T					
71. -Hatteras Alpha Hedged Strategies		None	J	T	Buy (add'l)	05/14/14	J		
72. -IVA Worldwide FD CL A	A	Dividend			Sold	01/06/14	J	A	
73. -IVA Worldwide FD CL I	A	Dividend	J	T					
74. -Ivy Asset Strategy Fund CL I	A	Dividend	K	T					
75. -Ivy Asset Strategy Fund CL Y		None			Sold	01/06/14	J	A	
76. -JHancock US Eqty FD I	B	Dividend	K	T					
77. -Oppenheimer Steelpath MLP Alpha Y	A	Dividend	J	T					
78. -PIMCO All Asset All Authority CL D	A	Dividend	J	T					
79. -PIMCO All Asset All Authority CL Inst	B	Dividend	K	T	Buy (add'l)	05/14/14	J		
80. -PIMCO Fundamental Index Plus AR FD CL D	A	Dividend	J	T					
81. -PIMCO Global Multi Asset CL D		None			Sold	05/14/14	J		
82. -PIMCO Global Multi Asset CL Inst		None			Sold	04/25/14	K		
83. -RS Global Natural Resources FD CL Y	A	Dividend	J	T					
84. -Schwab Fundamental Emer Mkt Large Co Index	A	Dividend	J	T					
85. -Schwab Fundamental Intl Large Co Index	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. -Wells Fargo Advtg Abslt Return Admin	A	Dividend	K	T	Sold (part)	01/06/14	J	A	
87.					Buy (add'l)	05/14/14	J		
88.					Sold (part)	05/15/14	J		
89. -IQ US Real Estate ETF Small Cap		None	J	T					
90. -iShares Gold Trust		None	J	T					
91. -iShares Silver Trust Index Fund		None	J	T					
92. -Plum Creek Timber Co REIT	A	Dividend	J	T					
93. -Powershs Exch Trad FD TR Powershares Water Resource Portfolio	A	Dividend	J	T					
94. -Rayonier Inc REIT	A	Dividend			Sold	11/11/14	J		
95. -Vanguard DIV Appreciation	A	Dividend			Sold (part)	01/24/14	J	A	
96.					Sold	10/31/14	K	D	
97. -Wisdomtree TR EFT Emerging Mkts Consumer Growth Fd	A	Dividend	J	T	Buy	01/06/14	J		
98. -Cohen & Steers Preferred SEC and INCM I	A	Dividend	J	T	Buy	05/14/14	J		
99. -Loomis Sayles Core Plus Bond Fund CL A	A	Dividend	J	T	Buy	05/14/14	J		
100.					Buy (add'l)	10/08/14	J		
101. -Osterweis Strategic Income Fund	A	Dividend	J	T	Buy	05/14/14	J		
102. -S1 FD CL I		None	K	T	Buy	05/14/14	K		

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Page 10 of 12

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103. -Alps Red Rocks Listed Private Eqty FD CL A	A	Dividend	J	T	Buy	07/11/14	J		
104. -Doubleline Total Return		None			Buy	10/08/14	J		
105.					Sold	10/21/14	J		
106. -PIMCO Incm CL D	A	Dividend	J	T	Buy	05/14/14	J		
107.					Buy (add'l)	10/08/14	J		
108. -PIMCO Incm INST CL	A	Dividend	J	T	Buy	05/14/14	J		
109. -Wisdomtree US Divid ETF US Divid Growth FD		None	K	T	Buy	10/31/14	K		
110. Vanguard (H):									
111. -Vanguard Balanced Index Fund Adm	B	Dividend	M	T	Buy	04/15/14	M		
112. -Vanguard High Dividend Yld Idx Inv	B	Dividend	M	T	Buy	04/15/14	M		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/07/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: To Part VII, #23: the asset listed here was closed in 2014 and will not appear in future disclosures.

Note 2: To Part VII, #24: the asset listed here was closed in 2014 and will not appear in future disclosures.

Note 3: To Part VII, #25: the asset listed here was closed in 2014 and will not appear in future disclosures.

Note 4: To Part VII, #26: the asset listed here was closed in 2014 and will not appear in future disclosures.

Note 5: To Part VII, #54: the asset listed here as "Absolute Credit Oppty FD" is the same asset that was listed in the 2013 report as "Absolute Oppty FD Inst SHS", line #65. They changed the name of the stock in 2014.

Note 6: To Part VII, #64: the asset listed here as "Mainstay Cushing MLP Premier FD CL A" is the same asset that was listed in the 2013 report as "Cushing MLP Premier FD CL A", line #79. They changed the name of the stock in 2014.

Note 7: To Part VII, #68: the asset listed here as "Empiric 2500 Fund CL A" is the same asset that was listed in the 2013 report as "Empiric Core Equity Fund CL A", line #83. They changed the name of the stock in 2014.

Note 8: To Part VII, #110: the asset listed here was opened in 2014. The [REDACTED] account was not listed in 2013 because it is a new account.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Morgenstern-Clarren, Pat E.

Date of Report

05/07/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Pat E. Morgenstern-Clarren

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544