

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pryor, Jr., William H.	2. Court or Organization United States Court of Appeals	3. Date of Report 5/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Visiting Professor	University of Alabama School of Law
2.	Visiting Professor	Cumberland School of Law, Samford University
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	University of Alabama School of Law	\$17,500.00
2. 2013	Cumberland School of Law, Samford University	\$7,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	SELF-EMPLOYED ACCOUNTANT
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federalist Society	January 15, 2013	Montgomery, AL	Deliver Presentation at Faulkner University	Transportation and Meals
2. Federalist Society	January 15, 2013	Mobile, AL	Deliver Presentation to the Mobile Federalist Society Chapter	Transportation and Meals
3. Yale Law School	March 7-8, 2013	New Haven, CT	Presentation to Yale Law Christian Fellowship	Transportation, Meals and Lodging
4. Federalist Society	March 19-20, 2013	Naples, FL	Deliver Presentation at Ave Maria School of Law	Transportation, Meals and Lodging

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5.	Federalist Society	September 12-15, 2013	Houston, TX	Deliver Presentation to the Houston Federalist Society Chapter	Transportation, Meals and Lodging
6.	The Federalist Society	November 13-17, 2013	Washington, DC	National Lawyers Convention	Transportation and Lodging
7.	University of Alabama	August 19- November 25, 2013	Tuscaloosa, AL	Teaching	Transportation
8.					
9.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. -TOCQUEVILLE GOLD FUND	A	Dividend			Sold	04/12/13	K	D	
3. -VULCAN VALUE PARTNERS SMALL CAP	B	Dividend	K	T	Buy	04/15/13	K		
4. IRA #2 (H)									
5. -FIDELITY SELECT MEDICAL DELIVERY	A	Dividend	K	T					
6. -FIDELITY SMALL CAP DISCOVERY FUND	C	Dividend	K	T					
7. -FIDELITY SELECT BIOTECHNOLOGY	A	Dividend	L	T					
8.					Buy (add'l)	07/12/13	K		
9. -PIMCO INCOME FD CL D	A	Dividend			Sold	07/11/13	K	D	
10. -FIDELITY BLUE CHIP GROWTH	C	Dividend	K	T					
11. IRA #3 (H)									
12. -FIDELITY REAL ESTATE INCOME	A	Dividend			Sold	07/10/13	L	D	
13. -FIDELITY SELECT SOFTWARE & COMPUTER	C	Dividend	L	T	Buy	07/10/13	L		
14. IRA #4 (H)									
15. -FIDELITY SELECT MATERIALS PORTFOLIO	A	Dividend			Sold	07/11/13	K	E	
16. -FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	L	T					
17. -FIDELITY SELECT MULTIMEDIA	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. -FIDELITY SELECT BIOTECHNOLOGY	A	Dividend	K	T					
19. -FIDELITY SELECT CHEMICALS	B	Dividend			Sold	07/10/13	L	E	
20. -FIDELITY SELECT IT SERVICES PORTFOLIO	B	Dividend	L	T	Buy	07/10/13	L		
21. -GLENMEDE SMALL CAP EQUITY- ADVISOR CL	B	Dividend	K	T	Buy	07/12/13	K		
22. IRA #7 (H)									
23. -FIDELITY SELECT RETAILING	A	Dividend	K	T					
24. -FIDELITY SELECT MATERIALS PORTFOLIO	A	Dividend			Sold	07/29/13	K	D	
25. -FIDELITY SELECT BIOTECHNOLOGY	A	Dividend	K	T	Buy	07/29/13	K		
26. BROKERAGE ACCT #1 (H)									
27. -FIDELITY SELECT BIOTECHNOLOGY	A	Dividend	K	T					
28. -FIDELITY NEW MARKETS INCOME	A	Dividend			Sold	02/20/13	J	B	
29. -FIDELITY SELECT PHARMACEUTICAL	B	Dividend	K	T					
30. -FIDELITY SMALL CAP VALUE	B	Dividend	K	T	Buy	02/20/13	J		
31. BROKERAGE ACCT #2 (H)									
32. -CASH	A	Interest			Closed	10/22/13	J		
33. -FIDELITY SELECT BIOTECHNOLOGY	A	Dividend			Buy	01/11/13	J		
34.					Sold	08/15/13	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -WARREN AVERETT, LLC PROFIT SHARING PLAN	D	Dividend	N	T					
36. COMPASS BANK - A/C #1	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Pryor, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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