

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Atlas, Nancy F.	2. Court or Organization U.S.D.C. - S.D. Tx.	3. Date of Report 09/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 515 Rusk Street, Room 9015 Houston, TX 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Member	VICTORY (Houston American Cancer Society)
2. Co-Trustee	Trust 1
3. Co-Trustee	Trust 2
4. Co-Trustee	Trust 3
5. Co-Trustee	Trust 4
6. Co-Trustee	Trust 5
7. Council Member and Task Force Member	American Bar Association Section of Litigation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	- fees
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association, Section of Litigation	01/12/2012-01/15/2012	Las Vegas, NV	Winter Leadership Conference	transportation, lodging, meals
2. University of Texas	03/07/12	Austin, TX	Community Fellows Program	transportation
3. New York University	03/29/12-03/31/12	New York, NY	Admitted Students Program	transportation, lodging
4. American Bar Association, Section of Litigation	04/18/12-04/21/12	Washington, DC	Section Annual Conference	transportation, lodging, meals
5. American Bar Association, Section of Litigation	06/21/12-06/23/12	San Juan, PR	Spring Leadership Conference	transportation, lodging, meals

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6.	American Bar Association, Section of Litigation	09/27/12-09/29/12	St. Louis, MO	Fall Leadership Conference	transportation, lodging, meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	(Section V Note 1)	See Section VIII	\$0.0
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. American Public Education Inc.		None			Sold	09/07/12	J	A	
2. Applied Materials Inc. (AMAT)	B	Dividend			Buy	02/01/12	K		
3.					Buy (add'l)	06/27/12	J		
4.					Sold	12/27/12	K	C	
5. BBVA Compass Bank, Houston TX		None	K	T					
6. AXA Equitable Universal Life Insurance		None	L	T					
7. BAIDU Inc. ADR(BIDU)		None			Sold (part)	03/26/12	J	C	
8.					Sold	04/10/12	J	C	
9. BAIDU-Option to let buyer buy part of shares (X)		None			Sold	01/11/12	J		
10. BAIDU-Option closing line 9		None			Buy	03/13/12	J	A	
11. BBVA Compass Bank, Houston, TX	A	Interest	N	T					
12. Berkshire Hathaway Inc. Del CL B (BRK.B)		None			Buy (add'l)	04/20/12	K		
13.					Sold	12/27/12	M	D	
14. Blackrock Muni Int. Dur Fund Inc. (MUI)	C	Dividend			Buy	02/01/12	K		
15.					Buy (add'l)	02/14/12	K		
16.					Buy (add'l)	03/15/12	K		
17.					Sold	12/27/12	K	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. Blind Squirrel Partners LLC	B	Royalty	L	U	Buy (add'l)	10/03/12	K		
19.					Buy (add'l)	12/14/12	K		
20. Cisco Systems Inc. (CSCO)	A	Dividend			Sold (part)	01/23/12	K		
21.					Buy (add'l)	04/24/12	K		
22.					Sold	04/27/12	K	C	
23. Cisco-Option to let buyer buy part of shares (X) (Y)		None			Sold	01/30/12	J	A	
24. Diamond Castle Partner IV, L.P. (FN 1)	A	Int./Div.	M	U					
25. Diamond Castle Partner IV, Offshore Fund, L.P.	A	Dividend	J	U					
26. Dreyfus Appreciation Fund (FN 2)	A	Dividend	K	T					
27. Enterprise Products Partners LP (EPD)	A	Dividend			Sold	01/27/12	L	D	
28. Epiphany Community Nursery School Loan (FN 11)	A	Interest				01/12/12	K		
29.						05/31/12	K		
30. Exxon Mobil Corp. (XOM)	A	Dividend			Sold	04/09/12	K	A	
31. Google Inc. CL A (GOOG)		None			Buy (add'l)	02/03/12	K		
32.					Sold	06/27/12	L		
33. Google-Option to let buyer buy part of shares (X) (Y)					Sold	04/10/12	J	B	
34. Google-Option to let buyer buy part of shares (X) (Y)					Sold	05/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. IRA #1 (FN14)	F	Int./Div.	P1	T					
36. - IRA #1: Aberdeen Asia-Pacific Income Fund Inc. (FAX)					Buy	02/24/12	L		
37. - IRA #1: Applied Materials Inc. (AMAT)					Buy	02/14/12	L		
38.					Buy (add'l)	03/13/12	K		
39.					Buy (add'l)	03/20/12	K		
40.					Buy (add'l)	05/08/12	J		
41.					Buy (add'l)	06/05/12	J		
42. - IRA #1: Baker Hughes Inc. (BHI)					Sold	03/20/12	K		
43. - IRA #1: Berkshire Hathaway Inc. (BAM)									
44. - IRA #1: Berkshire-Option to let buyer buy part (X) (FN12)					Sold	06/11/12	J		
45. - IRA #1: Blackrock Build America Bd Tr (BBN)					Buy	03/15/12	M		
46.					Buy (add'l)	03/20/12	K		
47. - IRA #1: Brookfield Asset Management Inc. (BAM)					Sold	06/05/12	L		
48. - IRA #1: Camden Ppty TR SH Ben Int. (CPT)					Buy	01/03/12	L		
49.					Sold	04/09/12	L	B	
50. - IRA #1: Cisco Systems Inc. (CSCO)					Sold (part)	01/24/12	K	B	
51.					Buy (add'l)	05/09/12	K		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	05/04/12	K		
53.					Sold (part)	06/05/12	K		
54. - IRA #1: Cisco Systems Inc. (CSCO) Option (FN 3)					Buy	03/20/12	J		
55. - IRA #1: Cisco-Option to let buyer buy part of shares (X)(Y)					Sold	05/09/12	J	C	
56. - IRA #1: Corning Inc. (GLW)					Buy	03/20/12	L		
57.					Sold	04/20/12	L		
58. - IRA #1: Eaton Vance Float Rate Inc. TR Closed End Fd (EFT)					Buy	06/11/12	L		
59.					Buy (add'l)	06/20/12	K		
60. - IRA #1: Excelon Corp. (EXC)					Buy (add'l)	01/18/12	K		
61.					Buy (add'l)	02/23/12	K		
62. - IRA #1: Exxon Mobil Corp. (XOM)					Sold (part)	02/23/12	K	B	
63.					Sold	04/09/12	L	B	
64. - IRA #1: Exxon Mobil Corp. (XOM) Option (FN 4)					Buy	03/13/12	J		
65. - IRA #1: Guggenheim Build America BDS (GBAB)					Sold	03/20/12	K	B	
66. - IRA #1: Intel Corp. (INTC)					Sold (part)	01/23/12	K	C	
67.					Sold	01/26/12	K	C	
68. - IRA #1: John Hancock PFD - Income II- Closed End Fund (HPF)					Sold	06/05/12	K		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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69. - IRA #1: John Hancock Premium Div. Fund ETF (PDT)					Buy (add'l)	05/14/12	K		
70.					Sold (part)	06/05/12	K		
71.					Sold	06/11/12	J	A	
72. - IRA #1: Johnson & Johnson (JNJ)					Sold (part)	01/30/12	K		
73.					Sold	03/20/12	L		
74. - IRA #1: Johnson & Johnson (JNJ) Option (X) (Y)					Sold	01/24/12	J	A	
75. - IRA #1: Johnson Controls Inc. (JCI)					Buy (add'l)	02/01/12	K		
76.					Buy (add'l)	02/03/12	K		
77.					Buy (add'l)	05/09/12	K		
78. - IRA #1: Matthews Intl FDS-Asia Dividend FD (MAPIX)					Buy (add'l)	04/18/12	K		
79. - IRA #1: MFA Financial Inc. REITS (MFA)					Buy (add'l)	06/27/12	K		
80. - IRA #1: Microsoft Corp. (MSFT)					Sold	02/14/12	L	D	
81. - IRA #1: National Oilwell Varco Inc. (NOV)					Sold (part)	02/29/12	K	B	
82.					Buy	03/20/12	K		
83.					Sold (part)	04/10/12	K		
84.					Buy (add'l)	05/09/12	K		
85.					Sold	06/26/12	L		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

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86. - IRA #1: National Oilwell Varco (NOV) Option (X) (Y)					Sold	01/27/12	J	C	
87. - IRA #1: National Retail Properties Inc. (NNN)									
88. - IRA #1: Nuveen Fltg Rate Incm Oppty FD (JRO)					Buy	04/18/12	K		
89.					Buy (add'l)	04/20/12	K		
90.					Buy (add'l)	05/08/12	K		
91.					Buy (add'l)	05/09/12	J		
92.					Buy (add'l)	06/27/12	J		
93. - IRA #1: Pepsico Inc. (PEP)					Sold	05/09/12	L		
94. - IRA #1: Proctor Gamble Co. (PG)					Sold (part)	04/10/12	K		
95.					Sold	05/01/12	L		
96. - IRA #1: Redwood Trust (RWT)					Buy (add'l)	06/05/12	K		
97. - IRA #1: Stratton FDS Inc. Small-Cap YLD (STSCX)					Buy	04/18/12	L		
98. - IRA #1: Taiwan Semiconductor (TSM)					Sold (part)	01/11/12	J		
99.					Sold (part)	01/24/12	K	A	
100.					Sold	06/05/12	K	A	
101. - IRA #1: TD Ameritrade Money Market Acct.									
102. - IRA #1: United Parcel Service (UPS)					Buy (add'l)	05/01/12	K		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	T ~Cash Market
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated		

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103.					Buy (add'l)	06/05/12	L		
104. - IRA #1: United Parcel-Option to let buyer buy shares (X)					Sold	06/11/12	J		
105. - IRA #1: Verizon Communications COM (VZ)					Buy	04/18/12	L		
106. - IRA #1: Vodafone Group PLC ADR (VOD)					Sold	03/06/12	L		
107. - IRA #1: Western Asset Emerging Markets Debt Fund (EDS)					Buy (add'l)	01/27/12	K		
108.					Buy (add'l)	02/03/12	K		
109.					Sold (part)	03/06/12	J	A	
110.					Sold (part)	05/08/12	J	A	
111.					Sold (part)	05/09/12	K	B	
112.					Sold	06/05/12	L		
113. - IRA #1: XILINX Inc. (XLNX)					Sold	03/20/12	K	D	
114. - IRA #1: XILINX-Closing out 12/1/11 option sell (FN 5)					Buy	03/13/12	J		
115. IRA #2 (FN14)	E	Int./Div.	O	T					
116. - IRA #2: GLD-MFC SPDR Gold TR Gold SHS					Buy	06/11/12	K		
117. - IRA #2: GUNR-MFC Flexshares TR Morningstar Global Upstream					Buy	06/11/12	J		
118. - IRA #2: NGREX-MFB Northern FDS Global Real Estate Index FD					Buy	12/13/12	K		
119. - IRA #2: NHFIX-MFB Northern Hi Yield Fxd Inc FD					Buy	12/13/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - IRA #2: NMHYX-MFB Northern Funds Multi Manager High Yield					Buy	06/11/12	M		
121.					Sold (part)	11/02/12	K	A	
122.					Sold	12/13/12	L	D	
123. - IRA #2: NMIEIX-MFB Northern Multi- Manager Intl Equity Fund					Buy	06/11/12	M		
124.					Sold	12/13/12	M	E	
125. - IRA #2: NMMCX-MFB Northern Multi- Manager Mid Cap fund					Buy	06/11/12	K		
126.					Sold	12/13/12	K	C	
127. - IRA #2: NMMEX-MFB Northern FDS Multi-Manager Emerging Mkts					Buy	06/11/12	L		
128.					Buy (add'l)	11/02/12	K		
129.					Sold	12/13/12	M	D	
130. - IRA #2: NMMGX-MFB Northern FDS Multi-Manager Global Real					Buy	06/11/12	K		
131.					Sold	12/13/12	K	D	
132. - IRA #2: NMMSX-MFB Northern Multi- Manager Small Cap Fund					Buy	06/11/12	J		
133.					Sold	12/13/12	K	B	
134. - IRA #2: NOBOX-MFB Northern FDS BD Index FD					Buy	06/11/12	L		
135.					Buy (add'l)	12/13/12	K		
136. - IRA #2: NOEMX-MFB Northern FDS Emerging Mkts Equity Index					Buy	12/13/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - IRA #2: NOFIX-MFB Northern FDS Fixed Income FD					Buy	06/11/12	K		
138.					Sold	12/23/12	K	A	
139. - IRA #2: NOINX-MFB Northern Intl Equity Index FD					Buy	12/13/12	M		
140. - IRA #2: NOMIX-MFB Northern Mid Cap Index FD					Buy	12/13/12	K		
141. - IRA #2: NORXX-MFB Northern Funds Money Market Fund					Buy	03/30/12	O		
142. - IRA #2: NOSIX-MFB Northern FDS STK Index FD					Buy	06/11/12	M		
143.					Sold (part)	11/02/12	K	B	
144. - IRA #2: NSGRX-MFB Northern Funds Small Cap Core Fund					Buy	06/11/12	J		
145.					Sold	12/13/12	K	B	
146. - IRA #2: NSIDX-MFB Northern FDS Small Cap Index FD					Buy	12/13/12	K		
147. - IRA #2: NUSFX-Northern FDS Ultra Short Fixed Income FD					Buy	11/02/12	J		
148. - IRA #2: PCRIX-MFO Pimco FDS Pac Inv Mgmt Ser Commodity					Buy	06/12/12	J		
149. - IRA #2: TDTF-MFC Flexshares TR IBOXX 5 Yr Target Duration					Buy	06/11/12	J		
150. - IRA #2: TDTT-MFC Flexshares TR IBOXX 3 Yr Target Duration					Buy	06/11/12	J		
151. IRA #3 (FN14)	A	Int./Div.	M	T					
152. - IRA #3: Vanguard 500 Index Fund					Sold	03/29/12	L	E	
153. - IRA #3: Vanguard Treasury Money Market Fnd					Sold	03/29/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IRA #4: Vanguard Treasury Money Market Fund	A	Interest			Sold	03/29/12	O		
155. IRA #5: Vanguard Small Cap Index Fund	A	Dividend	J	T	Buy (add'l)	06/19/12	J		
156. Ishares MSCI Singapore (EWS)	A	Dividend			Sold	12/27/12	K	A	
157. ITT Hartford Annuity		None			Closed	12/04/12	L	F	
158. Johnson Controls Inc. (JCI)	A	Dividend			Buy (add'l)	03/13/12	K		
159.					Sold	12/27/12	K		
160. Kinder Morgan Inc.	A	Dividend	J	T					
161. Magellan Midstream Partners (MMP)	D	Distribution			Buy (add'l)	06/27/12	L		
162.					Donated (part)				
163.					Sold	12/27/12	L	D	
164. Matthews Intl FDS-Asia Dividend FD (MAPIX)	A	Dividend			Sold	12/27/12	K	A	
165. MetLife Investors Group Inc.		None			Closed	12/03/12	M	G	
166. Mylan Inc. (MYL)		None			Buy (add'l)	04/08/12	K		
167.					Sold	12/27/12	L	D	
168. Nexus Members 1999 Drilling Fund LLLP (X)		None	J	U					
169. Nexus Resources LLC-Preferred	E	Royalty	K	U					
170. Nuveen Dividend Advantage Muni Income Fund (NVG)	B	Interest			Buy	02/01/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	04/18/12	K		
172.					Buy (add'l)	04/20/12	K		
173.					Sold	12/27/12	K	A	
174. Real Property, Travis County, TX	E	Rent	N	W					
175. Redwood Trust (RWT)	B	Dividend			Sold	12/27/12	K	D	
176. Roth IRA #1	C	Int./Div.	M	T					
177. - Roth IRA : Vanguard Small Cap Index Fund									
178. - Roth IRA: Vanguard Treasury Money Market Fund									
179. - Roth IRA Rollover: Vanguard 500 Index Fund									
180. Taiwan Semiconductor (TSM)		None			Sold	06/27/12	J		
181. TD Ameritrade Money Market Acct.	A	Interest	K	T					
182. TPG Partners V, L.P. (FN 6)	C	Int./Div.	L	U					
183. 401(K) PIMCO Total Ret-Inst (FN 7)		Interest	M	T					
184. 401(K) Vanguard Infl-Prot Secs (FN 7)		Interest	M	T	Sold (part)	07/19/12	K		
185. 401(K) Vanguard Institutional Index (FN 7)		Dividend	O	T					
186. 401(K) Vanguard Mid Cap Index (FN 7)		Dividend	N	T					
187. 401(K) Vanguard Total International Stock Index (FN 7)		Dividend	O	T	Buy (add'l)	07/19/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Vanguard Federal Money Market Fund	A	Interest			Sold	05/18/12	L		
189. Vanguard Prime Money Market Fund	A	Interest			Sold	05/18/12	K		
190. Vanguard Treasury Money Market Fund	A	Interest			Sold (part)	06/19/12	J		
191.					Sold	09/12/12	L		
192. Pension Plan (FN 8)		None	O	T					
193. Wegoma Berkshire VII, L.P. (FN 9)	D	Int./Div.	K	U					
194. WG&M Capital Account	C	Interest	O	T	Distributed (part)	02/10/12	L		
195. TRUST 1	D	Dividend	O	T					
196. - Dreyfus Appreciation Fund (FN 2)									
197. TRUST 2	C	Int./Div.	M	T					
198. - BBVA Compass Bank, Houston, TX Acct. # 4									
199. - GLD: MFC Spdr Gold Tr Gold Shs					Buy (add'l)	04/20/12	J		
200. - GUNR: MFC Flexshares TR Morningstar Global Upstream Nat.									
201. - NGREX: MFB Northern FDS Global Real Estate Index					Buy	12/13/12	J		
202. - NHFIX: MFB Northern High Yield Fixed Income					Buy (add'l)	02/02/12	J		
203.					Buy (add'l)	04/20/12	J		
204.					Sold (part)	11/02/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - NHYMX: MFB Northern Funds High Yield Municipal Fund					Sold	02/02/12	J	A	
206. - NMIEX: MFB Northern Multi-Manager Intl Equity					Sold	12/13/12	K		
207. - NMMCX: MFB Northern Multi-Manager Mid Cap					Sold	12/13/12	J		
208. - NMSEX: MFB Northern Funds Multi- Manager Emerging Mkts Equi					Buy (add'l)	04/20/12	J		
209.					Buy (add'l)	11/02/12	J		
210.					Sold	12/13/12	J		
211. - NMMGX: MFB Northern Funds Multi- Manager Global Real Estate					Buy (add'l)	04/20/12	J		
212.					Sold	12/13/12	J	A	
213. - NMMLX: MFB Northern Funds Multi- Manager Large Cap					Sold (part)	04/20/12	J	A	
214.					Sold (part)	11/02/12	J		
215.					Sold	12/13/12	K	A	
216. - NMMSX: MFB Northern Multi-Manager Small Cap					Sold	12/13/12	J		
217. - NOEMX: MFB Northern FDS Emerging Mkts Equity Index FD					Buy	12/13/12	J		
218. - NOINX: MFB Northern Intl Equity Index FD					Buy	12/13/12	K		
219. - NOITX: MFB Northern Funds Inter Tax Exempt Fund					Sold (part)	04/20/12	J	A	
220. - NOMIX: MFB Northern Mid Cap Index FD					Buy	12/13/12	J		
221. - NOMXX: MFB Northern Funds Mun Money Mkt									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. - NOSIX: MFB Northern FDS Stk Index FD					Buy	12/13/12	K		
223. - NSGRX: MFB Northern Funds Small Cap Core					Sold	12/13/12	J	A	
224. - NSIDX: MFB Northern FDS Small Cap Index FD					Buy	12/13/12	J		
225. - NTAUX: MFB Northern FDS Tax Advantaged Ultra Short Fixed					Buy	11/02/12	J		
226. - PCRIX: MFO Pimco FDS PAC Invst Mgmt Ser Commodity Real Retu									
227. - TDTF: MFC Flexshares Tr IBOX 5 yr Target Duration TIPS In									
228. - TDTT: MFC Flexshares Tr IBOX 3 yr Target Duration TIPS In									
229. - Vanguard Small-Cap Index Fund					Sold	06/15/12	J		
230. TRUST 3	C	Int./Div.	M	T					
231. - BBVA Compass Bank, Houston, TX Acct. # 5									
232. - GLD: MFC Spdr Gold Tr Gold Shs					Buy (add'l)	04/20/12	J		
233. - GUNR: MFC Flexshares TR Morningstar Global Upstream Nat. R									
234. - NGREX: MFB Northern FDS Global RealEstate Index					Buy	12/13/12	J		
235. - NHFIX: MFB Northern High Yield Fixed Income Fund					Buy (add'l)	02/02/12	J		
236.					Buy (add'l)	04/20/12	J		
237.					Sold (part)	11/02/12	J	A	
238. - NHYMX: MFB Northern Funds High Yield Municipal Fund					Sold	02/02/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - NMIEX: MFB Northern Multi-Manager Intl Equity Fund					Sold	12/13/12	K		
240. - NMMCX: MFB Northern Multi-Manager Mid Cap Fund					Sold	12/13/12	J		
241. - NMMEX: MFB Northern Funds Multi-Manager Emerging Mkts Equi					Buy (add'l)	04/20/12	J		
242.					Buy (add'l)	11/02/12	J		
243.					Sold	12/13/12	J		
244. - NMMGX: MFB Northern Funds Multi-Manager Global Real Estate					Buy (add'l)	04/20/12	J		
245.					Sold	12/13/12	J	A	
246. - NMMLX: MFB Northern Funds Multi-Manager Large Cap					Sold (part)	04/20/12	J		
247.					Sold (part)	11/02/12	J		
248.					Sold	12/13/12	K	A	
249. - NMMSX: MFB Northern Multi-Manager Small Cap Fund					Sold	12/13/12	J		
250. - NOEMX: MFB Northern FDS Emerging Mkts Equity Index Inde FD					Buy	12/13/12	J		
251. - NOINX: MFB Northern Intl Equity Index FD					Buy	12/13/12	K		
252. - NOITX: MFB Northern Funds Inter Tax Exempt Fund					Sold (part)	04/20/12	J	A	
253. - NOMIX: MFB Northern Mid Cap Index FD					Buy	12/13/12	J		
254. - NOMXX: MFB Northern Funds Mun Money Mkt Fund									
255. - NOSIX: MFB Northern FDS Stk Index FD					Buy	12/13/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - NSGRX: MFB Northern Funds Small Cap Core Fund					Sold	12/13/12	J	A	
257. - NSIDX: MFB Northern FDS Small Cap Index FD					Buy	12/13/12	J		
258. - NTAUX: MFB Northern FDS Tax Advantaged Ultra Short Fixed					Buy	11/02/12	J		
259. - PCRIX: MFO Pimco Funds PAC Invt Mgmt Ser Commodity Real Re									
260. - TDTF: MFC Flexshares Tr IBOX 5 yr Target Duration TIPS In									
261. - TDTT: MFC Flexshares Tr IBOX 3 yr Target Duration TIPS In									
262. - Vanguard Small-Cap Index Fund					Sold	06/15/12	J		
263. TRUST 4		None	N	T					
264. - BBVA Compass Bank, Houston, TX Acct. #6									
265. - Pacific Life Ins. Co.: Mercury Equity Index Fund (FN 10)									
266. TRUST 5	A	Int./Div.	N	T					
267. - American General Life Ins. Co. (Universal Life Ins.)									
268. - Lincoln National Life Ins. Co. (Universal Life Ins.)									
269. -Vanguard 500 Index Fund (was Vanguard Index Trust 500 Portf									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section V Notes:

(1) A few times each year, friends invite my spouse and me to sit with them at tables they have purchased at charity events. We occasionally invite friends to do the same, i.e., join us at tables we have purchased for charity events. Since my confirmation, each time a friend has invited us to sit at his/her table, my husband has made a contribution to the organization hosting the event equal to or greater than the fair market value of two tickets to the event. Each time, the invitation has come from a close friend whose friendship long predated my nomination. A few of those friends are lawyers and could someday represent clients in my court. To my knowledge, none of those friends was a party or represented clients in my court at the time of the event at which we sat at their table.

Section VII Notes:

(1) Diamond Castle Partner IV, L.P. is a private equity fund that makes periodic capital calls. In response to capital calls, we invested an amount within category J on 10/10/12.

(2) Every year in late March and late December, the income from the Dreyfus Appreciation Fund in Trust 1 is automatically swept into and invested in the Dreyfus Appreciation Fund held in my personal account.

(3) This closed the item at line 49 in the 2011 report.

(4) This closed the item at line 62 in the 2011 report.

(5) This closed the item at line 136 in the 2011 report.

(6) TPG Partners V, L.P. is a private equity fund that makes periodic capital calls. In response to capital calls, we invested an amount within category J on 05/24/12.

(7) Although my spouse left the employ of [REDACTED] in 2006, he continues to participate in the [REDACTED] Retirement Plans A and B. These are individual account defined contribution plans qualified under I.R.C. Section 401(a). The contributions by the firm were fixed during my spouse's employment by the firm. Retirement Plan A has a salary reduction I.R.C. Section 401(k) feature. The Trustee of the Plan is JPMorgan Chase Bank, N.A., Houston, Texas. My husband has no investment discretion with respect to the investments of this defined contribution plan, except for the after-tax contribution component as to which he has the limited discretion to choose any of a number of funds that are available. These funds are managed by outside investment advisors. I cannot determine how much of the change in total market value since last year was due to interest and/or dividends or the amount of capital gains on sale of shares in the fund. JP Morgan Chase informed my spouse that they cannot provide me with this information.

(8) Annual payment (beginning 1/15/15) of a fixed amount times years of service starting 1/1/95. Present value was provided by my husband's former law firm.

(9) Wegoma Berkshire VII, L.P. is a private equity fund that makes periodic capital calls. In response to capital calls, we invested an amount within category A on 02/10, 5/21, and 6/25/12 and category B on 11/07, and 12/14/12.

(10) Pacific Life Insurance Co. says that it cannot provide the income for any year. Company representatives state that because I hold an interest in an index fund in which the cash value of an insurance policy has been invested, and not an individual taxable investment, there are no individual dividends or realized gains to report; all that is reported are gains or losses to the policy cash value. In addition, the company states, under applicable government regulations, an insurance policy is considered an asset, not an investment.

(11) A loan of K was made to this entity on 1/12/12; it was repaid in full on 5/31/12.

(12) This option was an open position at the end of 2012.

(13) The entry on line 162 (2011) F.D.R. was a personal loan that was fully paid during 2011.

(14) The assets listed in IRA's #1-3 were listed in the 2011 report but have been sorted into separate IRA's in 2012 for ease of reporting. This involves no reportable transactions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nancy F. Atlas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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