

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W.	2. Court or Organization U.S. District Court, N.D. Illinois	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 219 South Dearborn Street Chambers 1788 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% of one limited partnership)
2. 2013	CCTO Production Partnerhsp (passive limited partnership interest in oil and gas production; 0.0135% interest)
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Northwestern University School of Law; taught courses	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	LCSW, Private Social Work Practice
2. 2013	Teachers' Retirement Pension
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting
Gettleman, Robert W.

Date of Report
07/14/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Chicago)	A	Interest	J	T					
2. Bob Jo Investments (Real Estate)	D	Distribution	J	T					
3. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
4. MS Natural Resources	A	Interest	K	T					
5. Morgan Stanley Active Assets Money Trust	C	Interest	J	T					
6. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T					
7. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
8. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
9. CCTO Production Partnership	E	Distribution	K	T					
10. Sacred Silks	A	Interest	J	T					
11. Eldorado Gold CP	A	Dividend	J	T					
12. Bristol Myers Squibb Co.	A	Dividend	J	T					
13. ING Plus	A	Interest	J	T					
14. GE Money BK	A	Interest	J	T					
15. MS Bank CD	A	Interest	J	T					
16. Giga Tronics	A	Dividend	J	T					
17. McEwen Mng Inc Com (MUX)	A	Dividend	J	T					

1. Income Gain Codes:	A –\$1,000 or less (See Columns B1 and D4)	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes	F –\$50,001 - \$100,000 (See Columns C1 and D3)	J –\$15,001 - \$50,000 K –\$100,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes	N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	O –\$500,001 - \$1,000,000	P4 –More than \$50,000,000	S –Assessment T –Cash Market	
(See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	W –Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Minera Andes Acquisition Corp (MCEEF)	A	Dividend	J	T					
19. Stora Enso SP ADR Ser R (SEQAY)	A	Dividend	J	T					
20. Turquoise Hill Res Ltd Com (RRQ)	A	Dividend	J	T					
21. BB&T Corporation	A	Dividend	J	T					
22. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
23. Morgan Stanley Growth Fund of Amer.	C	Dividend	K	T					
24. U.S. Treas. Bonds	A	Interest	L	T					
25. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
26. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					
27. Morgan Stanley European Growth	A	Dividend	K	T					
28. Morgan Standly Pacific Grouwth B	A	Dividend	J	T					
29. Sacramento Cnty Calif Go Pens FDG-A Taxable OY 7.53 BE	A	Dividend			Redeemed	01/03/13	J	A	
30. Bristol Myers Squibb Co.	A	Dividend	J	T					
31. SLM Corp Ser CPI/Floater	A	Dividend			Sold	01/18/13	J	A	
32. Intl Bk for Recon & Dev	A	Dividend	J	T					
33. Novartis AG ADR	A	Dividend	J	T					
34. Raytheon Co (New)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. GMAC Bank CD	A	Dividend	J	T					
36. Eli Lilly & Co.	A	Dividend	J	T					
37. Intel Corp.	A	Dividend	J	T					
38. Isis Pharm Inc.	A	Dividend	J	T					
39. Sanofi Aventis Ads	A	Dividend	J	T					
40. Seabridge Gold Inc	A	Dividend	J	T					
41. American Mutual A	A	Interest	J	T					
42. Columbia Global Value B	A	Dividend	J	T					
43. Agnico Eagle Mines	A	Dividend	J	T					
44. Banro Corp	A	Dividend	J	T					
45. Dresser Rand	A	Dividend	J	T					
46. Echelon	A	Dividend	J	T					
47. Eldorado Gold	A	Dividend	J	T					
48. Silver Wheaton Corp.	A	Dividend			Sold	04/18/13	J	A	
49. Goldman Sachs GRP Inc.	A	Dividend	J	T					
50. Market Vectors Gold Mines	A	Dividend	J	T					
51. Sequenom Inc New	A	Dividend			Sold	05/16/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Morgan ST III Cum	A	Dividend	J	T					
53. Yamana Gold Ind.	A	Dividend	J	T					
54. Chicago Go-EsD BE	A	Dividend	J	T					
55. Duke Energy Corp	A	Dividend	J	T					
56. BP PLC ADS	A	Dividend	J	T					
57. Genuine Parts Co.	A	Dividend	J	T					
58. Bunge Ltd	A	Dividend	J	T					
59. IBM	A	Dividend	J	T					
60. Proctor & Gamble	A	Dividend	J	T					
61. Protective Life	A	Dividend	J	T					
62. Rubicon Minerals	A	Dividend	J	T					
63. Waste Management	A	Dividend	J	T					
64. Aqua Amer Inc	A	Dividend	J	T					
65. Echelon (ELON)	A	Dividend	J	T					
66. Kansas City Life Ins.	A	Dividend	J	T					
67. Verizon Comm	A	Dividend	J	T					
68. Calif St. Genl Oblig	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Thornburg Inv. Inc.	A	Dividend	J	T					
70. ASA Gold and Precious Metals (ASA)	A	Dividend			Sold	10/17/13	J	A	
71. Giga Tronics (GUGA)	A	Dividend	J	T					
72. Inergy LP (NRGY)	A	Dividend			Sold	08/14/13	J	A	
73. Albuquerque Bernalillo Cnty Wtr Util Au N M JT WTR Rev-A-1	A	Interest	J	T					
74. Will Cnty Ill Cmnty Cons Sch Dist. No. 30- C Ser B	A	Interest	J	T					
75. Baltimore Cnty MD Genl Oblig Ref Met Dist	A	Interest	J	T					
76. San Diego Cnty Calif Wtr Auth Fing Agy Wt Rev-A	A	Interest	J	T					
77. San Antonio Tex Wtr Sys Rev Ref	A	Interest	J	T					
78. 3M Company (MMM)	A	Dividend	J	T					
79. Accelrys Inc (ACCL)	A	Dividend	J	T					
80. AGL Resources IN (GAS)	A	Distribution	J	T					
81. Amer Nat Ins Co (ANAT)	A	Dividend	J	T					
82. Calamp Corp (CAMP)	A	Dividend	J	T					
83. Cisco Syst Inc (CSCO)	A	Dividend	J	T					
84. Clorox CO DE (CLX)	A	Dividend	J	T					
85. Colgate Palmolive Co (CL)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Compass Div TR SHS Beneficial (CODI)	A	Dividend	J	T					
87. Covidien PLC New (COV)	A	Distribution	J	T					
88. Health Cre Reit Inc (HCN)	A	Dividend	J	T					
89. Honda Motor Company Ltd ADR (HMC)	A	Dividend	J	T					
90. Johnson & Johnson	A	Dividend	J	T					
91. Microsoft Corp (MSFT)	A	Dividend	J	T					
92. Monsanto CO/New (MON)	A	Dividend	J	T					
93. Seadrill Ltd (SDRL)	A	Dividend	J	T					
94. SPDR Global Dow ETF (DGT)	A	Dividend	J	T					
95. Talisman Energy Inc (TLM)	A	Dividend	J	T					
96. Toyota Motor CP ADR New (TM)	A	Dividend	J	T					
97. UIL Hldgs Corp (UIL)	A	Dividend			Sold	09/19/13	J	A	
98. United Fire & Casualty (UFCS)	A	Dividend	J	T					
99. Weatherford International LTD (WTF)	A	Dividend	J	T					
100. Xilinx Inc (XLNX)	A	Dividend	J	T					
101. New York City Genl Oblig Ser-F Subseries F-1 Build America	A	Interest	J	T					
102. Pennsylvania St. Build America Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. New York St. Mun Bd Bk Agy Recovery Act Build America	A	Interest	J	T					
104. New York City Go Build America C-1	A	Interest	J	T					
105. Alcoa Inc (AA)	A	Interest	J	T					
106. Anglogold Ashanti Limited (AU)	A	Interest	J	T					
107. Aqua Ameri Inc (WTR)	A	Dividend	J	T					
108. Banro Corp (BAA)	A	Dividend	J	T					
109. Corning Inc (GLW)	A	Dividend	J	T					
110. Encana Corp (ECA)	A	Dividend	J	T					
111. Facebook Inc CL-A (FB)	A	Dividend	J	T					
112. Ford Motor Co New (F)	A	Dividend	J	T					
113. General Mtrs Co (GM)	A	Dividend	J	T					
114. Golden Minerals Co (AUMN)	A	Dividend	J	T					
115. Hanmi Financial Corp New (HAFC)	A	Dividend			Sold	11/21/13	J	A	
116. Isis Pharm Inc (ISIS)	A	Dividend	J	T					
117. KB Home (KBH)	A	Dividend	J	T					
118. Mellanox Tech Ltd (MLNX)	A	Dividend			Sold	11/20/13	J	A	
119. Merck & Co Inc New Com (MRK)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Mercury Genl CP New (MCY)	A	Dividend	J	T					
121. Omega Healthcare Inv Inc.	A	Dividend			Sold	11/19/13	J	A	
122. Paramount Gold & Silver Corp (PZG)	A	Dividend	J	T					
123. Southern Co (SO)	A	Dividend			Sold	02/27/13	J	A	
124. Statoil Asa Adr (STO)	A	Dividend	J	T					
125. Synergy Res Corp Com (SYRG)	A	Dividend			Sold	06/18/13	J	A	
126. Teradata Corp (TDC)	A	Dividend			Sold	11/20/13	J	A	
127. Vanguard European MSCI ETF (VGK)	A	Dividend	J	T					
128. Newmont Mining Corp (New) (NEM)	A	Dividend	J	T	Buy	12/27/13	J		
129. ABB LTD (ABB)	A	Dividend	J	T	Buy	03/18/13	J		
130. Aircastle Limited (AYR)	A	Distribution	J	T	Buy	01/23/13	J		
131. Assoc Estates Realty (AEC)	A	Dividend	J	T	Buy	02/01/13	J		
132. Calamp Corp (CAMP)	A	Dividend	J	T	Buy	03/14/13	J		
133. Glaxosmithkline	A	Dividend	J	T	Buy	01/07/13	J		
134. Hess Corporation	A	Dividend	J	T	Buy	03/21/13	J		
135. KKR & Co LLP (KKR)	A	Dividend	J	T	Buy	04/18/13	J		
136. LGI Homes, Inc. (LGIH)	A	Dividend	J	T	Buy	01/28/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Lorillard Inc	A	Dividend	J	T	Buy	05/16/13	J		
138. Mallinckrodt Public Ltd. Co (MNK)	A	Dividend	J	T	Buy	05/07/13	J		
139. Mannkind Corp (MNKD)	A	Dividend	J	T	Buy	01/15/13	J		
140. Newmont Mining Corp (New)(NEM)	A	Dividend	J	T	Buy	05/27/13	J		
141. Nippon Telegraph & Telephone ADS	A	Dividend	J	T	Buy	06/20/13	J		
142. Rait Financial Trust Com New (RAS)	A	Dividend	J	T	Buy	01/24/13	J		
143. Range Resources Corp (RR)	A	Dividend	J	T	Buy	04/25/13	J		
144. United STS STL CP (New) (X)	A	Dividend	J	T	Buy	07/11/13	J		
145. MS US Inflation Linked Notes	A	Dividend	J	T	Buy	06/13/13	J		
146. Royal Bank of Scotland	A	Dividend	J	T	Buy	01/07/13	J		
147. Lloyds TSB Bank PLC	A	Dividend	J	T	Buy	01/23/13	J		
148.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II. Agreements

No. 1: interest).

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Gettleman, Robert W.

Date of Report

07/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Gettleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544