

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rainey, John D.	2. Court or Organization U. S. District Court, Texas	3. Date of Report 04/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 312 S. Main Room 406 Victoria, TX 77901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1st Victoria National Bank (IRA)	A	Interest			Sold	02/18/14	L	A	see Part VIII
2. Prosperity Bank (IRA) (X)	A	Interest	L	T	Open	02/18/14	L		See Part VIII
3. State Farm Insurance	A	Interest	L	T					
4. Wells Fargo, Victoria, TX	A	Interest	O	T					
5. Land, Edwards County, TX		None	O	W					
6. Fidelity Brokerage Services		None	J	T					
7. Fidelity Money Market	A	Int./Div.	J	T					
8. Fidelity Brokerages Services IRA		None	N	T					
9. -T. Rowe Price Cap Appreciation					Sold	10/09/14	L	E	
10. -Aberdeen Emerging Markets Instl. Fd.			K	T					
11. -RS Global Natural Resources					Sold	03/19/14	J	B	
12. -Keeley Small Cap Value Class A					Sold	10/09/14	K	D	
13. -American Century Equity Income					Sold	09/08/14	J	C	
14. -Harbor International Institutional Fd					Sold	10/08/14	K	C	
15. -Robeco Boston Prtns LG/SH Research Instl			K	T					
16. -SPDR S&P 500 EFT Trust			L	T	Buy (add'l)	10/09/14	L		
17. -Spartan Intl. Investor Class			L	T	Buy (add'l)	10/09/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Equinox Chesapeake Strategy Fund			J	T					
19. -Blackrock Global			J	T					
20. T. Rowe Price Cap Appreciation	B	Dividend	K	T					
21. Harbor International Institutional Fd	A	Dividend	K	T					
22. RS Global Resources CL A		None			Sold	09/08/14	J	B	
23. IShares TR MSCI Emerging MKTS		None			Sold	09/08/14	J	A	
24. Keeley Small Cap Value Class A	A	Dividend	J	T					
25. Matthews Pacific Tiger Fund	A	Dividend	K	T					
26. Twenty Express, Limited	D	Distribution	J	W					
27. Nationwide Geneva Small Cap Growth		None			Sold	09/08/14	K	C	see Part VIII
28. Mainstay Marketfield Fund Class 1		None			Sold	09/09/14	K	A	
29. Lazard Explorer Total Return Instl		None			Sold	12/31/14	J	A	
30. Columbia Acorn International		None			Sold	12/31/14	J	A	
31. Vanguard Small Cap Value Index Admiral (X)		None	J	T	Buy	03/19/14	J		
32. Vanguard Mid-Cap Value Index Admiral (X)		None	J	T	Buy	03/19/14	J		
33. Vanguard Dividend Growth Investor Cl (X)		None	J	T	Buy	03/19/14	J		
34. Eagle MLP Strategy Fund CL (X)		None	J	T	Buy	03/19/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35. Eagle MLP Strategy Fund C1 (X)		None	K	T	Buy (add'l)	09/10/14	K		
36. IShares Gold Trust Ishares (X)		None	J	T	Buy	03/19/14	J		
37. Vanguard Small Cap Value Index Investor (X)		None	K	T	Buy	10/09/14	K		
38. District Columbia Income Tax Rev Bds (X)		None	K	T	Buy	04/25/14	K		
39. San Antonio Tex Wtr Rev (X)		None	K	T	Buy	05/02/14	K		
40. Grand Prairie Tex ISD Ultd (X)		None	K	T	Buy	05/28/14	K		
41. Vanguard Mid-Cap Value Index Admiral (X)	A	Dividend	K	T	Buy	09/10/14	K		
42. John Hancock GI Abso Return Strats C1 (X)		None	K	T	Buy	09/10/14	K		
43. Vanguard Developed Merkits Index Admiral (X)		None	J	T	Buy	12/31/14	J		
44. Equinox Chesapeake Strategy Fund C1 (X)		None	J	T	Buy	12/31/14	J		
45. BrainDead Brewing Deep Ellum, LLC (X)		None	K	W	Buy	03/26/14	K		
46. Juniper Sharyland Ltd. (X)		None	K	W	Buy	10/29/14	K		
47.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1 and 2, 1st Victoria National Bank was sold to Prosperity Bank.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John D. Rainey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544