

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griffith, Thomas B.	2. Court or Organization US Court of Appeals for the D.C. Circuit	3. Date of Report 09/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 333 Constitution Avenue, NW Suite 3917 Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Directors	Friends of the CEELI Institute
2.	Director, President	The Temple and Observatory Group
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	Deseret Mutual Benefit Association (DMBA) Investment Fund (retirement plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Stanford Law School - Adjunct Professor salary	\$26,000.00
2. 2015	Deseret Mutual Benefit Association - Retirement Account	\$18,867.60
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Stanford Law School	1/3/2015 - 1/23/2015	Stanford, CA	Teach class -- "The Article III Judge"	Airfare, taxi
2. Federalist Society	2/2/2015 - 2/5/2015	New York NY & New Haven, CT	Speak to FedSoc Chapters of Columbia Law School and Yale Law School	Train fare, hotel, taxi, meals
3. Federalist Society	2/21/2015 - 2/22/2015	Chicago, IL	Moderate panel at the FedSoc National Student Symposium	Airfare, hotel, taxi, meals
4. Federalist Society	3/5/2015 - 3/6/2015	Cambridge, MA	Speak to the FedSoc Chapter of Harvard Law School	Airfare, hotel, taxi, meals, parking

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5.	Southern Virginia University	3/26/2015 - 3/27/2015	Buena Vista, VA	Speak at a Friday Forum	Mileage
6.	University of Virginia Law School	4/17/2015 - 4/18/2015	Charlottesville, VA	Judge Final Round of the Lile Moot Court Competition	Hotel, mileage, meals
7.	Circuit Judicial Conference	6/23/2015 - 6/26/2015	Philadelphia, PA	Attend the 2015 D.C. Circuit Judicial Conference	Train fare, hotel, taxi, meals
8.	J. Reuben Clark Law School	10/28/2015 - 11/3/2015	Provo, UT	Speak at BYU Career Lecture, judge final round of Rex E. Lee Moot Court Competition	Airfare, hotel, car rental, food, parking, mileage
9.					
10.					
11.					
12.					
13.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	C & D Construction	Unsecured Construction Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Bank Acct	A	Interest	J	T					
2. Nuveen Multi-Manager Large-Cap Value A	A	Dividend	J	T					
3. DMBA Investment Fund	A	Dividend	J	T					
4. Edward Jones									
5. Money Market X	A	Interest	K	T					
6. IRA (H)									
7. --Lord Abbett Fund CI A X	A	Dividend	J	T					
8. --Washington Mutual Invs FD CI A X	A	Dividend	J	T					
9. MUTUAL FUNDS (H)									
10. --Allianzgi NFJ Dividend X	A	Dividend	K	T					
11. --American Century Div Bond X	A	Dividend	K	T					
12. --Blackrock Equity Dividend X	A	Dividend	K	T					
13. --Bridge Builder Core Bond X	B	Dividend	L	T					
14. --Bridge Builder Core Plus Bond X	A	Dividend	K	T					
15. --Bridge Builder INTL Equity X	A	Dividend	J	T					
16. --Bridge Builder Large Growth X	A	Dividend	K	T					
17. Bridge Builder Large Value X	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. --Bridge Builder Smallmid Growth X	A	Dividend	J	T					
19. --Capital World Bond X	A	Dividend	K	T					
20. --Capital World Grw & Income F1 X	A	Dividend	K	T					
21. --Dodge & Cox INTL Stock X	A	Dividend	K	T					
22. --Dreyfus International Stk Cl Y X	A	Dividend	K	T					
23. --Janus Flexible Bond X	A	Dividend	K	T					
24. --JPMorgan Federal Money Market Fund X Institutional Cl	A	Dividend	J	T					
25. --JPMorgan Mid Cap Value Instl X	D	Dividend	K	T					
26. --JPMorgan Short Duration Bond X	A	Dividend	K	T					
27. --Neuberger Berman Genesis X	A	Dividend	J	T					
28. --Neuberger Berman High Income X	B	Dividend	K	T					
29. --Prudential Total Return Bond X	A	Dividend	K	T					
30. --T Rowe Price Equity Income X	A	Dividend	J	T					
31. --T Rowe Price Instl Lrge Cp Gr X	A	Dividend	K	T					
32. MUNICIPAL BONDS (H)									
33. --Virginia Res Auth Clean Wtr St Revolving Fd Rev Ser B X	A	Dividend	J	T					
34. --Loudoun Cnty Virginia Public Improvement Gen Oblig Ser A X	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Norfolk Virginia General Obligation Cap Impt & Rfdg A X	A	Dividend	J	T					
36. --Suffolk Virginia Refunding General Obligation X	A	Dividend	J	T					
37. --Isle Wight County Virginia Refunding Series A Gen Oblig X	A	Dividend	J	T					
38. --Suffolk Virginia General Obligation & Refunding X	A	Dividend	J	T					
39. --Hampton Virginia Public Improvement General Obligation X	A	Dividend	J	T					
40. --Virginia State Series A-2 General Obligation X	A	Dividend	K	T					
41. --Virginia Res Auth St Moral Oblig Rev VA Pooled Fing Pg X	A	Dividend	J	T					
42. --Virginia St Hsg Dev Auth Rev Comwlth Mtg Ser A Subser A-2 X	A	Dividend	K	T					
43. --Fairfax Cnty Virginia Econ Dev Auth Fac Rev Cmnty Svcs Facs A X	A	Dividend	J	T					
44. --Virginia State Housing Dev Authority Rental Hsg Ser B X	A	Dividend	J	T					
45. STOCKS (H)									
46. --Chevron Corp X	A	Dividend	J	T					
47. --Marriott International New A X	A	Dividend	J	T					
48. --Realty Income Corp X	B	Dividend	J	T					
49. EXCHANGE TRADED AND CLOSED END FUNDS (H)									
50. --Sector Spdr Trust Utilities X	A	Dividend	K	T					

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51. LINCOLN FINANCIAL LIFE INSURANCE AMERICAN LEGACY II VARIABLE (X)	D	Dividend	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -- Investments and Trusts

All items listed on lines 5-50 are assets that Judge Griffith inherited at the death of his mother, [REDACTED] All of these assets are held at Edward Jones.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas B. Griffith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544