

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorsuch, Neil M.	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice of the Supreme Court of the United States	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Supreme Court of the United States One First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Walden Group LLC (mountain property)
2.	Adjunct Professor	University of Colorado Law School
3.	Member	University of Chicago Law School's Visiting Committee
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Princeton University Press - book royalties	\$9,020.00
2. 2017	University of Colorado Law School - teaching	\$2,600.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Marshall Scholars Organization	06/02/2017 - 06/03/2017	Boston, MA	Educational program	Transportation, meals, hotel
2. University of Kentucky and University of Louisville	09/21/2017 - 09/22/2017	Louisville, KY and Lexington, KY	Educational program	Transportation, meals, hotel
3. Academy of Achievement Dinner	10/16/2017 - 10/19/2017	London, England	Award, speech, educational program	Transportation, meals, hotel
4. Harvard Law School	10/26/2017	Cambridge, MA	Attended Harvard Law School's Bicentennial	Transportation
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Acct #1 (H)									
2. - USAA Tax Exempt Money Mkt Fd	B	Dividend	P1	T	Sold (part)	01/18/17	J		
3.					Sold (part)	01/19/17	J		
4.					Buy (add'l)	02/13/17	J		
5.					Sold (part)	02/16/17	J		
6.					Sold (part)	02/17/17	J		
7.					Buy (add'l)	03/13/17	J		
8.					Sold (part)	03/16/17	J		
9.					Sold (part)	03/17/17	J		
10.					Buy (add'l)	04/06/17	K		
11.					Sold (part)	04/11/17	J		
12.					Sold (part)	04/12/17	J		
13.					Sold (part)	04/17/17	J		
14.					Buy (add'l)	04/24/17	L		
15.					Sold (part)	04/24/17	J		
16.					Sold (part)	05/01/17	J		
17.					Sold (part)	05/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	05/12/17	J		
19.					Sold (part)	05/15/17	J		
20.					Sold (part)	05/18/17	K		
21.					Buy (add'l)	05/30/17	N		
22.					Sold (part)	06/05/17	J		
23.					Sold (part)	06/12/17	J		
24.					Sold (part)	06/13/17	K		
25.					Sold (part)	06/14/17	J		
26.					Sold (part)	06/15/17	J		
27.					Buy (add'l)	06/26/17	J		
28.					Sold (part)	06/27/17	J		
29.					Buy (add'l)	06/27/17	J		
30.					Sold (part)	06/29/17	J		
31.					Sold (part)	07/05/17	K		
32.					Sold (part)	07/06/17	J		
33.					Buy (add'l)	07/07/17	J		
34.					Sold (part)	07/10/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	07/12/17	J		
36.					Buy (add'l)	07/24/17	O		
37.					Buy (add'l)	08/01/17	O		
38.					Sold (part)	08/10/17	P1		
39.					Sold (part)	08/11/17	J		
40.					Sold (part)	08/14/17	J		
41.					Buy (add'l)	08/23/17	J		
42.					Sold (part)	09/12/17	J		
43.					Sold (part)	09/13/17	K		
44.					Sold (part)	09/18/17	J		
45.					Sold (part)	10/04/17	J		
46.					Sold (part)	11/08/17	J		
47.					Buy (add'l)	11/30/17	P1		
48. - USAA Tax Exempt Long Term Fd	C	Dividend			Sold	07/24/17	M		
49. - USAA High Income Fd	D	Dividend			Sold	07/24/17	N		
50. - USAA International Fd	D	Dividend	N	T					
51. - USAA S&P 500 Index Fd	D	Dividend	O	T					

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52. - USAA Value Fd	E	Dividend	N	T					
53. - USAA Tax Ex Intermediate Term Fd	D	Dividend			Sold	07/24/17	N	B	
54. - USAA Small Cap Stock Fd	E	Dividend	N	T					
55. - USAA Tax Ex Short Term Fd	A	Dividend			Sold	07/24/17	M		
56. Walden Group LLC		None			Sold	12/31/17	N		
57. 529 Plans - VA Educ Savings Trust (Age Based)	A	Dividend	O	T	Sold (part)	08/03/17	K	E	
58. 529 Plans - College Invest Savings Plan (Age Based)	B	Dividend	L	T					
59. CREF Growth R1 Fd	B	Dividend	J	T					
60. Senate Credit Union account F/K/A Senate Credit Union checking		None	L	T					
61. IRA Acct #1 (H)									
62. - USAA Target Retirement 2030 Fund	F	Dividend	O	T					
63. IRA Acct #2 (H)									
64. - USAA Target Retirement 2030 Fund	D	Dividend	L	T					
65. SEP IRA Acct #1 (H)									
66. - USAA Money Mkt Fd F/K/A USAA Money Mkt	A	Dividend	J	T	Buy (add'l)	02/14/17	J		
67. - USAA Short Term Bond Fd Inst	A	Dividend	J	T					
68. - USAA Income Fd Inst	A	Dividend	K	T					

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69. - USAA Intermediate Term Bond Inst	B	Dividend	K	T					
70. - USAA Managed Allocation Fd Retail F/K/ A/ USAA Managed Allocation Fd	A	Dividend	J	T					
71. - USAA High Income Fd Inst F/K/A USAA High Income Fd	A	Dividend	K	T					
72. - USAA Emerging Markets Fd	A	Dividend	J	T					
73. - USAA International Fund Inst	A	Dividend	K	T					
74. - USAA Small Cap Stock Fund Inst	B	Dividend	J	T	Sold (part)	02/14/17	J		
75. - USAA Income Stock Fund Inst	B	Dividend	K	T	Buy (add'l)	12/08/17	J		
76. - USAA Growth Fund Instl	A	Dividend	K	T					
77. Brokerage Acct #2 (H)									
78. - USAA Money Mkt Fd F/K/A USAA Money Market	A	Interest	J	T					
79. Brokerage Acct #3 (H)									
80. - USAA Tax Exempt Money Mkt Fd F/K/A USAA Tax Exempt MMKT	A	Dividend	J	T					
81. - Aurora Wtr Rev Muni Bond 8/1/22	B	Interest			Sold	07/25/17	K	A	
82. - Boulder County CO Open Space Cap 7/15/29	B	Interest			Sold	07/25/17	L	C	
83. - Broomfield Sales and Use Tax 12/1/27	B	Interest			Sold	07/25/17	L	A	
84. - Colo Springs Col Util Muni Bond 11/15/20	A	Interest			Sold	07/25/17	J	A	
85. - Colo Springs Col Util Muni Bond 11/15/26	B	Interest			Sold	07/25/17	K	C	

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86. - Denver Exc Tax Rev Muni Bond 9/1/18	B	Interest			Sold	07/25/17	K	A	
87. - Denver Colo City & Cnty Sch Dist 12/1/31	B	Interest			Sold	07/25/17	K	B	
88. - Longmont Co Enterprise Wstwr Rev 11/1/26	B	Interest			Sold	07/25/17	K	C	
89. - Mesa College Muni Bond 5/15/23	B	Interest			Sold	07/25/17	K	B	
90. - Pueblo Cnty Sch Dist Muni Bond 12/15/21	B	Interest			Sold	07/25/17	K	C	
91. - Summit Cnty School Dist 12/1/21	A	Interest			Sold	07/25/17	K	B	
92. - Univ Colo Ent Sys 6/1/29	A	Interest			Sold	07/25/17	K	B	
93. - Univ Colo Ent Sys 6/1/31	B	Interest			Sold	07/25/17	K	B	
94. - Weld Sch Dist Muni Bond 12/1/19	B	Interest			Sold	07/25/17	K	B	
95. - University Northn Colo Greeley Inst Bond 6/1/30	A	Interest			Sold	07/25/17	K	B	
96. - Greeley Colo Wtr Rev Ref Bds 8/01/22	B	Interest			Sold	07/25/17	K	A	
97. - Arapahoe Cnty Colo Sch Dist 12/01/28	B	Interest			Sold	07/25/17	L	B	
98. - Platte River Pwr 6/1/25	A	Interest			Sold	07/25/17	K		
99. - Fort Lewis College Brd Trustees Bond 10/1/2030	B	Interest			Sold	07/25/17	K		
100. - Colorado Sch Mines Instl Enterprise Rev Bond 8/1/2032	B	Interest			Sold	07/25/17	K		
101. - USAA Tax Exempt Short Term Retail Fd	A	Interest			Sold	07/28/17	L	A	
102. - Estes VY Colo rec & Pk Dist GO Bds 12/1/2033	B	Interest			Buy	01/09/17	K		

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103.					Sold	07/28/17	K	A	
104. Brokerage Acct #4 (H)									
105. - USAA Tax Exempt Money Mkt Fd F/K/A USAA Tax-Ex Money Mkt	A	Interest	K	T					
106. - USAA Savings CD 01/09/17	A	Interest			Redeemed	01/09/17	K		
107. - USAA Treasury Money Mkt Fd F/K/A USAA Treasury MMKT	A	Interest	K	T	Sold (part)	01/03/17	J		
108.					Buy (add'l)	01/09/17	K		
109.					Buy (add'l)	01/31/17	K		
110.					Sold (part)	02/08/17	J		
111.					Sold (part)	03/08/17	J		
112. Brokerage Acct #5 (H)									
113. - USAA Tax Exempt Money Mkt Fd F/K/A USAA Tax-Ex Money Mkt	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

05/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. This report was prepared on my behalf by my accountant.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

05/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Neil M. Gorsuch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544