

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FALLON, ELDON E.	2. Court or Organization U.S. District Court, Louisiana	3. Date of Report 04/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U. S. DISTRICT COURT 500 POYDRAS STREET - ROOM C456 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Ex-Officio Board Member/Past President	Louisiana Bar Foundation
2.	Trustee	Testamentary Trust
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Thompson-West (book royalty)	\$1,701.89
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Rand Center For Catastrphic Risk	3/30/16-3/31/16	Washington, DC	Advisory Board Meeting	Hosuing, food and travel
2. American Law Institute	4/11/16-4/12/16	New York, NY	Teaching educational seminar (speaker)	Housing, food and travel
3. University of Miami School of Law	12/1/16-12/2/16	Miami, FL	Teaching educational seminar (speaker)	Housing, food and travel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PARCEL #2, New Orleans, Louisiana	E	Rent			Sold	12/05/16	M	G	Farley J. Richard, Jr.
2. PARCEL #5, New Orleans, Louisiana	F	Rent	O	W					
3. *PARCEL#6, MS (Pearl River County)		None	P1	W					
4. DUKE COMMON STOCK	A	Dividend	K	T					
5. FIDELITY FUND COMMON STOCK	A	Dividend	K	T					
6. EMPIRE DISTRICT ELECTRIC COMMON STOCK	A	Dividend	K	T					
7. ANADARKO COMMON STOCK	A	Dividend	K	T					
8. VIAD COMMON STOCK	A	Dividend	J	T					
9. FINOVA COMMON STOCK	A	Dividend	J	T					
10. REGIONS BANK [formerly AM SOUTH (IRA)]	D	Dividend	N	T					
11. SCHWAB MONEY MARKET ACCOUNT (#3202-3016)	D	Interest	N	T					
12. *TESTAMENTARY TRUST		None	L	T					
13. LEGG MASON VALUE MUTUAL FUND	A	Dividend	K	T					
14. *GNMA'S POOL (BONDS)	A	Interest	K	T					
15. FNMA MTG POOL	A	Interest	J	T					
16. MONEYGRAM INTERNATIONAL, INC. [Spin off of VIAD]	A	Dividend	J	T					
17. SCHWABB MONEY MARKET FUND (#3202-3018)	C	Interest	M	T					

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
(See Column C2)	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
	U –Book Value	V –Other	W –Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SECTOR SPDR TR SBI INT-ENERGY	B	Dividend	L	T					
19. OIL SVC HOLDERS TR DEPOSTRY RCPT	A	Dividend	K	T					
20. BLACKROCK INCOME TR COM	A	Dividend			Sold	11/15/16	K		
21. FHLMC POOL	A	Dividend	J	T					
22. VANGUARD WORLD FDS MATERIALS ETF	A	Dividend	K	T					
23. PIMCO CORPORATE OPP FD COM	D	Dividend	L	T					
24. PIMCO TOTAL RTN 2	A	Dividend			Sold	11/15/16	K		
25. FIDELITY SELECT COMPUTERS	A	Dividend	K	T					
26. FEDERATED FUND	A	Dividend	L	T					
27. GAMBELLI GROWTH FUND	A	Dividend	L	T					
28. T. ROWE PRICE INTERNAT'L	A	Dividend	K	T					
29. VANGUARD GNMA	B	Dividend	L	T					
30. VANGUARD TOTAL STOCK INDEX	B	Dividend	M	T					
31. WISDOMTREE TRUST INTL DIV E FINL	A	Interest	K	T					
32. BLACKROCK LTD DURATION COM SHS	C	Interest	K	T					
33. VANGUARD BD INDEX FD SHORT TRM BOND	B	Interest	L	T					
34. ALPS ETF TR ALERIAN MLP	C	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. SELECT SECTOR SPDR TR SBI INT-UTILS	B	Interest	L	T					
36. JOHN HANCOCK BK&THRIFT SH BEN INT	C	Interest	L	T					
37. SELECT SECTOR SPDR TR SBI HEALTHCARE	A	Interest			Sold	05/23/16	L		
38. SELECT SECTOR SPDR TR SBI CONS STPLS	B	Interest	L	T					
39. SELECT SECTOR SPDR TR TECHNOLOGY	A	Interest	K	T					
40. ISHARES TR BARCLYS 1-3YR CR	A	Interest	L	T					
41. MARKET VECTORS ETF TR PFD SEC EXFINL	B	Interest	K	T					
42. SELECT SECTOR SPDR TR SBI CONS DISCR	B	Interest	L	T					
43. SELECT SECTOR SPDR TR SBI INT-FINL	A	Interest	K	T					
44. SELECT SECTOR SPDR TR SBI MATERIALS	A	Interest	K	T					
45. SPECTRA ENERGY CORP	A	Dividend	J	T					
46. FACTORSHARES TR ISE CYBER SEC	A	Interest	J	T					
47. ISHARES TR DJ SEL DIV INX	B	Interest	K	T					
48. MARKET VECTORS ETF TR BIOTECH ETF	A	Interest			Sold	05/23/16	J		
49. VANGUARD WORLD FDS INF TECH ETF	A	Interest	K	T					
50. BLACKROCK TAX MUNICIPAL SHS	B	Interest	K	T	Buy	08/29/16	K		
51. *SELECT SECTOR SPDR TR RL EST SEL SEC	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS.

#3. Farm and pasture land. Raise cattle and sell cows.

#12. Assets of Testamentary Trust.

(1) Trustee, with no direct personal interest, for Account of Testamentary Trust on deposit with Bank One, New Orleans, Louisiana. The interest income is paid pursuant to the terms of the Trust in monthly installments to decedent's widow, who is income beneficiary for life or remarriage. Turstee's two major daughters are the principal beneficiaries of the Trust.

(2) Lot Lakeside Estates, Geneva County, Alabama, appraised value \$600

#14. GNMA'S POOL BONDS is principle reduction on a government mortgage pool.

#17. SCHWABB MONEY MARKET FUND is funds in an IRA cash reserve with money in and out, similiar to a bank account. This fund is treated like a CD, and some of the funds are used to purchase other stocks, i.e., the value at beginning of the year was greater than the value at the end as the funds were reduced by purchase of more shares in fund.

#51. SELECT SECTOR SPDR TR RL EST SEL SEC is a spin-off of SELECT SECTOR SPDR TR SBI-INT FINANCIAL

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ELDON E. FALLON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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