

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                     |                                                                  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Conrad, Jr., Robert J.                                                                                                                                      | <b>2. Court or Organization</b><br><br>US District Court, WD/NC                                                                                                                                                                                                                                     | <b>3. Date of Report</b><br><br>05/15/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Chief District Judge-Active                                                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2011<br>to<br>12/31/2011 |
| <b>7. Chambers or Office Address</b><br><br>Charles R. Jonas Federal Bldg<br>401 W. Trade Street, Room 235<br>Charlotte, NC 28202                                                                                                |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information. Insert signature on last page.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>            |
|----|-----------------|-----------------------------------------------|
| 1. | Director        | Clemson University Letterwinner's Association |
| 2. | Member          | Clemson University President's Advisory Board |
| 3. |                 |                                               |
| 4. |                 |                                               |
| 5. |                 |                                               |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>               | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------|----------------------------------------|
| 1. 2011     | Wake Forest School of Law - Teaching | \$22,500.00                            |
| 2.          |                                      |                                        |
| 3.          |                                      |                                        |
| 4.          |                                      |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2011     | Self-Employed Artist   |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                 | <u>DATES</u>         | <u>LOCATION</u>     | <u>PURPOSE</u>          | <u>ITEMS PAID OR PROVIDED</u> |
|-------------------------------|----------------------|---------------------|-------------------------|-------------------------------|
| 1. VA CLE                     | January 9-13, 2011   | Charlottesville, VA | Teach Trial Advocacy    | Transportation, lodging, food |
| 2. The Claremont Institute    | June 3-5, 2011       | Washington, DC      | Educational Conference  | Transportation, lodging, food |
| 3. ABA 2011 Annual Conference | August 3-4, 2011     | Toronto, Canada     | JERS Lecture            | Transportation, lodging, food |
| 4. The Claremont Institute    | October 21-23, 2011  | Washington, DC      | Educational Conference  | Transportation, lodging, food |
| 5. Clemson University         | November 10-11, 2011 | Clemson, SC         | President's Advisory Bd | Lodging, food                 |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>        | <u>DESCRIPTION</u>       | <u>VALUE CODE</u> |
|----|------------------------|--------------------------|-------------------|
| 1. | Regions Bank           | Promissory Note          | J                 |
| 2. | Chase                  | Revolving Charge Account | None              |
| 3. | Chase                  | Revolving Charge Account | None              |
| 4. | Park Sterling Bank     | Promissory Note          | K                 |
| 5. | Barclay's Bank         | Revolving Charge Account | None              |
| 6. | Commerce Bank          | Promissory Note          | None              |
| 7. | RJS Properties         | Promissory Note          | K                 |
| 8. | F.J. Blanchfield, Jr.  | Promissory Note          | J                 |
| 9. | Bank of North Carolina | Promissory Note          | L                 |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                 |   |          |   |   |  |  |  |  |  |
|-----------------------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 1. Northwestern Mutual Life Insurance (Whole Life)-See PartVIII | A | Dividend | J | T |  |  |  |  |  |
| 2.                                                              |   |          |   |   |  |  |  |  |  |
| 3.                                                              |   |          |   |   |  |  |  |  |  |
| 4.                                                              |   |          |   |   |  |  |  |  |  |
| 5.                                                              |   |          |   |   |  |  |  |  |  |
| 6.                                                              |   |          |   |   |  |  |  |  |  |
| 7.                                                              |   |          |   |   |  |  |  |  |  |
| 8.                                                              |   |          |   |   |  |  |  |  |  |
| 9.                                                              |   |          |   |   |  |  |  |  |  |
| 10.                                                             |   |          |   |   |  |  |  |  |  |
| 11.                                                             |   |          |   |   |  |  |  |  |  |
| 12.                                                             |   |          |   |   |  |  |  |  |  |
| 13.                                                             |   |          |   |   |  |  |  |  |  |
| 14.                                                             |   |          |   |   |  |  |  |  |  |
| 15.                                                             |   |          |   |   |  |  |  |  |  |
| 16.                                                             |   |          |   |   |  |  |  |  |  |
| 17.                                                             |   |          |   |   |  |  |  |  |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| (See Column C2)         | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
|                         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

I inadvertently left off prior reports the item appearing in Part VII, Line 1, because I failed to realize the cash value that triggered a reporting event.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert J. Conrad, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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