

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griesa, Thomas P.	2. Court or Organization United States District Court	3. Date of Report 11/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court 500 Pearl Street, Room 1630 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (Checking Account)	A	Interest	K	T					
2. AT&T (T)	C	Dividend	K	T					
3. Alcatel-Lucent (ALU)		None	J	T					
4. Ameren (AEE)	B	Dividend	K	T					
5. Comcast (CMCSA)	A	Dividend	J	T					
6. Exelon (EXC)	A	Dividend	K	T					
7. Great Plains Energy (GXP)	B	Dividend	K	T					
8. Southern Co. (SO)	D	Dividend	M	T					
9. Western Asset NY Municipals	E	Dividend	P1	T					
10. Nationwide Life Annuity (variable) (H)									
11. - American Century Inflation Protected Fund		None	N	T					
12. - Nationwide High Income Bond Portfolio		None	M	T					
13. - Franklin Income VIP Portfolio		None	O	T					
14. - Nationwide American Fund Bond Fund II		None	N	T					
15. IRA for T.P. Griesa: Bond Fund of America (see note)	A	Interest	K	T					
16. IRA for C.M. Griesa: Bond Fund of America (see note)	A	Interest	K	T					
17. NEW YORK NY SUBSER C-1 (CUSIP 64966G-M6-6)	B	Interest			Matured	04/20/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. NEW YORK NY SUBSER I-1 (CUSIP 64966G-S4-5)	B	Interest	K	T					
19. NEW YORK NY SUBSER H-1 (CUSIP 64966H-GW-4)	B	Interest	K	T					
20. NEW YORK ST ENV. FACS CORP (CUSIP 64985Y-CW-8)	B	Interest			Matured	12/15/15	K		
21. NEW YORK ST ENV. FACS CORP (CUSIP 64985Y-FY-1)	A	Interest	K	T					
22. NEW YORK ST DORM AUTH ST PERS INCOME (CUSIP 649902-LM-3)	B	Interest	K	T					
23. NEW YORK STTWY AUTH GEN REV SER H FSA-CR (CUSIP 650009-WE-9)	B	Interest	K	T					
24. NEW YORK ST URBAN DEV CORP REV (CUSIP 650035-GB-8)	B	Interest	K	T					
25. NEW YORK NY RFDG-SER K (CUSIP 64966E-DM-6)		None			Matured	09/14/15	K		
26. NEW YORK NY CITY MUN WTR FIN AUTH WTR (CUSIP 64972F-XL-5)	B	Interest	K	T					
27. NEW YORK NY CITY TRANSITIONAL FIN (CUSIP 64971Q-CU-5)	B	Interest	K	T					
28. NEW YORK ST RFDG-SER C (CUSIP 649787-P3-6)	B	Interest			Matured	04/20/15	L		
29. NEW YORK ST DORM AUTH REVS ST (CUSIP 64990H-BP-4)	B	Interest			Matured	02/15/15	L		
30. NEW YORK ST TWY AUTH ST PERS INCOME TAX (CUSIP 650028-LC-5)	B	Interest	K	T					
31. NEW YORK NY SER D (CUSIP 64966G-AL-6)	B	Interest	L	T					
32. NEW YORK NY SUBSER D-I-AMBAC TCRS-BNY (CUSIP 64966G-ZX-3)	B	Interest	K	T					
33. FT UNIT 3814 MUNICIPAL INCOME (30280S-46-0)	D	Dividend			Sold	09/30/14	M	A	
34. FT UNIT 3197 MLP CLOSED END FD & ENERGY (CUSIP 30278P-21-3)					Matured	11/01/13	L	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. INVESTORS QUALITY TAX EXEMPT TR (CUSIP 46172E-42-7)	E	Dividend	O	T					
36. NEW YORK NY PRE-RFND SER-K (64966KUQ4)	A	Interest			Matured	08/03/15	J		
37. NEW YORK NY UNREFUNDED (64966KUU5)	A	Interest	J	T	Spinoff (from line 25)	12/10/12	J		
38. NEW YORK NY ETC FED-SER B (64966HD31)	C	Interest	M	T	Buy	07/01/14	M		
39. FT UNIT 4216 INT RATE HEDGE PORT SER 68 (30281P168)	C	Dividend			Matured	05/14/15	M		
40. FT UNIT 4410 MLP CLOSED END FD & ENERGY PORT SER 29 (30282E451)	C	Dividend			Matured	09/03/15	M		
41. FT UNIT 4495 INTL CAP STRENGTH PORT SER 18 (30282P167)	C	Dividend			Matured	11/27/15	M		
42. FT UNIT 5045 MUN INCOME SELECT CLOSED SER 63 (30284W350)	D	Dividend	M	T	Buy	9/25/14			
43.									
44. Assets Trust #1 (H)	G	Int./Div.	P2	T					
45. -AT&T Inc.									
46. -WPX Energy Inc.									
47. -Apache Corp.					Sold	12/29/14	N		
48. -CSX Corp.									
49. -Comcast Corp									
50. -DirectTV Group Inc.					Sold	07/25/15	N	G	
51. -Franklin Resources Inc.									

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52. -P H Glatfelter Co.									
53. -Great Plains Energy Inc.									
54. -Hershey									
55. -Host Hotels & Resorts Inc.									
56. -Kansas City Southern Inds									
57. -Kellogg Co.									
58. -Kimberly Clark Corp.									
59. -Monsanto									
60. -Neenah Paper Inc.									
61. -News Corp. Class A									
62. -Pitney Bowes Inc.					Sold	12/29/14	K	B	
63. -Principal Financial Group Inc.									
64. -Starwood Hotels & Resorts									
65. -Time Warner Inc.									
66. -Time Warner Cable									
67. -US Bancorp									
68. -Union Pacific Corp.									

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69. -Williams Cos Inc.									
70. -Wisconsin Energy Corp Holding Co.									
71. -Franklin Real Estate Securities FD Class A									
72. -Vanguard Information Technology									
73. -Royal Bank of Scotland					Sold	02/29/14	K		
74. -Twenty First Century Centy Fox Inc(X)									
75. -Time Inc(X)									
76. -Halyard Health Inc(X)									
77. -Centerpoint Energy									
78. -Microsoft									
79. -Mondelez Intl Inc									
80. -Hewlett Packard					Sold	11/03/15	J		
81. -Intel Corp.									
82. -American Fund Euro Pacific Growth Fund									
83. -Templeton Bric Fund									
84. -Columbia Marsico Fund									
85. -Oppenheimer Developing Mkts. Fund									

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86. -Verizon									
87. -Thornburg International Value Fund									
88. -JP Morgan Chase									
89. -Kraft Foods/Heinz Merger									
90. -Johnson & Johnson									
91. -US Treasury Inflation Index Notes									
92. -CitiBank Bank Deposit Program									
93. -US Treasury Bills									
94. -SPDR Gold Trust									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 26, 37, and 38: The item at line 26 has been partial pre-refunded through the items at lines 37 and 38.

Part VII, line 34: This item matured shortly before the beginning of the reporting period.

Part VII, lines 41 and 42: These items were acquired shortly before the beginning of the reporting period.

Part VII, lines 81-83: These items were inherited on 6/1/06.

Part VII, lines 86: This item was acquired prior to the reporting period and inadvertently omitted from previous reports.

Line 65 on Part VII of the 2014 report (Pepco Holdings Inc.) was an error. This item was disposed of in a previous reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas P. Griesa**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544