

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J.	2. Court or Organization Eastern District of California	3. Date of Report 01/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|---|
| 1. Trustee | Family Trust, inclusive of ALL assets listed in Part VII. In addition, Wells Fargo checking and savings accounts, and residences owned. |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|---------|---|
| 1. 1999 | State of California Judges Retirement Plan (pension commenced at age 63 in September, 2016) |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
3. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
4. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
5. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
6. American Fund New World (Schwab)(IRA)	A	Interest	J	T					
7. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
8. Wells Fargo Bank Trust #1	A	Interest	J	T					
9. RS Global Natural (Schwab) IRA	A	Interest	J	T					
10. First Eagle Overseas (Schwab)	A	Interest	J	T					
11. American Funds New World (Schwab) IRA	A	Dividend	J	T					
12. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					
13. Clovis Community Bank	A	Interest	J	T					
14. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	T					
15. Wells Fargo Bank, acct #2	A	Interest	J	T					
16. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
17. Macwest Riverside Housing Inv, LLC	A	Distribution	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. California State University Revenue Muni Bond	A	Interest	K	T					
19. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
20. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
21. San Francisco Intl Airport muni Bond	A	Interest	J	T					
22. St. Louis MO Airport muni bond	A	Interest	J	T					
23. Bank of America Sub Not corporate bonds	B	Interest	K	T					
24. GTE North Inc bond	A	Interest	J	T					
25. Key Bank corporate bond	B	Interest	K	T					
26. Laclede Gas Co 1st mortgage	B	Interest	J	T					
27. Pacific Bell corp bond	B	Interest	K	T					
28. Providence Gas Co bond	A	Interest	J	T					
29. Prudential Finl Inc corporate bond	B	Interest	K	T					
30. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
31. American Funds, Fund Inv F-1	A	Dividend	K	T					
32. Capital World Growth and Income mutual fund	A	Interest	J	T					
33. Pureto Rico Convention Center bonds	B	Interest	K	T					
34. Contra Costa Community College bonds	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Encinitas Ca Public Library Constructiion Bonds	A	Interest	J	T					
36. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
37. Morgan Stanley CD (Schwab)	B	Interest	K	T					
38. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					
39. TCW Total Return Bond (schwab) IRA	C	Interest	K	T					
40. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T					
41. Loomis Sayles Bond CI (Schwab) (IRA)	B	Dividend	K	T					
42. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
43. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
44. Pimco All Asset Fund, Instit class Schwab	B	Interest	K	T					
45. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
46. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					
47. Dublin, Calif School Securities	A	Dividend	K	T					
48. First Eagle Overseas IRA (Schwab)	A	Dividend	K	T					
49. T Rowe Price new ERA FD	A	Dividend	J	T					
50. State of California Govt Bond	B	Interest	K	T					
51. JP Morgan Highbridge Stat Mkt	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Pimco Emrg Local Bd	A	Interest	K	T					
53. California Health stock	B	Dividend	K	T					
54. T Rowe Price New Income Fund	C	Dividend	K	T					
55. Permanent Portfolio	A	Dividend	K	T					
56. Berwyn Income Fund	A	Dividend	K	T					
57. American Fund New World	A	Dividend	J	T					
58. Vanguard Ca Interim Term Tax Exempt FD (Schwab)	B	Interest	K	T					
59. American Fund New World	B	Interest	K	T					
60. Osterweis Strategic Income Fund	B	Interest	K	T					
61. Highmark Genova Mid Cap Growth	C	Interest	M	T					
62. Merger Fund Reinv Divs	B	Dividend	L	T					
63. RS Global Natural	B	Interest	K	T					
64. Royce PA Mutual Fund	B	Interest	K	T					
65. Dodge and Cox Stock Fund	C	Dividend	M	T					
66. Pimco Emrg Local Bond Fund	C	Interest	L	T					
67. Saratoga California UN	C	Interest	L	T					
68. Pimco Emrg Local Bd Fd Instl Cl	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. T Rowe Price New Income Fund	A	Interest	K	T					
70. Market Vectors ETF Trustgold Miners	A	Interest	J	T					
71. Berwyn Income Fund	A	Int./Div.	K	T					
72. T Rowe Price Short Term Bond	B	Interest	L	T					
73. Primecap Odyssey Growth	C	Int./Div.	M	T					
74. Tweedy Browne Global	C	Interest	L	T					
75. FPA New Income Cl A	C	Interest	L	T					
76. American Fund Cap World	C	Interest	L	T					
77. American Fund Cap World	C	Int./Div.	K	T					
78. BMW North America Bk	C	Interest	M	T					
79. Longleaf Partners Small Cap Fund	A	Interest	K	T					
80. Market Vectors ETF Trustgold Miners Fund	A	Interest	K	T					
81. American Fund Fundamental Inv Fd	C	Interest	M	T					
82. American Fund New World Fd CL F1	B	Int./Div.	L	T					
83. Berwyn Income Fund Reinv Divs/Reinv	B	Dividend	L	T					
84. Vanguard Inflation (but see #94 below)	B	Interest	L	T					
85. Osterweis Strategic Income Fund	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Vanguard Interim Term Tax Exmpt	B	Int./Div.			Sold	04/30/18	O		
87. Bank of China NY	D	Int./Div.	M	T					
88. Osterweis Strategic Income Fund	B	Int./Div.	L	T					
89. Primecap Idyssey Growth	B	Int./Div.	K	T					
90. Tweedy Browne Global Value Fund	A	Int./Div.			Sold	08/08/18	L		
91. American Fund New World	B	Int./Div.	L	T					
92. FPA New Income CL	B	Int./Div.	M	T					
93. Exchange Trad FD	A	Int./Div.	J	T					
94. Vanguard MSCI	A	Int./Div.	J	T					
95. iShares TR Barclays Tipsbonds	B	Int./Div.	K	T					
96. iShares TR Barclays, Treasury Bond Fund	A	Int./Div.	J	T					
97. SPDR Barclays Short Term Corp bond	B	Int./Div.	K	T					
98. Vangurad MSCI EAFE ETF	A	Int./Div.	J	T					
99. Blackrock Global	D	Int./Div.	M	T					
100. American FD Cap World F1	B	Int./Div.	K	T					
101. American FD Fund Inv Fd CL F-1	C	Int./Div.	M	T					
102. American Fund New World Fd Cl F1	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Dodge and Cox Stock Fund	B	Dividend	L	T					
104. First Eagle Gold Fund CL A	A	Int./Div.	K	T					
105. Highmark Geneva Mid Cap Gwth A	C	Int./Div.	L	T					
106. Pimco Emrg Local Bd Fd	B	Int./Div.	K	T					
107. RS Global Natural	A	Int./Div.	K	T					
108. T Rowe Price New Era Fd	B	Int./Div.	L	T					
109. Tweedy Browne Global	B	Int./Div.			Sold	04/30/18	K		
110. Primecap Odyssey Growth	B	Interest	K	T					
111. American FD Cap World	A	Int./Div.	J	T					
112. American Fund New World	B	Int./Div.			Sold	04/30/18	L		
113. Forward Select Income	C	Int./Div.	K	T					
114. Berwyn Income Fund	C	Int./Div.	K	T					
115. Egshares ETF	A	Int./Div.	J	T					
116. First Eagle Gold Fund	C	Int./Div.	L	T					
117. Santa Clara, Calif Uni School bonds	B	Int./Div.	L	T					
118. T.Rowe Price New Income Fund	D	Int./Div.	M	T					
119. Vanguard Primecap Core Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pimco ETF	A	Interest	J	T					
121. Ally Bank Common Stock	B	Int./Div.	M	T					
122. Goldman Sachs Bank Common Stock	C	Int./Div.	M	T					
123. Fifth Third Bank Common Stock	B	Int./Div.	M	T					
124. Spdr Gold Trust	A	Dividend	K	T					
125. Market Vectors ETF Trustgold Miners Fund	C	Int./Div.	L	T					
126. GE Money Bank Common Stock	B	Int./Div.	M	T					
127. MSCI UTD Kingdm United Kingdom Index Fund	A	Int./Div.	K	T					
128. ishares TR Russell 2000 Index fund	A	Int./Div.	J	T					
129. SPDR Barclarys ETF	A	Int./Div.	K	T					
130. Microsoft Stock	E	Dividend	M	T					
131. Pepsico Stock	D	Dividend	M	T					
132. Berwyn Income Fund	E	Dividend	O	T					
133. Primecap Odyssey Growth	E	Dividend	P1	T					
134. Premecap Odyssey Growth SEE LINE 141	E	Dividend	O	T					
135. Selma, Ca Bonds	D	Int./Div.	M	U					
136. Sierra Kings Hospital Bonds	D	Int./Div.	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vacaville, Cal Bonds	D	Int./Div.	M	U					
138. Empire Business Park, Pittsburg, Cal : see sec VIII re appraisal	G	Distribution	P2	Q					
139. Kingsburg, Ca Muni Bonds	C	Int./Div.	L	U					
140. Mendota, Ca Muni Bonds	D	Int./Div.	M	U					
141. Poway Muni Bonds	D	Int./Div.	M	U					
142. Tejon Ranch Muni Bond	D	Int./Div.	M	U					
143. Waxco, Ca Munit Bonds	D	Int./Div.	M	U					
144. Wisdomtree JPN Hdgd Eqty Fnd	A	Int./Div.	K	T					
145. Huntington Beach, Ca Bonds	D	Int./Div.	M	T					
146. IShares China Large Cap	B	Int./Div.	K	T					
147. IShares TR MSCU Untd Kngdm	B	Int./Div.	K	T					
148. Arctrust Properties, Inc	C	Royalty	N	T					
149. IShares Core MSCI EAFE	E	Interest	O	T					
150. Ishares 3-7 yr Treasury Bond ETF	B	Int./Div.	K	T					
151. Sch Adv Cash Res Prem	A	Int./Div.	J	T					
152. Ishares Core MSCI EAFE ETF	E	Int./Div.	O	T					
153. Dixon CA USD Bonds	C	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Ross VY CA SD 0% Bonds	D	Interest	M	T					
155. Harbor International Fund Inst C1	E	Int./Div.			Sold	09/12/18	O		
156. Vanguard Total Stock Mkt ETF	A	Int./Div.	J	T					
157. iShares TR MSCI UK ETF	A	Int./Div.	J	T					
158. S. San Francisco USD Go	D	Int./Div.	M	T					
159. Napa Vy CCD Dist Go	D	Int./Div.	M	T					
160. Osterweis Strategic Income Fnd	D	Int./Div.			Sold	02/07/18	M		
161. Vanguard LTD Term Tax Exempt Admiral Share	E	Int./Div.			Sold	04/30/18	O		
162. Glendale Ca USC Go	D	Int./Div.	M	T					
163. iShares MSCI AI Cry Wrld Min ETF	C	Int./Div.	K	T					
164. iShares Edge MSCI	E	Int./Div.			Sold	05/01/18	L		
165. SEI GLBL MGD Volatility	D	Int./Div.	M	T					
166. SEI GLBL MGD Volatility X	E	Int./Div.			Sold	12/28/18	M		
167. Aspiriant Defensive Alloc Fd X	E	Int./Div.			Sold	10/29/18	O		
168. Aspiriant Risk MGD Muni Bond X	F	Interest			Sold	10/29/18	P1		
169. Aspiriant Risk MGD Equity Alloc	E	Int./Div.	M	T					
170. Aspiriant Risk MGD Equity Alloc	E	Int./Div.	N	T	Buy (add'l)	12/31/18	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Aspiriant Risk MGD Muni Bond	F	Int./Div.	P1	T					
172. Clovis Unified School Dist Bonds	D	Int./Div.	M	T					
173. DFA Intl Cor Eqty SEE #182 and 183 X	B	Int./Div.			Sold	10/29/18	N		
174. Metro West Bond	C	Int./Div.	L	T					
175. Doubleline Ttl Return Bond	B	Int./Div.			Sold	04/30/18	K		
176. Vanguard Total Bond Mkt ETF (x)	B	Int./Div.	K	T	Buy	05/01/18	K		
177. DFA Intl Core Eqty Port (x)	B	Int./Div.	K	T	Buy	04/30/18	K		
178. DFA Emerging Mksts Core Eqty Port (x)	C	Int./Div.	L	T	Buy	04/30/18	L		
179. Aspiriant Risk Mgd Eqty Alloc Fd (x)	D	Int./Div.	L	T	Buy	04/30/18	L		
180. iSharesNatl Muni Bond (X)	F	Int./Div.	P1	T	Buy	05/01/18	P1		
181. Schwabb Ca Muni Money Fd Investors Shares (X)	D	Int./Div.	N	T	Buy	08/14/18	N		
182. Schwab Ca Muni Money FD Investor Shares (X)	E	Int./Div.	N	T	Buy (add'l)	09/12/18	N		
183. Schwab Ca Muni Money FD Investor Shares (X)	E	Int./Div.	M	T	Sold (part)	09/24/18	M		
184. DFA Intl Core Eqty Port Instl (X)	E	Int./Div.	N	T	Buy (add'l)	09/12/18	N		
185. DFA Intl Core...see #173 and 184 above X	E	Int./Div.			Sold	10/29/18	N		
186. Vanguard Interm Term Tax Exempt (X)	E	Int./Div.	N	T	Buy	09/12/18	N		
187. Schwab Ca Muni Money FD Investor Shares	E	Int./Div.			Sold	09/28/18	O		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. IQ Hedge Multi Strategy Tracker ET X	E	Int./Div.	O	T	Buy	10/30/18	O		
189. IQ Hedge Multi Strategy Tracker ET X	E	Int./Div.			Sold	12/31/18	O		
190. iShares National Muni Bond ETF	F	Int./Div.	P1	T	Buy	10/30/18	P1		
191. i shares national muni Bond ETF X	F	Int./Div.	P1	T	Sold (part)	12/31/18	P1		
192. Schwab Ca Muni Money FD Investor Shares X	E	Int./Div.	N	T	Buy (add'l)	11/05/18	N		
193. Aspiriant Risk MDG Muni Bond X	F	Int./Div.	P1	T	Buy	12/31/18	P1		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Argonaut Investment at VII, line 17 was purchased more than 30 years ago (prior to taking the Bench) at an initial investment of \$50,000.

All of the assets in Part VII are in the Living Trust referred to in Part I, line 1.

Part VII, Line 146: This property was inherited in 2014, after having been formally appraised on 01-24-2014.

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

O'Neill, Lawrence J.

Date of Report

01/04/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence J. O'Neill**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544