

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hancock, James H.	2. Court or Organization U.S. District Court, NDAL	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse, Ste 681 1729 5th Avenue North Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Exxon Mobil - Stock	E	Dividend	O	T					
2. Merrill Lynch Bank Dep. - Money Market	A	Interest	K	T					
3. BP PLC - Stock	A	Dividend	J	T					
4. VOYA Core Equity Research - Cl A	E	Dividend	M	T	Sold (part)	03/14/14	M	E	
5. Regions Bank - Ck Acct.	A	Interest	L	T					
6. Vanguard Wellington Fund - Mutual Fund	C	Dividend	L	T					
7. Regions Fin. Corp. - Stock	B	Dividend	L	T					
8. Weyerhaeuser - Stock	B	Dividend	K	T					
9. Fidelity Trend - Mut. Fund	D	Dividend	M	T					
10. Coca Cola - Stock	A	Dividend	K	T					
11. Ameris Bancorp	A	Dividend	K	T					
12. Sterne Agee - Money Mkt.	A	Interest	K	T					
13. Compass Bank - Ck. Acct.	A	Interest	K	T					
14. Emerson Electric	A	Dividend	K	T					
15. State Street Corp. - Stock	B	Dividend	M	T					
16. Comerica - Stock	A	Dividend	K	T					
17. Southern Company - Stock	D	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vulcan Materials - Stock	A	Dividend	M	T					
19. First Horizon National	A	Dividend	K	T					
20. Conagra Foods	A	Dividend	K	T					
21. NUCOR Corp. - Stock	A	Dividend			Sold	06/26/14	K	A	
22. Questar Corp. - Stock	A	Dividend	K	T					
23. QEP Resources Inc.	A	Dividend	K	T					
24. American Capital Income Builder CLC	A	Dividend	J	T					
25. Blackrock Global Allocation FD Inc C	A	Dividend	J	T					
26. Eaton Vance National Municipl Income Fd Cl A	A	Dividend	J	T					
27. First Eagle Global Classic	A	Dividend	J	T					
28. Ivy Asset Strategy Fund CLC	B	Dividend	J	T					
29. Wells Fargo Advantage Asset Allocation Fd CLC	A	Dividend	J	T					
30. Dupont E.I. Denemours	B	Dividend	L	T					
31. El Paso Energy CAP PFD Tri Conv. 4.75% 3/31/28	B	Dividend	K	T					
32. Kinder Morgan Inc	B	Dividend	L	T	Buy (add'l)	03/14/14	K		
33. Clearbridge American Energy MLP FD Inc	B	Distribution	L	T	Buy	06/26/14	K		
34.					Buy (add'l)	06/27/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. ConocoPhillips	B	Dividend	K	T	Buy	02/10/14	K		
36. AT&T Inc	B	Dividend	K	T	Buy	03/14/14	K		
37. Duke Energy Corp	B	Dividend	K	T	Buy	03/14/14	K		
38. Ford Motor Company	A	Dividend	K	T	Buy	03/14/14	K		
39. General Electric Company	A	Dividend	K	T	Buy	03/14/14	K		
40. Spectra Energy Corp	A	Dividend	K	T	Buy	03/14/14	K		
41.									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VOYA Core Equity Research - CI A (Line 4) changed names from ING Core Equity Research - CI A in 2013.

Eaton Vance National Municiple Income Fd CI A (Line 26) changed names from Eaton Vance Alabama Municiple Income Fd CI A in 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James H. Hancock**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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