

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) STRAUB, CHESTER J.	2. Court or Organization U.S. COURT OF APPEALS, 2ND CIR	3. Date of Report 04/20/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	04/20/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	NYS RETIREMENT SYSTEM (RETIREMENT BENEFIT)	\$6,274.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. **FIDELITY INVESTMENTS**									
2. NY ST DORM AUTH REVS CITY UNIV CONS-5TH GEN-C MBIA 4/10/03	C	Interest			Redeemed	07/01/11	L	A	
3. NEW YORK ST TWY AU SVC CONT REV LOC HWY & BRIDGE 8/15/95	B	Interest			Redeemed	04/01/11	L	A	
4. BERKSHIRE HATHAWAY INC. CLASS B		None	L	T					
5. HEWLETT PACKARD COMMON	B	Dividend	L	T					
6. ENZO BIOCHEM INC COMMON		None	J	T					
7. HEALTH CARE PPTY INVS INC COMMON	B	Dividend	L	T					
8. GREAT PLAINS ENERGY - KANSAS CITY POWER & LIGHT COMMON	A	Dividend	J	T					
9. IBM COMMON	C	Dividend	M	T					
10. GENERAL ELECTRIC COMMON	A	Dividend	J	T					
11. LOCKEED MARTIN COMMON	A	Dividend	J	T					
12. EXXON COMMON	A	Dividend	J	T					
13. COMMONWEALTH REIT COMMON (FORMERLY HRPT PROPERTIES TRUST)	A	Dividend	J	T					
14. COHEN & STEERS REALTY SHARES	A	Dividend	K	T	Buy (add'l)	03/31/11	J		
15.					Buy (add'l)	06/30/11	J		
16.					Buy (add'l)	09/30/11	J		

1. Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000
(See Columns B1 and D4) F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H1 =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000
2. Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000
(See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000

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Table with 2 columns: Name of Person Reporting (STRAUB, CHESTER J.), Date of Report (04/20/2012)

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include assets like NEW YORK ST URBAN DEV CORP REV CORP 6.5%, FIDELITY MUNICIPAL MONEY MARKET, etc.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, N=\$250,001 - \$500,000, O=\$500,001 - \$1,000,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000

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Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: STRAUB, CHESTER J., 04/20/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 34-50 contain asset data including SPDR S&P MIDCAP 400 ETF FUND, FIDELITY CONTRAFUND, FIDELITY LOW PRICED STOCK FUND, and BARRON GROWTH FUND INSTL CLASS.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000

Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	04/20/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. BLACKROCK EQUITY DIV FUND	B	Dividend	L	T	Buy	06/1/11	L		
52.					Buy (add'l)	7/21/11	J		
53.					Buy (add'l)	10/20/11	J		
54.					Buy (add'l)	12/8/11	J		
55. AMERICAN GROWTH FUND OF AMERICA	A	Dividend	K	T	Buy	1/7/11	K		
56.					Buy (add'l)	12/21/11	J		
57. ROYCE TOTAL RETURN FUND	A	Dividend	K	T	Buy	1/7/11	K		
58.					Buy (add'l)	3/10/11	J		
59.					Buy (add'l)	6/9/11	J		
60.					Buy (add'l)	9/8/11	J		
61.					Buy (add'l)	12/8/11	J		
62. TWEEDY BROWNE GLOBAL VALUE FUND	B	Dividend	K	T	Buy	1/7/11	K		
63.					Buy (add'l)	12/29/11	J		
64. VANGUARD LIMITED TERM TAX EXEMPT ADMIRAL FUND	B	Interest	M	T	Buy	3/21/11	M		
65.					Buy (add'l)	3/31/11	J		
66.					Buy (add'l)	4/29/11	J		
67.					Buy (add'l)	5/31/11	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Buy (add'l)	6/30/11	J		
69.					Buy (add'l)	7/30/11	J		
70.					Buy (add'l)	8/31/11	J		
71.					Buy (add'l)	9/30/11	J		
72.					Buy (add'l)	10/31/11	J		
73.					Buy (add'l)	11/30/11	J		
74.					Buy (add'l)	12/30/11	J		
75. **CITIBANK ACCOUNTS**									
76. CHECKING (J)		None	K	T					
77. **FIDELITY IRA ROLLOVER**									
78. MARSH & MCLENNAN COMMON	A	Dividend	K	T					
79. FIDELITY CASH RESERVES	A	Interest	J	T					
80. S&P MID CAP 400 DEP RCPTS MID CAP SPDRS	B	Dividend	M	T					
81. FEDL HOME LN BANK CONS BD 5.625% 11/15/2011	C	Interest			Redeemed	11/15/11	K	A	
82. TWEEDY BROWNE GLOBAL VALUE FUND	B	Dividend	M	T	Buy (add'l)	12/29/11	J		
83. BARON GROWTH FUND	B	Dividend	M	T	Buy (add'l)	11/29/11	J		
84. BLACKROCK GLOBAL ALLOC FUND	E	Dividend	O	T	Buy (add'l)	2/1/11	K		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include assets like AMERICAN GROWTH FUND CL F2, ROYCE TOTAL RETURN FUND, GOOGLE COMMON, FIDELITY CONTRAFUND, EATON VANCE LG CAP VALUE FUND, IVY ASSET STRATEGY FUND.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000, F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000, J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, N=\$250,001 - \$500,000, O=\$500,001 - \$1,000,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000.
2. Value Codes (See Columns C1 and D3)

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include Templeton Global Bond Advisor Fund, Berkshire Hathaway Inc Common, Intel Corp Common, JP Morgan Chase & Co Common, Matthews China Fund, Vanguard Intermed Term Tax Exempt FD.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Sold (part)	1/13/11	L	A	
120.					Sold	2/1/11	K	A	
121. PIMCO TOTAL RETURN INSTL FUND	D	Dividend	N	T	Buy (add'l)	1/31/11	J		
122.					Buy (add'l)	2/1/11	K		
123.					Buy (add'l)	02/28/11	J		
124.					Sold (part)	3/21/11	N	A	
125.					Buy (add'l)	03/31/11	J		
126.					Buy (add'l)	04/30/11	J		
127.					Buy (add'l)	05/28/11	J		
128.					Buy (add'l)	06/30/11	J		
129.					Buy (add'l)	07/30/11	J		
130.					Buy (add'l)	08/31/11	J		
131.					Buy (add'l)	09/30/11	J		
132.					Buy (add'l)	10/29/11	J		
133.					Buy (add'l)	11/30/11	J		
134.					Buy (add'l)	12/28/11	J		
135.					Buy (add'l)	12/31/11	J		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. VANGUARD LMTD ADMIRAL SHORT TERM FUND	B	Dividend			Buy (add'l)	01/29/11	J		
137.					Buy (add'l)	02/26/11	J		
138.					Sold (part)	3/21/11	M	A	
139.					Sold	3/22/11	L	A	
140. VANGUARD SHORT TERM LMTD MUN BD FUND INC		None			Sold (part)	2/1/11	L	A	
141.					Sold	3/22/11	K	A	
142. MORGAN STANLEY GLOBAL LONG/ SHORT FUND	D	Dividend	N	T	Buy (add'l)	3/28/11	L		
143.					Buy (add'l)	12/29/11	J		
144. APPLE INC COMMON		None	K	T	Buy	1/13/11	K		
145. BANK OF AMERICA COMMON	A	Dividend	K	T	Buy	8/9/11	K		
146. CANNON INC ADR	A	Dividend	J	T	Buy	1/13/11	J		
147. COMPAGNIE FINANCIERE RICHEMONT ADR	A	Dividend	J	T	Buy	1/13/11	J		
148. WALT DISNEY CO COMMON		None	J	T	Buy	1/13/11	J		
149. SCHLUMBERGER LIMITED COMMON	A	Dividend	J	T	Buy	1/13/11	J		
150. SIEMENS AG ADR	A	Dividend	J	T	Buy	1/13/11	J		
151. BLACKROCK EQUITY DIVIDEND FUND	B	Dividend	M	T	Buy	6/1/11	M		
152.					Buy (add'l)	7/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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Table with 2 columns: Name of Person Reporting (STRAUB, CHESTER J.), Date of Report (04/20/2012)

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include assets like NOVO NORDISK ADR COMMON, FIDELITY CASH RESERVES, AMERICAN GROWTH (GFAFX), etc.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P2=\$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 170-186 include various investments like TIMPANOGOS SPL SVC DIST UTAH, FLORIDA ST BRD ED LOTTERY REV, etc.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P2=\$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 187-203 contain data for various investments including CHATTANOOGA TENN ELEC REV 5.0%, NEW YORK NY SER A-1 5.0%, HUNTSVILLE ALA RFDG & CAP SER A 4.0%, etc.

Table with 6 columns: 1. Income Gain Codes, 2. Value Codes, and corresponding ranges for A through P2.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. LUBBOCK TEX OBLIG WATERWORKS 5%		None	K	T	Buy	3/16/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	

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Name of Person Reporting	Date of Report
STRAUB, CHESTER J.	04/20/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NONE

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

STRAUB, CHESTER J.

Date of Report

04/20/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544