

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SALAS, ESTHER	2. Court or Organization US DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY	3. Date of Report 09/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address MARTIN LUTHER KING, JR., FEDERAL BUILDING AND U.S. COURTHOUSE 50 WALNUT ST. NEWARK NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

SHAREHOLDER, ANDERL & OAKLEY PC, ATTORNEY - SALARY

2. 2016

SHAREHOLDER, ANDERL & OAKLEY PC, ATTORNEY - S CORP

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ANDERL & OAKLEY PC	D	Dividend	M	V					
2. ANDERL & OAKLEY PC (SIMPLE) IRA #1									
3. -OPPENHEIMER EQUITY FUND CL A	C	Dividend	L	T					
4. -OPPENHEIMER EQUITY FUND CL B	A	Dividend	J	T					
5. -OPPENHEIMER MAIN STREET FUND CL A	C	Dividend	M	T	Buy (add'l)	01/04/16	J		
6.					Buy (add'l)	03/01/16	J		
7.					Buy (add'l)	04/01/16	J		
8.					Redeemed (part)	05/17/16	J		
9.					Redeemed (part)	05/17/16	J		
10.					Redeemed (part)	05/17/16	J		
11.					Redeemed (part)	05/17/16	J		
12.					Redeemed (part)	05/17/16	J		
13.					Redeemed (part)	05/17/16	J		
14.					Redeemed (part)	05/17/16	J		
15.					Redeemed (part)	05/17/16	J		
16.					Redeemed (part)	05/17/16	J		
17.					Buy (add'l)	06/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	07/01/16	J		
19.					Buy (add'l)	08/01/16	J		
20.					Buy (add'l)	09/01/16	J		
21.					Buy (add'l)	10/03/16	J		
22.					Buy (add'l)	11/01/16	J		
23.					Buy (add'l)	12/01/16	J		
24.	-OPPENHEIMER MAIN STREET FUND CL B	A	Dividend	K	T	Redeemed (part)	01/04/16	J	
25.						Redeemed (part)	03/01/16	J	
26.						Redeemed (part)	04/01/16	J	
27.						Redeemed (part)	06/01/16	J	
28.						Redeemed (part)	07/01/16	J	
29.						Redeemed (part)	08/01/16	J	
30.						Redeemed (part)	09/01/16	J	
31.						Redeemed (part)	10/03/16	J	
32.						Redeemed (part)	11/01/16	J	
33.						Redeemed (part)	12/01/16	J	
34.	-OPPENHEIMER GLOBAL OPPORTUNITIES FUND CL A	A	Dividend	J	T	Buy	05/17/16	J	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -OPPENHEIMER RISING DIVIDENDS FUND CL A	A	Dividend	K	T	Buy	05/17/16	J		
36. -OPPENHEIMER MID CAP VALUE FUND CLASS A	A	Dividend	J	T	Buy	05/17/16	J		
37. -OPPENHEIMER GOLD & SPECIAL MINERALS FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
38. -OPPENHEIMER REAL ESTATE FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
39. -OPPENHEIMER DEVELOPING MARKETS FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
40. -OPPENHEIMER INTERNATIONAL SMALL-MID COMPANY FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
41. -OPPENHEIMER MAIN STREET MID CAP FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
42. -OPPENHEIMER MAIN STREET SMALL CAP FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
43. -OPPENHEIMER SMALL CAP VALUE FUND CL A	A	Dividend	J	T	Buy	05/17/16	J		
44. AMERICAN FUNDS-EUROPAC GROWTH FUND A	A	Dividend	J	T					
45. AMERICAN FUNDS-THE GROWTH FUND OF AMERICA A	A	Dividend	J	T					
46. AMERICAN FUNDS-SMALLCAP WORLD FUND A	A	Dividend	J	T					
47. AMERICAN FUNDS-FUNDAMENTAL INVESTORS A	A	Dividend	J	T					
48. AMERICAN FUNDS-CAPITAL WORLD GROWTH AND INCOME FUND A	A	Dividend	J	T					
49. AMERICAN FUNDS-AMERICAN BALANCED FUND A	A	Dividend	J	T					
50. PNC BANK (CHECKING)	A	Interest	J	T					
51. PNC BANK (SAVINGS)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. PNC BANK (CHECKING)		None	J	T					
53. FRANKLIN TEMPLETON 529 COLLEGE SAVINGS PLAN									
54. -FRANKLIN GROWTH 529 PORTFOLIO-CLASS C		None	K	T					
55. -FRANKLIN SMALL MID CAP GROWTH 529 PORTFOLIO-CLASS C		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS

LINE 1 - ANDERL & OAKLEY PC - VALUE OF SPOUSE'S 50% INTEREST IN HIS LEGAL PRACTICE IS ESTIMATED TO BE 50% OF THE 2016 TOTAL REVENUES EARNED BY THE CORPORATION.

LINES 51,52, & 53 - FRANKLIN TEMPLETON INVESTMENTS 529 PLAN - THIS ACCOUNT WAS INADVERTENTLY EXCLUDED FROM PRIOR REPORTS.

AS ADDITIONAL CLARIFICATION, THERE IS NO INCOME ATTRIBUTABLE TO THIS ACCOUNT BECAUSE FRANKLIN TEMPLETON 529 PLANS DO NOT DISTRIBUTE DIVIDENDS OR CAPITAL GAINS.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

SALAS, ESTHER

Date of Report

09/29/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ESTHER SALAS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544