

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKinley, Joseph H.	2. Court or Organization Western District of Kentucky	3. Date of Report 04/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 206 U.S. Courthouse 423 Frederica St. Owensboro, KY 42301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Kentucky Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Republic Bank & Trust	Mortgage on Rental Property #1 Owensboro, KY (Part VII, line 45)	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. -Invesco Energy Fund Class C	A	Dividend	J	T					
3. -Invesco Global Core Equity Fund Class C	A	Dividend	K	T					
4. -Alger Spectra Fund Class C	A	Dividend	L	T	Buy (add'l)	01/24/14	J		
5. -Blackrock U.S. Opportunities Fund Class C	A	Dividend	K	T					
6. -First Eagle Gold Fund Class C	A	Dividend	J	T					
7. -First Eagle Overseas Class C	A	Dividend	J	T					
8. -MFS New Discovery Fund Class C	A	Dividend	J	T					
9. -Oppenheimer Equity Income Fund, Inc. Class C	A	Dividend	K	T					
10. -Oppenheimer Developing Markets Class C	A	Dividend	J	T					
11. -Transamerica Small/Mid Cap Value Fund Class C	A	Dividend	K	T	Buy (add'l)	01/24/14	J		
12. -SunAmerica Focused Dividend Strategy Fund Class C	A	Dividend	K	T	Buy (add'l)	01/24/14	J		
13. -Columbia Acorn Fund Class C	A	Dividend	K	T	Buy	01/24/14	J		
14. -MFS Internation New Discovery Fund Class C	A	Dividend	J	T	Buy	01/24/14	J		
15. Brokerage Account # 1 (H)									
16. -Ishares TR 3-7 YR Treas BD ETF	A	Dividend			Buy	09/19/14	J		
17.					Sold	11/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Ishares TR 0-5 YR TIPS BD ETF	A	Dividend			Buy	09/19/14	J		
19.					Sold	11/12/14	J	A	
20. -Vanguard Index FDS Vanguard Small -Cap	A	Dividend			Buy	09/19/14	J		
21.					Sold	11/12/14	J	A	
22. -Vanguard Index FDS Vanguard Total Stk	A	Dividend			Buy	09/19/14	K		
23.					Sold	11/12/14	K	A	
24. -Claymore Exchange Traded FD	A	Dividend	J	T	Buy	11/12/14	J		
25. -Indexiq ETF TR IQ Hedge Multi-Strategy	A	Dividend	J	T	Buy	11/12/14	J		
26. -Pimco ETF TR 0-5 YR High Yield Corp BD	A	Dividend	J	T	Buy	11/12/14	J		
27. -Powershares QQQ TR Unit Ser 1	A	Dividend	J	T	Buy	11/12/14	J		
28. -Rydex ETF TR Guggenheim S&P 500 Equal Weight ETF	A	Dividend	K	T	Buy	11/12/14	K		
29. -Rydex ETF TR Guffenheim S&P 500 Pure Value ETF	A	Dividend	J	T	Buy	09/19/14	J		
30. -Vanguard BD Index FD INC Total BD Market	A	Dividend	J	T	Buy	09/19/14	J		
31.					Buy (add'l)	11/12/14	J		
32. Owensboro Country Club Stock		None	J	T					
33. Money Market Account-Money Concepts (H)	A	Int./Div.	J	T					
34. --Dreyfus Insured Deposits									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Federated Reserves									
36. Evansville Teachers Federal Credit Union Account	A	Interest	J	T					
37. John Hancock Freedom 529(A)	A	Int./Div.	J	T					
38. ---Lifestyle Growth 529 Portfolio A									
39. John Hancock Freedom 529(B)	A	Int./Div.	J	T					
40. ---Lifestyle Growth 529 Portfolio A									
41. John Hancock Freedom 529(C)	A	Int./Div.	J	T					
42. ---Lifestyle Growth 529 Portfolio A									
43. John Hancock Freedom 529(D)	A	Int./Div.	J	T	Buy	02/18/14	J		
44. ---Lifestyle Growth 529 Portfolio A									
45. Rental Property #1 Owensboro, KY, see Part VIII	D	Rent	L	R					
46.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Rental Property #1 purchased April 9, 2013 for \$74,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph H. McKinley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544