

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hughes, Jeffrey R.	2. Court or Organization U.S. Bankruptcy Ct, WD Mich	3. Date of Report 09/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 09/15/2013
7. Chambers or Office Address One Division NW Grand Rapids, Mi 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Hope Network
2.	Trustee	Inter Vivos Trust
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Administrative Office	2/28 to 3/1	Washington, DC	Bankruptcy Form Revision Project	Travel, food and lodging
2. Administrative Office	4/24 to 4/26	Washington, DC	BJAG meeting	Travel, food and lodging
3. American Bankruptcy Institute	6/13 to 6/15	Acme, Michigan	Seminar Speaker	Travel, food and lodging
4. Administrative Office	6/23 to 6/24	Washington, DC	Bankruptcy Form Revision Project	Travel, food and lodging
5. Bankruptcy Section, Federal Bar Association for the Western District of Michigan	7/25 to 7/27	Traverse City, Michigan	Seminar Speaker	Travel, food and lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Merrill Lynch	Line of Credit	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch CMA Account									
2. - CMA Tax Exempt Fund (see Section VIII)	A	Int./Div.	J	T					
3. - Mich St Hosp Fin Authority Bond	A	Interest	J	T					
4. - Johnson & Johnson Common Stock	A	Int./Div.	J	T					
5. - Ing SMID Cap Equity Fund Class C (See Section VIII)	A	Distribution	J	T					
6. - Alliance Bernstein Municipal Inc Fd Class C	A	Distribution	K	T					
7. - Lord Abbett Affiliated Fd Class C	A	Distribution	K	T					
8. ML Bank Deposit (held for Person A)	A	Interest	J	T					
9. Merrill Lynch IRA	D	Int./Div.	P1	T					
10. - ML Bank USA RASP									
11. - Blackrock Global Sm Cap Value (f/k/a ML Global Sm Cap)									
12. - Oppenheimer Developing Markets Fund Class C									
13. - Oppenheimer Value Fund Class C									
14. - Pioneer Global High Yield Fund Class C					Sold (part)	08/21/13	K	A	
15. - Touchstone Mid Cap Growth Fund Class C									
16. - Thornburg Income Builder Fund Class C									
17. - American High Income Trust Fund Class C									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Pimco Real Return Bond Fund Class C					Sold (part)	03/19/13	L	C	
19.					Sold	03/21/13	M	D	
20. - Principal Preferred Sec Class C (See Part VIII)									
21. - Templeton Global Bond Fd Class C									
22. - Pioneer Strategic Income Fd Class C									
23. - Loomis Sayles Strategic Income Fd Class A									
24. - Prudential Jennison Mid Cap Growth Fd Inc Cl C									
25. - Franklin Floating Rate Daily Access Fd Cl C									
26. - Wells Fargo Inflation Protected BD Fd Cl C					Sold	03/19/13	K	A	
27. - Mainstay Floating Rate Fd Cl C									
28. - Wells Fargo Common Stock Cl C					Buy	03/19/13	K		
29. - Pimco Stocksplus Absolute Return Fd Cl C					Buy	03/19/13	L		
30. - Nuveen Santa Barbara Dividend Growth Fd Cl C					Buy	03/22/13	L		
31. - Blackrock Equity Divident Fund C					Buy	03/22/13	L		
32. - Pioneer Equity Income Fd Cl C					Buy	08/21/13	L		
33. Northwestern Mutual Whole Life Ins Policies (See Part VIII)	B	Dividend	M	V					
34. Inter Vivos Marital Trust (See Part VIII)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.									
36.									
37.									
38.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I, I. 2. The inter vivos trust is not funded.

Section VII, I. 2. The "CMA Tax-Exempt Fund" is not a purchased fund. Rather, it is the CMA's current account for maintaining cash.

Section VII, I. 32 Value of Northwestern Mutual Whole Life Insurance Policies is based upon their reported cash surrender values. I have also reported the dividends that have offset in part the continuing premiums due on these policies.

Section VII, I. 33 The inter vivos trust is not funded.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffrey R. Hughes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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