

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gravelle, Christine M.	2. Court or Organization Bankruptcy Court for New Jersey	3. Date of Report 04/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Bankruptcy Court 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executrix	Estate #1
2.	Executrix	Estate #2
3.	Partner	Markowitz Gravelle LLP
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Markowitz Gravelle, LLP , partner	\$73,164.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank Account (Y) value under threshold									
2. Sovereign (Y) closed									
3. Blackrock Liq Temp Cash	A	Dividend	J	T					
4. American AMCAP CL A	A	Dividend	J	T					
5. American Inc Fund of America CL C	A	Dividend	K	T					
6. American New World FD CL A	A	Dividend	J	T	Sold (part)	09/12/13	J	A	
7. American Washington Mutual Investors FD CL A	B	Dividend	J	T	Buy (add'l)	10/22/13	K		
8. Goldman Sachs Asset Alloc GR & Inc Str C	A	Dividend	J	T					
9. Goldman Sachs Tech TollKeeper CL C	A	Dividend	J	T	Buy (add'l)	09/09/13	J		
10. Oppenheimer Equity Inc CL A	A	Dividend	J	T					
11. Oppenheimer Inter Diver FD CL A	A	Dividend	J	T					
12. American High Inc Trust CL A	A	Dividend	J	T					
13. American High Inc Trust CL C	A	Dividend	J	T					
14. Franklin Inc CL A	A	Dividend	J	T					
15. American Washington Mutual Investors F-1	A	Dividend	L	T	Sold (part)	11/22/13	J	A	
16.					Sold (part)	12/26/13	J	B	
17. Blackrock Sm Cap Grw Eq Port Serv Cl	B	Dividend	J	T	Buy (add'l)	10/18/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Cohen & Steers Realty Shares	A	Dividend	J	T	Buy (add'l)	10/18/13	J		
19. Invesco Developing Markets Class A	A	Dividend	J	T	Buy (add'l)	10/22/13	J		
20. JPMorgan MidCap Value FD Y CL	A	Dividend	J	T	Buy (add'l)	10/18/13	J		
21. Oppenheimer Intl Growth Fd Y	A	Dividend	K	T	Buy (add'l)	10/22/13	K		
22.					Sold (part)	11/22/13	J	B	
23. Prudential Jennisn MidCap Growth CL Z	A	Dividend	J	T	Buy (add'l)	10/22/13	J		
24. Stratton Small Cap Value Fund	A	Dividend	J	T	Buy (add'l)	10/18/13	J		
25. Eaton Vance Floating Rate Adv	A	Dividend	K	T	Buy (add'l)	10/22/13	K		
26. Loomis Sayles Invst Grade Bond CL A	C	Dividend	L	T	Buy (add'l)	10/22/13	L		
27.					Sold (part)	09/16/13	J		
28. Fidelity Advisor New Insights Cl A	D	Dividend	L	T	Buy (add'l)	10/22/13	K		
29. Metropolitan West Total Return Class I	A	Dividend	L	T	Buy (add'l)	10/18/13	L		
30.					Sold (part)	09/12/13	J		
31. PIMCO Foreign Bond USD Hedged Inst	A	Dividend	K	T	Buy (add'l)	10/18/13	K		
32. PIMCO Unconstrained BD Instl Class	A	Dividend	J	T	Buy (add'l)	10/18/13	J		
33.					Buy	09/12/13	J		
34. Goldman Sachs High Yield Muni (X) not reported in initial disclosure	A	Dividend			Sold	09/13/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Mainstay Epoch Global Equity Yld	A	Dividend	J	T	Buy	11/20/13	J		
36. Estate #1	A	Interest	J	T					
37. Santandar	A	Interest			Distributed	12/20/13	N		
38. Estate #2	A	Interest	J	T					
39. Santandar	A	Interest	J	T	Distributed (part)	09/24/13	J		
40. Fund Balance in Markowitz Gravelle 401 (K) Profit Sharing	E	Int./Div.			Distributed	10/16/13	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Estates #1 and #2 were completely distributed to beneficiarys in 2013

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine M. Gravelle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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