

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Freudenthal, Nancy D.	2. Court or Organization District Court - Wyoming	3. Date of Report 05/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Office of Judge Freudenthal 2120 Capitol Ave. Cheyenne, WY 82001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Board member	Wyoming Professional Assistance Program
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	State of Wyoming Retirement Plan with former employer, no control, agreement signed in 2003.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	State of Wyoming retirement distribution	\$7,018.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Crowell & Moring - salary
2. 2015	Arch Coal, Inc. - director income
3. 2015	State of Wyoming - retirement distribution
4. 2015	National Mining Association - speaker's fee

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Cheyenne Family YMCA	Family membership	\$605.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Meridian Trust FCU cash account #1	A	Interest	L	T					
2. INDZX	A	Dividend	K	T					
3. INUTX	A	Dividend	K	T					
4. RiverSource Life Annuity Non-Qual									
5. -- Riversource Fixed Account	A	Interest	J	T					
6. -- Riversource Moderate Class 2 Portfolio	A	Int./Div.	M	T					
7. RiverSource Life Annuity IRA - Moderate Portfolio Class	A	Int./Div.	M	T					
8. RiverSource Life Annuity IRA									
9. -- Managed Volatility Moderate Growth	A	Int./Div.	N	T					
10. -- Moderate Portfolio Class	A	Int./Div.	N	T					
11. Mass Mutual Fixed Whole Life Paid-Up at age 65 Insurance	C	Dividend	M	T					
12. ING Life Insurance	C	Interest	L	T					
13. Stifel Nicolaus Cash Equivalents (Trust #1)	A	Interest	K	T					
14. BMY Stock (Trust #1)	A	Dividend			Sold	09/30/15	J	C	
15. GE Stock (Trust #1)		None			Sold	09/30/15	J		
16. INTC Stock (Trust #1)	A	Dividend			Sold	10/23/15	J	A	
17. TWX Stock (Trust #1)	A	Dividend			Sold	10/23/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. MMM Stock (Trust #1)	A	Dividend	K	T					
19. BGR Mutual Fund (Trust #1)	A	Dividend	J	T					
20. EOI Mutual Fund (Trust #1)	B	Dividend	K	T					
21. EOS Mutual Fund (Trust #1)	B	Dividend	K	T					
22. EXG Mutual Fund (Trust #1)	A	Dividend	J	T					
23. ETJ Mutual Fund (Trust #1)	C	Dividend	K	T					
24. KYE Mutual Fund (Trust #1)	A	Dividend	J	T					
25. CWGIX Mutual Fund (Trust #1)	A	Dividend			Sold	10/23/15	J	B	
26. TEPLX (Trust #1)	A	Dividend			Sold	10/23/15	J	B	
27. ITHAX Mutual Fund (Trust #1)	A	Int./Div.	K	T					
28. LAGWX (Trust #1)	C	Int./Div.	K	T					
29. TVAFX (Trust #1)	A	Int./Div.			Sold	10/23/15	J	B	
30. UBS Pathfinders treasury & Growth Stock Series 31 (Trust #1)	A	Dividend	K	T					
31. RBSPRM Securities (Trust #1)		None			Sold	03/12/15	J		
32. RBSPRQ Securities (Trust #1)		None			Sold	03/12/15	J		
33. WFCPRJ Securities (Trust #1)	B	Dividend	K	T					
34. Residential property, Cody, Park County, Wyoming (Trust #1)		None	M	S					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Rental property, Cody, Park County, Wyoming (Trust #1)	C	Rent	K	S					
36. Meridian Trust FCU cash account #2	A	Interest	J	T					
37. Ameriprise Active Diversified Alternatives Moderate Portfolio	E	Int./Div.	N	T					
38. HFLAX Mutual Fund (Trust #1)	B	Int./Div.	K	T					
39. Oregon Trail Bank cash account	A	Interest	J	T					
40. Fid Freedom K 2015	B	Interest	L	T					
41. Arch Coal, Inc. Retirement Account		None	J	T					
42. Jefferies Group Inc New Senior Note (Trust #1)	A	Int./Div.	K	T					
43. Ameriprise Cash Reserve Certificate	A	Interest	L	T					
44. Ameriprise DF Active Diversified Alternatives Moderate Portfolio	E	Int./Div.	N	T					
45. Riversource Var. Ann. Roll. IRA		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, #1 - I have received an opinion from the Judicial Ethics Committee allowing receipt and use of this membership gift.

Part VII, previously listed as "Ameriprise cash equivalents" on line 2 in 2015. This asset was deleted as it was erroneously included in the report. The asset is simply one of several held within the fund listed on line 42.

Part VII, #34 - The value of the residential property located in Cody, Park County, Wyoming has been estimated using the Park County Assessor's 2016 estimate of fair market value of \$210,882. Assessed value for the property is \$20,034.

Part VII, #35 - The value of the rental property located in Cody, Park County, Wyoming has been estimated using the Park County Assessor's 2016 estimate of fair market value of \$47,278. Assessed value for the property is \$4,491.

Part VII, #45 - This IRA was previously, inadvertently overlooked as it has the same name as another RiverSource Life Annuity IRA managed by our broker, but it is a separate account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nancy D. Freudenthal**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544