

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KING, CAROLYN D.	2. Court or Organization U.S. COURT OF APPEALS 5TH CIR.	3. Date of Report 04/12/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. COURTHOUSE, ROOM 11020 515 RUSK AVENUE HOUSTON, TX 77002-2694		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	RESEARCH FELLOW	THE CENTER FOR AMERICAN AND INTERNATIONAL LAW
2.	MEMBER OF COUNCIL AND OF COUNCIL'S EXECUTIVE COMMITTEE; TREASURER	AMERICAN LAW INSTITUTE
3.	MEMBER OF ADVISORY BOARD	THE CENTER FOR THOMISTIC STUDIES, UNIVERSITY OF SAINT THOMAS
4.	MEMBER OF PRESIDENT'S BOARD OF ADVISORS	UNIVERSITY OF SAINT THOMAS
5.	MEMBER OF BOARD OF TRUSTEES AND OF THE BOARD'S EXECUTIVE COMMITTEE	BAYLOR COLLEGE OF MEDICINE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

KING, CAROLYN D.

Date of Report

04/12/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income *- If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	See attached report of Thomas M. Reavley
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN LAW INSTITUTE	1/20-21, 2011	PHILADELPHIA, PA	ATTEND MEETING AT ALI HEADQUARTERS	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
2.	AMERICAN LAW INSTITUTE	2/14, 2011	PHILADELPHIA, PA	ATTEND MEETING AT ALI HEADQUARTERS	REIMBURSEMENT OF TRANSPORTATION AND AIRFARE
3.	MARQUETTE UNIVERSITY	4/6-7, 2011	MILWAUKEE, WI	ATTEND MOOT COURT COMPETITION	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
4.	AMERICAN LAW INSTITUTE	4/11, 2011	PHILADELPHIA, PA	ATTEND MEETING AT ALI HEADQUARTERS	REIMBURSEMENT OF TRANSPORTATION AND AIRFARE

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5.	AMERICAN LAW INSTITUTE	5/14-19, 2011	SAN FRANCISCO, CA	ATTEND ALI ANNUAL MEETING	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
6.	STATE BAR OF TEXAS	6/22-23, 2011	SAN ANTONIO, TX	ATTEND STATE BAR MEETING	REIMBURSEMENT OF LODGING, MEALS AND AIRFARE
7.	PEPPERDINE UNIVERSITY SCHOOL OF LAW	9/23-24, 2011	MALIBU, CA	ATTEND EDUCATIONAL PROGRAM	REIMBURSEMENT OF LODGING, TRANSPORTATION AND AIRFARE
8.	AMERICAN LAW INSTITUTE	10/19-23, 2011	NEW YORK, NY	ATTEND COUNCIL MEETING	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
9.					
10.					

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Name of Person Reporting

KING, CAROLYN D.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. 2011	See attached report of Thomas M. Reavley	
2.		
3.		
4.		
5.		

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Name of Person Reporting

KING, CAROLYN D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (Including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	Place "(X)" after each asset exempt from prior disclosure								
1. Compass Bank Houston, TX (Money Market)	A	Interest	K	T					
2. J.P. Morgan Chase Common Stock (formerly J.P. Morgan & Co.)	A	Dividend	K	T					
3. General Electric Co. Common Stock	B	Dividend	L	T					
4. IBM Common Stock	A	Dividend	K	T					
5. Exxon Mobil Corp. Common Stock	B	Dividend	L	T					
6. Compass Bank Checking Account in the Name of		None	J	T					
7. Carolyn D. King or Thomas M. Reavley									
8. T. Rowe Price Small Cap Value Fund	D	Distribution	M	T					
9.		Dividend							
10. T. Rowe Price New Era Fund	D	Distribution	M	T					
11.		Dividend							
12. Northwestern Mutual Whole Life Policies - See Part VIII	B	Dividend	O	V					
13. Abbott Labs Common Stock	B	Dividend	L	T					
14. Illinois Tool Works Inc Common Stock	D	Dividend	M	T					
15. 5% California Economic Recovery Bonds Due 7/1/2015	C	Interest	M	T					
16. Procter & Gamble Common Stock	C	Dividend	M	T					
17. 3.8% NY State Thruway Aut. Gen. Rev. Bonds Due 1/1/2015	C	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

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Name of Person Reporting

KING, CAROLYN D.

Date of Report

04/12/2012

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 5% Denver Colo City & Cnty Rev. Bonds Due 9/1/2015	C	Interest	M	T					
19. Akron, OH CTFS PARTN 5% Bonds Due 12/01/2017	D	Interest	M	T					
20. Corpus Christi, TX Util Sys Rev 4% Bonds Due 7/15/2012	C	Interest	M	T					
21. Northwestern Mutual Variable Annuity Policy		None	M	T					
22. [REDACTED]									
23. Tarrant Cnty Tex Hea B LTH FACS Dev Corp 5% Bonds	C	Interest	M	T					
24. Due 12/1/2019									
25. Texas Transn Commn ST Hwy PD Rev 5% Bonds Due 4/1/2019	C	Interest	M	T					
26. Northwestern Mutual Insurance Service Account	A	Interest	J	T					
27. Glaxosmithkline PLC	B	Dividend	K	T					
28. Goldman Sachs Bank	A	Interest	M	T					
29. King CNTY WA SCH GO 5% Bonds Due 12/1/2013	C	Interest	M	T					
30. Texas GO 5% Bonds Due 4/1/2019	C	Interest	M	T					
31. Phoenix AZ Rev. 5% Bonds Due 7/1/2018	C	Interest	M	T					
32. Chevron Corp.	B	Dividend	L	T					
33. Royal Dutch Shell	C	Dividend	L	T					
34. Dallas Cnty TX Cmnty College GO 5% Bonds Due 2/15/21	C	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

B = \$15,001 - \$50,000

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Name of Person Reporting

KING, CAROLYN D.

Date of Report

04/12/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Massachusetts GO 5.25% Bonds Due 8/1/2021	C	Interest	M	T	Buy	03/08/11	M		
36.									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A=\$1,000 or less
F=\$50,001 - \$100,000
J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000
Q=Appraisal
U=Book Value

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000
R=Cost (Real Estate Only)
V=Other

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000
S=Assessment
W=Estimated

D=\$5,001 - \$15,000
H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000
T=Cash Market

E=\$15,001 - \$50,000

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KING, CAROLYN D.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to Part VII C, I have reported the aggregate cash surrender value for the whole life policies. In response to Part VII B, I have shown the aggregate amount of the dividends on those policies, which are used to pay the premiums and increase the cash surrender value.

I am married to Circuit Judge Thomas M. Reavley. I have attached a copy of his Financial Disclosure Report for 2011 for the information about my spouse required by the Instructions.

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Name of Person Reporting

KING, CAROLYN D.

Date of Report

04/12/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ CAROLYN D. KING

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reavley, Thomas M.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 03/26/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street, Room 11009 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/26/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	State of Texas, Judicial Retirement	\$68,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income -- *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	See attached report of Carolyn D. King
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

Reavley, Thomas M.

Date of Report

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Prudential Insurance Co.	Policy Loan	K
2.		
3.		
4.		
5.		

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Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/26/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank (Houston)	A	Interest	M	T					
2. Royalty (Hendrix) Pecos County, Texas	A	Royalty	J	W					
3. Minerals (Samson) Nacogdoches County, Texas	E	Royalty	N	W					
4. Minerals (Apache) Nacogdoches County, Texas	A	Royalty	K	W					
5. Chase Bank (Houston)	A	Interest	M	T					
6. Minerals (Chesapeake) Nacogdoches County, Texas	B	Royalty	J	W					
7. Fee Land, Lano County, Texas		None	K	W					
8. Prudential Insurance Company	A	Int./Div.	J	T					
9. Indigo	D	Royalty	M	W					
10. Minerals (BP) Panola County, Texas	D	Royalty	K	W					
11. Minerals (EOG) Nacogdoches County, Texas	A	Royalty	J	W					
12. Minerals (Patara) Shelby County, Texas	B	Royalty	J	W					
13. Minerals (Noble) Nacogdoches County, Texas	B	Royalty	J	W					
14. Minerals (XTO) Nacogdoches County, Texas	A	Royalty	J	W					
15. Minerals (Delta Petroleum) Newton County, Texas		None	J	W					
16. Texas State Bond	D	Interest	M	T					
17. University of Texas Permanent Bond 5% Due 7/01/13	C	Interest	M	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/26/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Corpus Christi Bond 4% Due 7/15/11	C	Interest			Sold	7/15/11	L	A	Maturity
19. Greater Clark County, Colorado Bond 5% Due 7/15/11	C	Interest	M	T					
20. Akron, Ohio Bond 5% Due 1/12/17	C	Interest	M	T					
21. Houston, Texas Bond (SER, E)	C	Interest	M	T					
22. Goldman Sachs Bank	A	Interest	J	T					
23. Massachusetts Bond	C	Interest	M	T	Buy	8/1/2011	M		Goldman Sachs
24.									
25.									

1. Income Gain Codes: (See Columns BI and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns CI and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/26/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

My spouse is Carolyn D. King. I attach a copy of her financial disclosure report for my report of her finances.

As reported in my 2010 report, item 17 in Part VII, page 4, General Municipal Money Market Fund, was transferred in 2010 to Goldman Sachs Bank, item 24.

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Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/26/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas M. Reavley

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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