

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BERZON, MARSHA S.	2. Court or Organization U.S. CA NINTH CIRCUIT	3. Date of Report 08/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 95 SEVENTH STREET P.O. BOX 1939393 SAN FRANCISCO, CA 94119-3939		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	UC HASTINGS COLLEGE OF THE LAW - TEACHING	\$3,060.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	SELF-EMPLOYED ATTORNEY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	LOS ANGELES COUNTY BAR ASSOCIATION	3/23/2013-3/27/2013	LOS ANGELES, CA	PROFESSIONAL ASSOCIATION ACTIVITY	TRAVEL, LODGING, MEALS
2.	UNIVERSITY OF CHICAGO LAW SCHOOL	4/28/2013-4/29/2013	CHICAGO, IL	EDUCATIONAL ACTIVITY	TRAVEL, LODGING, MEALS
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	SUSMAN GODFREY, LLP*3	LEGAL SERVICES	\$26,658.91
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCOUNTS	A	Interest	M	T					
2. CITIBANK ACCOUNTS	A	Interest			Closed	02/15/13	O		
3. FIRST REPUBLIC BANK ACCOUNTS	A	Interest			Closed	02/15/13	O		
4. STERLING BANK & TRUST ACCOUNTS	C	Interest	O	T					
5. UNION BANK ACCOUNTS	C	Interest	N	T					
6. US BANK ACCOUNTS	A	Interest			Closed	02/28/13	O		
7. ALCATEL LUCENT ADR FSPONSORED ADR (X)		None			Sold	03/07/13	J		
8. COCA COLA COMPANY - COMMON STOCK	B	Dividend	L	T					
9. COMCAST CORP NEW CL A - COMMON STOCK	A	Dividend			Sold	03/07/13	J	C	
10. CORNING INC - COMMON STOCK	B	Dividend	L	T					
11. FAIRPOINT COMMUN INC (X)		None			Sold	03/07/13	J		
12. FRONTIER COMMUNICATIONS - COMMON STOCK	A	Dividend			Sold	03/07/13	J		
13. LSI CORPORATION - COMMON STOCK		None			Sold	03/07/13	J		
14. NORTHEAST UTILITIES - COMMON STOCK	A	Dividend			Sold	03/07/13	K	D	
15. PEPSICO INCORPORATED - COMMON STOCK	B	Dividend	K	T					
16. POWERSHRS QQQ TRUST SER 1	A	Dividend	L	T	Buy	03/07/13	K		
17.					Buy (add'l)	09/11/13	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. PUB SVC ENT GROUP INC - COMMON STOCK	A	Dividend			Sold	03/07/13	J	C	
19. SPDR S&P 500 ETF TR	D	Dividend	O	T	Buy	03/07/13	O		
20.					Buy (add'l)	06/07/13	K		
21.					Buy (add'l)	09/11/13	L		
22.					Sold (part)	12/04/13	K	D	
23. VANGUARD FTSE ETF	D	Dividend	N	T	Buy	03/07/13	K		
24.					Buy (add'l)	03/07/13	K		
25.					Buy (add'l)	03/07/13	L		
26.					Buy (add'l)	03/07/13	L		
27.					Buy (add'l)	03/07/13	L		
28.					Buy (add'l)	06/07/13	K		
29.					Buy (add'l)	09/11/13	J		
30. VANGUARD INFLATION	A	Dividend			Buy	03/08/13	M		
31.					Sold	09/11/13	M		
32. VANGUARD INFO TECHNOLOGY	B	Dividend	M	T	Buy	03/07/13	M		
33.					Buy (add'l)	09/11/13	J		
34.					Sold (part)	12/04/13	J	B	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. VERIZON COMMUNICATIONS - COMMON STOCK	A	Dividend			Sold	03/07/13	K	C	
36. VODAFONE GROUP NEW ADR F - COMMON STOCK	A	Dividend			Sold	03/07/13	J	A	
37. DFA CA SHORT TERM MUNI	C	Dividend	O	T	Buy	03/08/13	N		
38.					Buy (add'l)	09/11/13	M		
39.					Buy (add'l)	11/13/13	J		
40.					Buy (add'l)	12/04/13	K		
41. DFA EMERGING MKTS CORE	C	Dividend	M	T	Buy	03/07/13	M		
42.					Sold	09/11/13	M		
43.					Buy	11/13/13	M		
44. DFA EMERGING MKTS PORT		None			Buy	09/11/13	M		
45.					Sold	11/13/13	M		
46. DFA INTL SMALL CAP VALUE	C	Dividend	L	T	Buy	03/07/13	L		
47. DFA INTL SMALL COMPANY	C	Dividend	L	T	Buy	03/07/13	L		
48.					Sold (part)	12/04/13	J	B	
49. DFA INTL VALUE PORTFOLIO	C	Dividend	L	T	Buy	03/07/13	L		
50. DFA ONE YEAR FIXED INCM	A	Dividend			Buy	03/12/13	N		
51.					Sold (part)	06/07/13	L		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold	09/11/13	M		
53. DFA US LARGE CAP VALUE	D	Dividend	N	T	Buy	03/07/13	N		
54.					Sold (part)	12/04/13	J	B	
55. DFA US MICRO CAP PORT	A	Dividend	J	T	Buy	06/07/13	J		
56. DFA US SMALL CAP VALUE	A	Dividend	K	T	Buy	06/07/13	J		
57. DREYFUS ACTIVE MIDCAP FD		None			Sold (part)	03/07/13	J	A	
58.					Sold	03/07/13	M		
59. DREYFUS APPRECIATION FUND		None			Sold (part)	03/07/13	J	A	
60.					Sold	03/07/13	K	E	
61. DREYFUS OPPORTUNISTIC		None			Sold	03/07/13	L	A	
62. PIMCO FOREIGN BOND FUND	D	Dividend	N	T	Buy	03/08/13	N		
63.					Buy (add'l)	09/11/13	K		
64.					Buy (add'l)	12/04/13	J		
65. PIMCO LOW DURATION FUND	B	Dividend	M	T	Buy	09/11/13	M		
66. PIMCO REAL RETURN FUND	B	Dividend	M	T	Buy	09/11/13	M		
67. RS MID CAP OPPORTUNITIES FUND		None			Sold	03/07/13	O	F	
68. SCHWAB CORE EQUITY FUND		None			Sold (part)	03/07/13	M	E	

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69.					Sold	03/07/13	J	A	
70. VANGUARD CA INTER TERM	E	Dividend	N	T	Buy	03/08/13	O		
71.					Sold (part)	09/11/13	N		
72. VANGUARD EQUITY INCOME	D	Dividend	N	T	Buy	03/07/13	M		
73. VANGUARD LTD TERM TAX	E	Dividend	P1	T	Buy	03/08/13	P1		
74.					Buy (add'l)	12/04/13	K		
75. VANGUARD SHORT TERM TAX	B	Dividend	N	T	Buy	03/08/13	N		
76.					Buy (add'l)	12/04/13	J		
77. TANAKA GROWTH FUND CL R		None			Sold	03/07/13	K		
78. SCHWAB CA MUNI MONEY FUND (SWEEP)	A	Dividend	K	T					
79. SCHWAB CA MUNI MONEY FUND (NON-SWEEP)	A	Dividend			Closed	03/07/13	L		
80. FIDELITY CA AMT TAX FREE MMKT INST CLASS	A	Dividend			Closed	02/20/13	P1		
81. VERISIGHT RETIREMENT ACCT. [REDACTED]	E	Dividend	P1	T					
82. -AMERICAN FUNDS FUNDAMENTAL INVESTORS					Sold	02/27/13	J	A	
83. -FIDELITY SMALL CAP DISCOVERY FUND					Buy	02/27/13	L		
84.					Buy (add'l)	04/10/13	J		
85.					Sold (part)	08/27/13	J	A	

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86. -*1					Sold (part)	12/27/13	J	A	
87. -OPPENHEIMER INTERNATIONAL BOND FUND					Buy	02/27/13	L		
88.					Buy (add'l)	04/10/13	J		
89.					Buy (add'l)	08/27/13	J		
90.					Buy (add'l)	09/10/13	J		
91. -*1					Sold (part)	12/27/13	J		
92. -PIMCO TOTAL RETURN FUND (I)					Buy	02/27/13	N		
93.					Buy (add'l)	04/10/13	J		
94.					Buy (add'l)	08/27/13	J		
95.					Sold (part)	09/10/13	M		
96. -*1					Sold (part)	12/27/13	J		
97. -VANGUARD 500 INDEX FUND (SIG)					Sold (part)	02/27/13	K	C	
98.					Buy (add'l)	04/10/13	J		
99.					Sold (part)	08/27/13	K	B	
100.					Sold (part)	09/10/13	J	A	
101. -*1					Sold (part)	12/27/13	J	C	
102. -VANGUARD INFLATION PROTECTED SECS. FUND (INV)					Buy	02/27/13	L		

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103.					Buy (add'l)	04/10/13	J		
104.					Buy (add'l)	08/27/13	J		
105.					Buy (add'l)	09/10/13	J		
106. -*1					Sold (part)	12/27/13	J		
107. -VANGUARD INTERMED.-TERM BOND INDEX FUND (SIG)					Buy	02/27/13	M		
108.					Buy (add'l)	04/10/13	J		
109.					Buy (add'l)	08/27/13	J		
110.					Sold (part)	09/10/13	M		
111. -*1					Sold (part)	12/27/13	J		
112. -VANGUARD SHORT-TERM BOND INDEX FUND (SIG)					Buy	02/27/13	L		
113.					Buy (add'l)	03/28/13	J		
114.					Buy (add'l)	08/27/13	J		
115.					Buy (add'l)	09/10/13	M		
116. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Sold	02/27/13	M	E	
117. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Sold	02/27/13	M	E	
118. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Sold	02/27/13	N	E	
119. -VANGUARD TOTAL INTL. STOCK INDEX FUND (SIG)					Buy	02/27/13	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

BERZON, MARSHA S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	04/10/13	J		
121.					Sold (part)	08/27/13	J	A	
122.					Sold (part)	09/10/13	J	A	
123. -*1					Sold (part)	12/27/13	J	A	
124. IRA #1 [REDACTED] *4	D	Dividend	M	T					
125. -AMCAP FUND - CLASS A*4									
126.					Sold	03/07/13	M	B	
127. -WASHINGTON MUTUAL INVESTORS FUND - CLASS A*4									
128.					Sold	03/07/13	M	B	
129. -SCH ADV CASH RESRV PREM: SWZXX (SWEEP)					Open	03/11/13	K		
130. -DFA ONE YEAR FIXED INCOME FUND: DFIHX					Buy	03/13/13	K		
131.					Sold	06/10/13	K		
132. -PIMCO FOREIGN BOND FUND					Buy	03/11/13	L		
133. -DFA INTL SMALL CAP VALUE					Buy	06/10/13	J		
134. -*1					Sold (part)	12/05/13	J	B	
135. -DFA REAL ESTATE					Buy	06/10/13	J		
136. -PIMCO COMMODITY REAL					Buy	03/11/13	L		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -VANGUARD REIT					Buy	03/12/13	J		
138.					Buy (add'l)	03/12/13	J		
139.					Buy (add'l)	03/12/13	J		
140.					Buy (add'l)	03/12/13	J		
141. IRA #2*5	E	Dividend	P1	T					
142. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND - CL A*5									
143.					Sold	03/07/13	M	B	
144. -AMERICAN FUNDS - THE GROWTH FUND OF AMERICA - CL A*5									
145.					Sold	03/07/13	L	B	
146. -AMERICAN FUNDS - NEW PERSPECTIVE FUND - CL A*5									
147.					Sold	03/07/13	K	A	
148. -AMERICAN FUNDS - CAPITAL WORLD GROWTH AND INCOME FUND - CL A*5									
149.					Sold	03/07/13	L	B	
150. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS - CL A*5									
151.					Sold	03/07/13	M	C	
152. -AMERICAN FUNDS - THE INVESTMENT COMPANY OF AMERICA - CL A*5									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

BERZON, MARSHA S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.					Sold	03/07/13	N	D	
154. -AMERICAN FUNDS - CAPITAL INCOME BUILDER - A*5									
155.					Sold	03/07/13	M	A	
156. -AMERICAN FUNDS - THE INCOME FUND OF AMERICA - CL A*5									
157.					Sold	03/07/13	M	B	
158. -AMERICAN FUNDS - AMERICAN BALANCED - CL A*5									
159.					Sold	03/08/13	M	B	
160. -SCH ADV CASH RESRV PREM: SWZXX (SWEEP)					Open	03/11/13	L		
161. -DFA ONE YEAR FIXD INCM					Buy	03/13/13	L		
162.					Sold (part)	06/10/13	K		
163. -PIMCO FOREIGN BOND FUND					Buy	03/11/13	M		
164. -PIMCO REAL RETURN FUND					Buy	03/11/13	M		
165. -PIMCO TOTAL RETURN FUND					Buy	03/11/13	N		
166. -VANGUARD INFLATION					Buy	03/11/13	L		
167. -VANGUARD SHORT TERM BD					Buy	12/05/13	K		
168. -DFA COMMODITY STRATEGY					Buy	03/11/13	L		
169.					Buy (add'l)	06/10/13	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -DFA REAL ESTATE					Buy	03/08/13	L		
171. -DFA US MICRO CAP PORT					Buy	03/08/13	M		
172.					Sold (part)	12/05/13	K	C	
173. -DFA US SMALL CAP PORT					Buy	03/08/13	L		
174.					Sold (part)	12/05/13	J	D	
175. -DFA US SMALL CAP VALUE					Buy	03/08/13	M		
176. -PIMCO COMMODITY REAL					Buy	06/10/13	K		
177. -VANGUARD REIT					Buy	03/12/13	K		
178. [REDACTED] CAPITAL ACCOUNT IN LAW FIRM PARTNERSHIP *2	A	Interest	K	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*1 SALES 12/05/13 AND 12/27/13 MADE TO MEET [REDACTED] REQUIRED MINIMUM DISTRIBUTION (RMD).

*2 THE EARNINGS REPORTED IN PART VII REPRESENT THE [REDACTED] DISTRIBUTIVE SHARE OF PARTNERSHIP INTEREST INCOME.

*3 I WAS ONE OF SEVEN NAMED PLAINTIFFS IN BARKER et al V. UNITED STATES, CASE No. 1:12-cv-00826 (Fed.C1.), BROUGHT TO CHALLENGE THE DENIAL OF ARTICLE III JUDGES' COST-OF-LIVING ADJUSTMENTS (COLAs) OVER MULTIPLE YEARS. ATTORNEY FEES AND COSTS WERE EXPENDED BETWEEN NOVEMBER 2012 THROUGH DECEMBER 31, 2013 IN THE TOTAL AMOUNT OF \$186,612.35 ON A PRO BONO BASIS BY THE LAW FIRM OF SUSMAN GODFREY, LLP, [REDACTED] SEATTLE, WA 98101 WHO SERVED AS PLAINTIFFS' COUNSEL WITHOUT COMPENSATION OR REIMBURSEMENT FROM ME OR THE OTHER PLAINTIFFS.

*4 ON FEBRUARY 26, 2013, SHARES IN MUTUAL FUNDS HELD IN IRA [REDACTED] ACCOUNT WITH AMERICAN FUNDS AS CUSTODIAN WERE TRANSFERRED TO A NEW IRA [REDACTED] ACCOUNT WITH CHARLES SCHWAB AS CUSTODIAN (ITEMS #125 AND #127 WERE TRANSFERRED).

*5 ON FEBRUARY 26, 2013, SHARES IN MUTUAL FUNDS HELD IN IRA ACCOUNT WITH AMERICAN FUNDS AS CUSTODIAN WERE TRANSFERRED TO A NEW IRA ACCOUNT WITH CHARLES SCHWAB AS CUSTODIAN (ITEMS #142, #144, #146, #148, #150, #152, #154, #156, AND #158 WERE TRANSFERRED).

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Name of Person Reporting

BERZON, MARSHA S.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARSHA S. BERZON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544