

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crenshaw, Waverly D.	2. Court or Organization US District Court of Middle Tennessee	3. Date of Report 05/19/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 801 Broadway Room A-845 Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustee	Nashville School of Law
2. Board Member	Tennessee Supreme Court Historical Society, Inc.
3. Life Member	NAACP
4. Fellow	American Bar Foundation
5. Fellow	Nashville Bar Foundation
6. Fellow	Tennessee Bar Foundation
7. Fellow	Napier-Looby Bar Foundation
8. Member	Belle Meade Country Club
9. Executor of Estate	Betty Nixon

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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Name of Person Reporting

Crenshaw, Waverly D.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting	Date of Report
Crenshaw, Waverly D.	05/19/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Crenshaw, Waverly D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage (H)									
2. Federated Capital Reserve	A	Dividend	N	T					
3. Blackstone/GSO Strategic	D	Dividend	M	T					
4. Brandes Emerging Mkts Value	B	Dividend	M	T	Buy	01/07/16	M		
5. Capital Income Builder Fund Class A	A	Dividend	K	T	Buy	12/09/16	K		
6. Capital Income Builder Fund Class C	A	Dividend	K	T	Buy	12/09/16	K		
7. Davis Appreciation & Income Fund Class C	A	Dividend	M	T	Buy	12/05/16	M		
8. Davis Appreciation & Income Fund Class C		None			Sold	01/07/16	L		
9. Fidelity Advisor Strategic Income Fund Class C	D	Dividend	N	T	Buy	01/07/16	M		
10. Fidelity Advisor Strategic Income Fund Class C		None			Buy	06/14/16	L		
11. Fidelity Advisor Stock Selector All Cap Fund Class C		None	N	T	Buy	12/05/16	N		
12. Fidelity Advisor New Insights Fund Class A	D	Dividend	M	T	Buy	01/07/16	M		
13. Fidelity Advisor New Insights Fund Class C	C	Dividend	L	T					
14. Fundamental Investors Class C	D	Dividend	M	T					
15. The Growth Fund of America Class C	C	Dividend	K	T	Buy	02/09/16	L		
16. The Growth Fund of America Class C		None			Sold (part)	12/09/16	K	D	
17. Nuveen Municipal Income Fund Inc	A	Dividend	L	T	Buy	12/09/16	L		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Crenshaw, Waverly D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Nuveen Municipal Income Fund Inc		None			Sold	01/13/16	L	C	
19. Pimco All Asset Fund Class C	D	Dividend	N	T	Buy	02/09/16	M		
20. Pimco All Asset Fund Class C		None			Buy	06/14/16	M		
21. Pimco Muni Income Fund II	B	Dividend	K	T	Buy	12/09/16	K		
22. Pimco Muni Income Fund II		None			Sold	05/19/16	L	D	
23. Pimco Income Fund Class C	D	Dividend	N	T	Sold (part)	02/09/16	L		
24. Pimco Commodities Plus Strategy Fund Class C		None	L	T	Buy	06/29/16	L		
25. Pimco Commodities Plus Strategy Fund Class C		None			Buy	09/13/16	K		
26. Small Cap World Fund Class C	A	Dividend	M	T	Buy (add'l)	06/14/16	L		
27. ALPS ETF TR Alerian MLP ETF	A	Dividend	K	T					
28. Localshares Invt TR Nashville Area ETF	A	Dividend	K	T					
29. Select Sector SPDR TR Energy	A	Dividend	K	T					
30. Brandes International Small Cap Equity		None			Sold	01/07/16	M		
31. Davis New York Venture Class C	D	Dividend			Buy	01/07/16	M		
32. Davis New York Venture Class C		None			Buy (add'l)	07/05/16	J		
33. Davis New York Venture Class C		None			Sold	12/05/16	M	E	
34. Fidelity Advisor Growth Opportunities	C	Dividend			Buy	01/07/16	N		

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000
H2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000

M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

S=Assessment
W=Estimated

T=Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Fidelity Advisor Growth Opportunities		None			Buy	01/11/16	J		
36. Fidelity Advisor Growth Opportunities		None			Sold	12/05/16	N	D	
37. Fidelity Advisor Investment Grade Bond Fund Class C	A	Dividend			Sold	01/07/16	M		
38. Ishares TR US Oil Eqpt & Svcs	A	Dividend			Buy	05/23/16	K		
39. Ishares TR US Oil Eqpt & Svcs		None			Buy (add'l)	06/29/16	J		
40. Ishares TR US Oil Eqpt & Svcs		None			Buy (add'l)	10/05/16	J		
41. Ishares TR US Oil Eqpt & Svcs		None			Sold	12/02/16	K	C	
42. Washington Mutual Investors Fund Class A	A	Dividend			Buy (add'l)	03/21/16	J		
43. Washington Mutual Investors Fund Class A		None			Buy (add'l)	06/20/16	J		
44. Washington Mutual Investors Fund Class A		None			Buy (add'l)	09/19/16	J		
45. Washington Mutual Investors Fund Class A		None			Sold	12/09/16	J	A	
46. Nuveen Municipal Value Fund	C	Dividend			Sold	12/09/16	M		
47. Fidelity Advisor Mega Cap Stock Fund Class A		None			Sold	01/07/16	K		
48. Fidelity Advisor Mega Cap Stock Fund Class C		None			Sold	01/07/16	N		
49. Traditional IRA (H)									
50. Pershing Government Account		None	J	T					
51. Brandes Emerging Mkts Value Fund Class C	A	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

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(See Column C2)

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52. Davis New York Venture Class A	C	Dividend	L	T					
53. Davis New York Venture Class C	D	Dividend	M	T					
54. Europacific Growth Fund Class A	A	Dividend	L	T					
55. Europacific Growth Fund Class C		None	K	T	Buy	06/02/16	K		
56. Fidelity Advisor Strategic Income fund Class C	B	Dividend	M	T	Buy	06/02/16	K		
57. Fidelity Advisor Growth Opportunities Fund Class C	E	Dividend	M	T					
58. Fidelity Advisor International Discovery Fund Class A	A	Dividend	K	T					
59. Fidelity Advisor New Insights Fund Class T	C	Dividend	L	T					
60. Fidelity Advisor New Insights Fund Class C	C	Dividend	L	T					
61. Fundamental Investors Class C	B	Dividend	K	T	Buy	06/02/16	K		
62. The Growth Fund of America Class C	C	Dividend	K	T	Buy	06/02/16	K		
63. Pimco Total Return Class C	A	Dividend	K	T	Buy	09/14/16	L		
64. Pimco All Asset Fund Class C	C	Dividend	M	T	Buy	06/02/16	L		
65. Pimco All Asset Fund Class A	A	Dividend	J	T					
66. Pimco Income Fund Class C	C	Dividend	M	T	Buy	06/02/16	L		
67. Washington Mutual Investors Fund Class C	B	Dividend	K	T	Buy	06/02/16	K		
68. ROTH Ira (H)									

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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Crenshaw, Waverly D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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69. Pershing Government Account		None	J	T					
70. Europacific Growth Fund Class F-2	A	Dividend	J	T	Buy	08/01/16	K		
71. Fidelity Advisor Strategic Income Fund Class I	A	Dividend	K	T	Buy	08/01/16	K		
72. Fidelity Advisor New Insights Fund Class I	B	Dividend	K	T	Buy	08/01/16	K		
73. Fundamental Investors Class F-2	A	Dividend	K	T	Buy	08/01/16	K		
74. The Growth Fund of America	B	Dividend	K	T	Buy	08/01/16	K		
75. New World Fund Class F-2	A	Dividend	J	T	Buy	08/01/16	J		
76. Pimco All Asset Fund Institutional Class	A	Dividend	K	T	Buy	08/02/16	K		
77. Pimco Income Fund Institutional Class	A	Dividend	K	T	Buy	08/01/16	K		
78. Washington Mutual Investors Fund Class F-2	B	Dividend	K	T	Buy	08/01/16	K		
79.									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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S = Assessment

W = Estimated

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P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Crenshaw, Waverly D.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Waverly D. Crenshaw, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544