

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEAY, FRANK H.	2. Court or Organization US DISTRICT COURTS-EASTERN	3. Date of Report 05/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2016 to 12/31/2016
	5b. ☐ Amended Report	
7. Chambers or Office Address EASTERN DISTRICT OF OKLAHOMA UNITED STATES COURTHOUSE MUSKOGEE, OK 74402-0828		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	STATE OF OKLAHOMA - TEACHERS RETIREMENT SYSTEM
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PRODUCING MIN INT-WILLACY CT, TX APPRAISAL 3-29-89	A	Royalty	J	W					
2. NON-PROD MIN INT-GARVIN, POTT & SEM CT, OK APPRAISAL 3-29-89		None	J	W					
3. PRODUCING MINERAL INT - SEMINOLE CO., OKLA	A	Royalty	J	W					
4. 1/124 WI IN NASH 1-26, POTTAWATOMIE CO., OK	A	Royalty	J	W					
5. 1/124 WI IN STATE 1-16, POTTAWATOMIE CO., OK		None	J	W					
6. 1/124 WI IN STOVER 1-27, POTTAWATOMIE CO., OK		None	J	W					
7. CD'S, SAVINGS & DEMAND DEPOSIT, BANCFIRST, SEMINOLE, OK	A	Interest	J	T					
8. EDWARD D. JONES ACCOUNT									
9. -EDJ-INVESTMENT ACCOUNT INTEREST		None	J	T					
10. -EDJ-BOND-GRDA OK REV	B	Interest	K	T					
11. -EDJ-BOND-CENTRAL BRADFORD PROG	A	Interest	K	T					
12. -EDJ-BP-PLC	A	Dividend	J	T					
13. -EDJ-CHESAPEAKE		Dividend	J	T					
14. -EDJ-HARTFORD EQUITY INCOME FD CL C	C	Dividend	K	T					
15. -EDJ- AQUA AMERICA INC	A	Dividend	J	T					
16. -EDJ- CONOCOPHILLIPS	A	Dividend	J	T					

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18. - EDJ- ONEOK INC	A	Dividend	J	T					
19. - EDJ- INVESCO LTD TERM MUNIC INCM A	A	Dividend			Sold	04/22/16	L		
20. - EDJ- INVESCO COMSTOCK FUND CL A	B	Dividend	J	T					
21. - EDJ- INVESCO GROWTH ALLOCATION FD	A	Dividend	K	T					
22. - EDJ- INVESCO INTRMDT MUNI FUND A	B	Dividend	L	T					
23. - EDJ- INVESCO INTERNATIONAL GROWTH	A	Dividend	J	T					
24. - EDJ- INVESCO MUNI INC FUND A	B	Dividend	L	T	Buy	04/22/16	L		
25. METLIFE WHOLE POLICY	A	Dividend	J	T					
26. 100% INTEREST IN THE FAYE L. CRUM TRUST									
27. FLC TRUST-BANCFIRST CD	A	Interest	L	T					
28. FLC TRUST-BANCFIRST DEMAND DEPOSIT	A	Interest	K	T					
29. FLC TRUST-EDJ CAL ED FACS BOND	B	Interest			Redeemed	05/02/16	J		
30. FLC TRUST-EDJ GROSSMONT BD	B	Interest			Sold	08/04/16	K		
31. FLC TRUST-EDJ PENN ST TKP BOND	A	Interest	J	T					
32. FLC TRUST-EDJ OK AG MECH BOND	B	Interest	K	T					
33. FLC TRUST-EDJ GRDA OK BOND	B	Interest	K	T					

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35. FLC TRUST-EDJ TULSA OKLA PUB FACS AUTH	B	Interest	K	T					
36. FLC TRUST-EDJ MARYSVILLE CA	B	Interest	K	T					
37. FLC TRUST-EDJ MD ST CMNTY DEV	B	Int./Div.			Sold	11/02/16	K		
38. FLC TRUST-EDJ LORD ABBETT HIGH YIELD	C	Int./Div.	L	T					
39. FLC TRUST-EDJ LORD ABBETT NATIONAL TX	C	Int./Div.	M	T					
40. FLC TRUST-EDJ IN FIN WASTEWATER REV	B	Int./Div.	K	T					
41. FLC TRUST-EDJ NE COOP	B	Int./Div.	K	T					
42. FLC TRUST-EDJ AMERICAN PWR OHIO	B	Int./Div.	K	T					
43. FLC TRUST-EDJ INVESCO INTRMDT MUNI FD	B	Int./Div.			Sold	04/21/16	L		
44. FLC TRUST-EDJ INVESCO HIGH YLD MUN BD	A	Int./Div.	K	T					
45. FLC TRUST - EDJ INVESCO MUNI INC FUND A	B	Dividend	L	T	Buy	04/21/16	L		
46. FLC TRUST-RENT HOUSE 908 W. WILSON SEMINOLE, OK	C	Rent	L	Q					
47. LPL FINANCIAL									
48. LPL- INVESTMENT ACCOUNT INTEREST		None	J	T					
49. LPL- AMERN INCM FD OF AMER	A	Dividend	J	T					
50. LPL- BOND FUND OF AMERICA	A	Dividend	K	T					

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52. LPL- DAVIS NY VENTURE	C	Dividend	K	T					
53. LPL- FEDERATED KAUFMANN FUND	B	Dividend	K	T					
54. LPL- FIDELITY ADV DIVERSIFIED	A	Dividend	J	T					
55. LPL- MUTUAL SHARES	A	Dividend	J	T					
56. LPL- OPENHEIMER CAPITAL APP	A	Dividend	K	T					
57. LPL- INVESCO FLOATING RATE	C	Distribution	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ON PART VII, BEGINNING ON LINE 26 THIS IS A HEADER LINE FOR THE FAYE L CRUM TRUST THAT WAS INHERITED IN 2010. ON THE FOLLOWING LINES 27 THROUGH 46, THE NOTATION FLC TRUST STANDS FOR FAYE L CRUM TRUST.

ON LINE 46 THE APPRAISAL DATE OF THE RENT HOUSE WAS JANUARY 25, 2010.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ FRANK H. SEAY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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