AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	06/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/06/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013	STATE OF TN: SALARY AS COMMISSIONER OF SAFETY & HOMELAND SECURITY
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	FIRST AMENDMENT CENTER	2/22/13	NASHVILLE, TN	MOOT COURT	MEALS, TRANSPORTATION
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/06/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. BANK	CREDIT CARD	K
2.	CHASE VISA	CREDIT CARD	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/06/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
2. IRA-EQUITABLE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3. SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4. FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
5. STATE OF TN 401(K)									
6. -FIDELITY PURITAN FUND	B	Dividend	K	T					
7. -NATIONWIDE BANK		None	J	T					
8. IRA ROLLOVER RETIREMENT PLAN									
9. -AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	A	Dividend	J	T					
10. -HARTFORD MUTUAL FDS: HARTFORD CAPITAL APPRECIATION FUND					Sold (part)	04/11/13	J	A	
11.					Sold	08/30/13	J	A	
12. -FRANKLIN FDS: MUTUAL GLOBAL DISCOVERY	A	Dividend	J	T					
13. -IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					
14. -VIRTUS MUTUAL FDS: ALTERNATIVES DIVERSIFIER FUND					Sold	02/08/13	J	A	
15. -ING FDS: ING CORE EQUITY	A	Dividend			Sold	09/06/13	J	A	
16. -PIONEER FUND: PIONEER FUND CLASS A M/F					Sold	02/01/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)

A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/06/2014

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☐ NONE *(No reportable income, assets, or transactions.)*

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17. -CONVERGYS CORP - STOCK	A	Dividend			Sold	07/03/13	J	A	
18. -REGIONS FDIC CASH ACCOUNT	A	Interest	J	T					
19. -CITIGROUP INC - STOCK	A	Dividend	J	T					
20. -ING EMERGING MARKET FUND CL A	A	Dividend	J	T					
21. -FIRST TRUST HIGH INCOME LONG/ SHORT FUND	A	Dividend			Buy (add'l)	03/22/13	J		
22.					Sold	06/13/13	J	A	
23. -RARE ELEMENT RES					Sold	05/30/13	J	A	
24. -HIGHLAND LONG/SHORT EQUITY	A	Dividend	J	T					
25. -RFMICRO DEVICES					Sold	01/04/13	J	A	
26. -DIGI INTERNATIONAL					Buy (add'l)	01/03/13	J		
27.					Buy (add'l)	02/04/13	J		
28.					Sold (part)	04/10/13	J	A	
29.					Sold	07/16/13	J	A	
30. -PIMCO TOTAL RETURN CLASS A	A	Dividend	K	T					
31. -APPLE INC	A	Dividend			Sold	03/04/13	J	A	
32. -GOLDMAN SACHS GLOBAL CD		None	J	T					
33. -PACIFIC LIFE OPTIMIZATION MODERATE AGGRESSIVE CL A	A	Dividend	J	T	Buy	02/04/13	J		

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34.						Buy (add'l)	02/08/13	J		
35.	-NIKE COMMON STOCK		None			Buy	03/12/13	J		
36.						Sold	03/22/13	J	A	
37.	-VALERO ENERGY	A	Dividend			Buy	04/03/13	J		
38.						Buy (add'l)	04/11/13	J		
39.						Sold (part)	05/17/13	J	A	
40.						Sold	10/23/13	J	A	
41.	-CST BRANDS	A	Dividend			Spinoff (from line 37)	05/02/13	J		
42.						Sold	12/24/13	J	A	
43.	-FIRST TRUST TACTICAL INCOME PORTFOLIO	A	Dividend	J	T	Buy	06/04/13	J		
44.	-ALTRIA GROUP	A	Dividend	J	T	Buy	06/20/13	J		
45.	-SUNAMERICA FOCUSED DIVIDEND STRATEGY	A	Dividend	J	T	Buy	07/16/13	J		
46.	-FIRST TRUST MEGA CAP PORTFOLIO	A	Dividend	K	T	Buy	08/30/13	J		
47.						Buy (add'l)	09/06/13	J		
48.	AXA EQUITABLE LIFE INS CO		None			Redeemed	10/25/13	J	A	
49.	AXA EQUITABLE LIFE INS CO	A	Interest	K	T					
50.	AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	B	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SCHEDULE VII, LINE 12: NAME CHANGED FROM FRANKLIN TEMPLETON MUTUAL DISCOVERY TO MUTUAL GLOBAL DISCOVERY

SCHEDULE VII, LINE 15: NAME CHANGED FROM GROWTH & INCOME FUND TO ING CORE EQUITY

SCHEDULE VII, LINE 24: NAME CHANGED FROM PYXIS LONG/SHORT OPPORTUNITIES TO HIGHLAND LONG/SHORT EQUITY

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544