

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Wolle, Charles R.	2. Court or Organization U.S. Distric Court SDIA	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 401 U.S. Courthouse 130 East Court Street Des Moines, IA 50309		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Wolle, Charles R.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University Law & Economics Center	Sept. 27-29 2011	Fairfax, VA	Anti Trust Law Seminar	transportation, Hotel & Meals
2.					
3.					
4.					
5.					

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Wolle, Charles R.	05/06/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Wolle, Charles R.

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05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch IRA									
2. -Blackrock Global Fund	A	Dividend	K	T					
3. -Blackrock Govt Inc. Fund	A	Dividend	J	T					
4. -General Growth Properties	A	Dividend	J	T					
5. -Int Game Tech (common)	A	Dividend			Sold	8/2/11	J	A	
6. -RAE Systems (common)	A	Dividend			Sold	6/21/11	J	A	
7. -Calvert Alt Global Fund	A	Dividend			Sold	12/28/11	J	A	
8.									
9. Merrill Lynch Rollover IRA									
10. -Berkshire Hath B Shares	A	Dividend	L	T					
11. -BP Amoco PLC ADR					Sold				Sold in 2010
12. -Irish Inv Fund	A	Dividend	J	T					
13. -Progressive Ret Fund	A	Dividend	J	T					
14. -General Elec. Common	A	Dividend	J	T					
15. -Hellenic Tele ADR	A	Dividend	J	T					
16. -Intel Common	A	Dividend	J	T					
17. -Johnson & Johnson Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wolle, Charles R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Microsoft Common	A	Dividend	K	T					
19. -Pfizer Common	A	Dividend	J	T					
20. -Walmart Common	A	Dividend	J	T					
21. -Merryl Lynch Bank USA	A	Dividend	J	T					
22. -Fidelity Adv Mut Fund	A	Dividend	J	T					
23. -United Parcel Common	A	Dividend	J	T					
24. -Time Warner Cable	A	Dividend	J	T					
25. -Time Warner (common)	A	Dividend	J	T					
26. -Travelers Cos Ins	A	Dividend	J	T					
27. -Corning Inc Common	A	Dividend	J	T					
28. -Oracle Corp Common	A	Dividend	J	T					
29. -Sun Microsystems Common	A	Dividend	J	T	Merged (with line 28)	12/31/11	J	A	
30. -Wells Fargo (common)	A	Dividend			Sold	9/30/11	J	A	
31. -Google inc Common	A	Dividend	J	T					
32. -Accenture Ltd CLA	A	Dividend	J	T					
33. -Franklin Natural Resources	A	Dividend	J	T					
34. -Petro Bras VTG ADr	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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35. -Cisco Syst Common	A	Dividend	J	T					
36. -Walgreen Co Common	A	Dividend	J	T					
37.									
38. Vanguard Cust IRA rollover									
39. -Vanguard 500 Index Fund	A	Dividend	K	T					
40. -Vanguard Emerging Mkts	A	Dividend	L	T					
41. -Vanguard European Fund	A	Dividend	K	T					
42. -Vanguard Pacific Stock Index	A	Dividend	K	T					
43.									
44. Edward Jones Account									
45. Federal Home Loan Mtg Corp	A	Dividend	L	T					
46. Berkshire Hath B shares	A	Dividend	K	T					
47. Cisco Sys Common	A	Dividend	J	T					
48. CVS Corp	A	Dividend	J	T					
49. Exxon Mobile	A	Dividend	J	T					
50. General Mills Inc	A	Dividend	J	T					
51. Ishares Dow Trans Avg Index	A	Dividend	J	T					

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52. Proctor & Gamble Co Common	A	Dividend	J	T					
53. Proctor & Gamble Co Common	A	Dividend	J	T					
54.									
55. Merrill Lynch IRA II									
56. -American Income Fund of America	A	Dividend	K	T					
57. -Coca Cola Common	A	Dividend	J	T					
58. -McDonalds Common	A	Dividend	J	T					
59.									
60. Merrill Lynch IRA I									
61. -iShares FTSE HK China 25 Index Fund	A	Dividend	J	T					
62. -Paychex Inc	A	Dividend	J	T					
63. -United Tech Corp Common	A	Dividend	J	T					
64.									
65. Fidelity Investmenst Acct									
66. Berkshire Hathaway Common	A	Dividend	J	T					
67. Intl Game Tech Common	A	Dividend	J	T					
68.									

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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Date of Report

05/06/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles R. Wolle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Attn: Amy Brinson
Committee on Financial Disclosure
Judicial Conference of U.S.
One Columbus Circle NE
Washington, DC 20544

July 25, 2013

Re: Your Inquiry dated 7/25/13 -

Re: My reports for 2011 and 2012 (annual financial disclosure)

This will confirm our phone visits today. Thank you for emailing my 2012 and 2013 reports that are the subject of the Committee letter.

First, your letter states the 2012 (current) report did not list assets from the 2011 report in Part VII, p. 6, line 51 and page 7, lines 52-61.

I find those eleven assets listed in the same sequence in my 2012 report (filed 5/6/13) in Part VII, pages 5-6, lines 33-51.

Second, your letter correctly states the 2012 (current) report listed in Part VII, page 5, line 25 "Time Warner (common)" that was not in my 2011 report. I attach a corrected page 5 for my 2012 Financial Disclosure report, showing that the Time Warner (common) was sold on February 17, 2012. I also attach a corrected page 10 and signature certifying correction of the error in the 2012 report. [Time Warner Cable was sold at the same time.]

Very truly yours,

RECEIVED

2013 AUG - 7 A 7 15

FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wolle, Charles R.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. -Microsoft Common	A	Dividend	K	T					
19. -Pfizer Common	A	Dividend	J	T					
20. -Walmart Common	A	Dividend	J	T					
21. -Merryl Lynch Bank USA	A	Dividend	J	T					
22. -Fidelity Adv Mut Fund	A	Dividend	J	T					
23. -United Parcel Common	A	Dividend	J	T					
✓ 24. -Time Warner Cable	A	Dividend	J	T	SOLD	2/17/12	J	A	
✓ 25. -Time Warner (common)	A	Dividend	J	T	SOLD	2/17/12	J	A	
26. -Travelers Cos Ins	A	Dividend	J	T					
27. -Corning Inc Common	A	Dividend	J	T					
28. -Oracle Corp Common	A	Dividend	J	T					
29. -Sun Microsystems Common	A	Dividend	J	T	Merged (with line 28)	12/31/11	J	A	
30. -Wells Fargo (common)	A	Dividend			Sold	9/30/11	J	A	
31. -Google inc Common	A	Dividend	J	T					
32. -Accenture Ltd CLA	A	Dividend	J	T					
33. -Franklin Natural Resources	A	Dividend	J	T					
34. -Petro Bras VTG ADR	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns C1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

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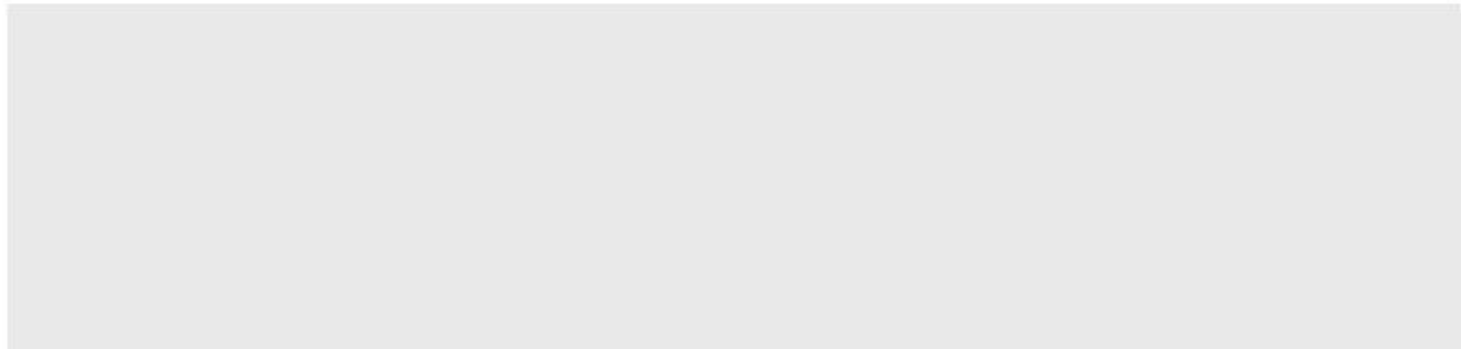
Date of Report

08/02/2013
~~05/03/2012~~
Amended Page
2012 Report

IX. CERTIFICATION. *This substitute page 10 and substitute page 5 correct an error in failing to report my 2/17/12 sale of Time Warner.*

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.


Signature: s/ Charles R. Wolle

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Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544