

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Coogler, Lawrence S.	2. Court or Organization Northern District of Alabama	3. Date of Report 05/02/2016
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Tuscaloosa Federal Building 2005 University Blvd. Tuscaloosa, AL 35401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	American Christian Academy
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1999	State of Alabama, Retirement Systems - Retirement Account - I have no control over this account.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Alabama - School of Law, Salary for teaching	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	CPA, Income from accounting practice.
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		Quail Hunting	\$1,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BBVA Compass Bank	Real Estate Mortgage (Greystone)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AUSI Common Stock (Personal)		None			Sold	03/11/15	J	A	
2. SNV Common Stock (Personal)	A	Dividend			Sold	03/11/15	J	A	
3. Rental Property No. 2, Tuscaloosa, AL () HH	D	Rent	M	W					
4. Rental Property No. 3, Tuscaloosa, AL () Cap	D	Rent	M	W					
5. Coogler & Copeland, Jnt. Vnt. () Tuscaloosa, AL) 50% Int	D	Rent	L	W					
6. Checking Account #1 Bank of Tuscaloosa		None	J	T					
7. Checking Account #2 Bank of Tuscaloosa		None	K	T					
8. Savings Account - Compass Bank	A	Interest	L	T					
9. Money Market Account #1 - Bank of Tuscaloosa	A	Interest	L	T					
10. TD Waterhouse Bank Money Market Account ()	A	Interest	K	T					
11. TD Waterhouse Bank Money Market Account (CHC)	A	Interest	J	T					
12. TD Waterhouse Bank Money Market Account (HCC)	A	Interest	J	T					
13. TD Waterhouse Money Market Account (ADC)	A	Interest	J	T					
14. Checking Account- Life Insurance Trust - Bank of Tuscaloosa		None	J	T					
15. RF Common Stock (CHC)	A	Dividend			Sold	03/11/15	J	A	
16. AUSI Common Stock (CHC)		None			Sold	03/11/15	J	A	
17. RF Common Stock (HCC)	A	Dividend			Sold	03/11/15	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. WFC Common Stock (HCC)	A	Dividend			Sold	03/11/15	J	A	
19. SNV Common Stock (HCC)	A	Dividend			Sold	03/11/15	J	A	
20. RF Common Stock (ADC)	A	Dividend			Sold	03/11/15	J	A	
21. WFC Common Stock (ADC)	A	Dividend			Sold	03/11/15	J	A	
22. SNV Common Stock (ADC)	A	Dividend			Sold	03/11/15	J	A	
23. Real Estate Rental, Sherwood East, Ltd. 1/12		None	J	U					
24. IRA (LSC) -TransAmerica Asset Allocation Fund		None	L	T					
25. Prepaid College Tuition Plan, State of Alabama (HCC)	D	Distribution	J	W					
26. Prepaid College Tuition Plan, State of Alabama (ADC)		None	J	W					
27. Money Market Account #3, Bank of Tuscaloosa (CHC)	A	Interest	K	T					
28. Money Market Account #4, Bank of Tuscaloosa (HCC)	A	Interest	J	T					
29. Money Market Account #5, Bank of Tuscaloosa (ADC)	A	Interest	J	T					
30. Retirement Systems - State of Alabama	A	Interest	L	T					
31. HCKT (Personal)		None			Sold	03/11/15	K	A	
32. Rental Property No. 4 -Tuscaloosa, AL (CC)	D	Rent	M	W					
33. Checking Account Compass Bank (CHC)		None	J	T					
34. Rental Property No. 5 (C. Creek) - Tuscaloosa, AL	D	Rent	M	W					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Merrill Lynch Retirement Fund (Echols, Coogler & Assoc, P.C)		None			Closed	01/26/15	N		
36. Merrill Lynch Retirement Fund (Echols, Coogler & Assoc, P.C)		None			Closed	02/05/15	K		
37. Checking Account Compass Bank (HCC)		None	J	T					
38. TSS	A	Dividend			Sold	03/11/15	J	A	
39. TSS (HCC)	A	Dividend			Sold	03/11/15	J	A	
40. TSS (ADC)	A	Dividend			Sold	03/11/15	J	A	
41. Rental Property No.6 (Greystone) Northport, AL	D	Rent	M	W					
42. Business Checking Account - Capstone Bank (Mitzi CPA)		None	K	T					
43. Business Savings Account- (Mitzi CPA)	A	Interest	L	T	Open	02/11/15	K		
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.									
53.									
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									

1. Income Gain Codes:
(See Columns B1 and D4)

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3. Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note:

The prepaid tuition plans are state plans that I have no control over. They are valued at their cost.

The incomes listed for the LLC's and the Ltd are K-1 incomes. The values for these assets are stated at my ownership percentage.

The Retirement Account listed on line 30 in Section VII constitutes the funds that are available to me due to my payments into my State of Alabama Retirement. When I resigned from the State of Alabama, those funds became available to me. I have chosen to leave those funds with the State of Alabama Retirement Systems and draw interest on those funds. Before I resigned, the retirement account was an obligation of the State of Alabama Retirement Systems to pay a percentage of my salary once I reached retirement age.

The Merrill Lynch Retirement Fund (Echols, Coogler & Assoc, P.C.) appearing at line 35 and 36 in Section VII was rolled into an IRA(X) on the two dates and in the amounts shown. "Closed" was chosen as the disposition designation since no available description seemed appropriate. The new IRA(X) (Mitzi) is now listed on the attached additional spreadsheet.

The Pioneer Investments Uni-K (Mitzi) listed at line 42 in Section VII of the 2014 Report is now listed along with other similar accounts on the attached additional spreadsheet.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lawrence S. Coogler

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

		Income During Reporting Period		Gross Value at end of reporting period		Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code	Type	Value Code 2	Value Method Code 3	Type	Date	Value Code 2	Gain Code 1	Identity of Buyer/Seller
Description of Asset										
Uni-K (Mitzl)- Managed by Stifel		B	Int/Div	K	T					
Ln #										
1	PIONEER STRATEGICINCOME "FUND CL C SHARES"					Sell	3/19/2015	J	A	
2	JP MORGAN GOVERNMENT "BOND FUND CL C"					Sell	3/19/2015	J	A	
3	BLACKROCK LARGE CAP "GROWTH FUND C"					Buy	3/26/2015	J		
4	PIONEER DISCIPLINED "VALUE FUND CL C"					Buy	3/26/2015	J		
5	OPPENHEIMER INTERNATL "GROWTH FD CL C"					Sell	3/26/2015	J	A	
6	PIONEER PIONEER FD "CLASS C"					Buy	3/26/2015	J		
7	PIONEER STRATEGICINCOME "FUND CL C SHARES"					Buy	6/26/2015	J		
8	JP MORGAN GOVERNMENT "BOND FUND CL C"					Buy	6/26/2015	J		
9	OPPENHEIMER INTERNATL "GROWTH FD CL C"					Sell	6/26/2015	J	A	
10	PIONEER STRATEGICINCOME "FUND CL C SHARES"					Sell	9/28/2015	J	A	
11	JP MORGAN GOVERNMENT "BOND FUND CL C"					Sell	9/28/2015	J	A	
12	IVY MID CAP GROWTH "FUND CL C"					Buy	9/28/2015	J		
13	PIONEER DISCIPLINED "VALUE FUND CL C"					Buy	9/28/2015	J		
14	OAK RIDGE SMALL CAP "GROWTH FUND CLASS C"					Buy	9/28/2015	J		
15	OPPENHEIMER INTERNATL "GROWTH FD CL C"					Buy	9/28/2015	J		
16	PIONEER PIONEER FD "CLASS C"					Buy	9/28/2015	J		
17	PIONEER STRATEGICINCOME "FUND CL C SHARES"					Buy	10/7/2015	J		
18	JP MORGAN GOVERNMENT "BOND FUND CL C"					Buy	10/7/2015	J		
19	BLACKROCK LARGE CAP "GROWTH FUND C"					Buy	10/7/2015	J		
20	IVY MID CAP GROWTH "FUND CL C"					Buy	10/7/2015	J		
21	PIONEER DISCIPLINED "VALUE FUND CL C"					Buy	10/7/2015	J		
22	OAK RIDGE SMALL CAP "GROWTH FUND CLASS C"					Buy	10/7/2015	J		
23	OPPENHEIMER INTERNATL "GROWTH FD CL C"					Buy	10/7/2015	J		
24	PIONEER PIONEER FD "CLASS C"					Buy	10/7/2015	J		
25	FRANKLIN SM CAP VAL FD C					Buy	10/7/2015	J		
26	PIONEER STRATEGICINCOME "FUND CL C SHARES"					Buy	12/28/2015	J		
27	JP MORGAN GOVERNMENT "BOND FUND CL C"					Buy	12/28/2015	J		
28	BLACKROCK LARGE CAP "GROWTH FUND C"					Sell	12/28/2015	J	A	
29	IVY MID CAP GROWTH "FUND CL C"					Buy	12/28/2015	J		
30	PIONEER DISCIPLINED "VALUE FUND CL C"					Sell	12/28/2015	J	A	
31	OAK RIDGE SMALL CAP "GROWTH FUND CLASS C"					Buy	12/28/2015	J		
32	OPPENHEIMER INTERNATL "GROWTH FD CL C"					Sell	12/28/2015	J	A	
33	PIONEER PIONEER FD "CLASS C"					Sell	12/28/2015	J	A	
IRA (X) (Mitzl) Managed by Stifel		D	Int/Div	N	T					
Ln #										
1	Ishares MSCI EAFE					Buy	2/2/2015	K		
2	Ishares IBOXX \$ INVT Grade Corp Bd					Buy	2/2/2015	J		
3	Ishares Tips Bond ETF					Buy	2/2/2015	J		
4	Vanguard Financials ETF					Buy	2/2/2015	K		
5	Vanguard Information Tech ETF					Buy	2/2/2015	K		
6	Vanguard Telecomm Svcs ETF					Buy	2/2/2015	J		
7	Powershares Global Exchange					Buy	2/2/2015	J		
8	Ishares 3-7 yr Treasury Bond ETF					Buy	2/2/2015	K		
9	Ishares MBS ETF					Buy	2/2/2015	K		
10	Vanguard Intermediate Term Bond ETF					Buy	2/2/2015	K		
11	Vanguard Short Term Bond					Buy	2/2/2015	K		
12	SPDR Barclays High Yield Bond ETF					Buy	2/2/2015	J		
13	Powershares Preferred Portfolio					Buy	2/2/2015	J		
14	Market Vectors EMRG Mkts Local Currency Debt ETF					Buy	2/2/2015	J		
15	Emerging Global Shares					Buy	2/2/2015	J		
16	Ishares INC CORE MSCI Emerging Mkts					Buy	2/2/2015	J		
17	Materials Select Sector SPDR Fund					Buy	2/2/2015	J		
18	Health Care Select SPDR					Buy	2/2/2015	K		
19	Sector SPDR Consrms STPL					Buy	2/2/2015	K		
20	Consumer Discretionary SPDR					Buy	2/2/2015	K		
21	Sector SPDR Energy					Buy	2/2/2015	K		
22	Sector SPDR Industrial					Buy	2/2/2015	K		
23	Sector SPDR Utilities					Buy	2/2/2015	K		
24	Ishares MSCI EAFE					Buy	2/11/2015	J		
25	Ishares IBOXX \$ INVT Grade Corp Bd					Buy	2/11/2015	J		
26	Ishares Tips Bond ETF					Buy	2/11/2015	J		
27	Vanguard Financials					Buy	2/11/2015	J		
28	Vanguard Information Tech ETF					Buy	2/11/2015	J		
29	Vanguard Telecomm Svcs ETF					Buy	2/11/2015	J		
30	Powershares Global Exchange					Buy	2/11/2015	J		

31	Ishares 3-7 yr Treasury Bond ETF				Buy	2/11/2015	J	
32	Ishares MBS ETF				Buy	2/11/2015	J	
33	Vanguard Intermediate Term Bond ETF				Buy	2/11/2015	J	
34	Vanguard Short Term Bond				Buy	2/11/2015	J	
35	SPDR Barclays High Yield Bond ETF				Buy	2/11/2015	J	
36	Powershares Preferred Portfolio				Buy	2/11/2015	J	
37	Market Vectors EMRG Mkts Local Currency Debt ETF				Buy	2/11/2015	J	
38	Emerging Global Shares				Buy	2/11/2015	J	
39	Ishares INC CORE MSCI Emerging Mkts				Buy	2/11/2015	J	
40	Materials Select Sector SPDR Fund				Buy	2/11/2015	J	
41	Health Care Select SPDR				Buy	2/11/2015	J	
42	Sector SPDR Consrms STPL				Buy	2/11/2015	J	
43	Consumer Discretionary SPDR				Buy	2/11/2015	J	
44	Sector SPDR Energy				Buy	2/11/2015	J	
45	Sector SPDR Industrial				Buy	2/11/2015	J	
46	Sector SPDR Utilities				Buy	2/11/2015	J	
47	Ishares MSCI EAFE				Buy	3/19/2015	J	
48	Vanguard Financials				Sell	3/19/2015	J	A
49	Vanguard Information Tech ETF				Sell	3/19/2015	J	A
50	Market Vectors EMRG Mkts Local Currency Debt ETF				Buy	3/19/2015	J	A
51	Health Care Select SPDR				Sell	3/19/2015	J	A
52	Consumer Discretionary SPDR				Sell	3/19/2015	J	A
53	Sector SPDR Energy				Buy	3/19/2015	J	
54	Sector SPDR Industrial				Sell	3/19/2015	J	A
55	Sector SPDR Utilities				Buy	3/19/2015	J	
56	Ishares MSCI EAFE				Sell	4/30/2015	J	A
57	Vanguard Information Tech ETF				Sell	4/30/2015	J	A
58	Vanguard Telecomm Svcs ETF				Sell	4/30/2015	J	A
59	Ishares INC Corp MSCI Emerging Mkts				Sell	4/30/2015	J	A
60	Health Care Select SPDR				Sell	4/30/2015	J	A
61	Sector SPDR Consrms STPL				Sell	4/30/2015	J	A
62	Consumer Discretionary SPDR				Sell	4/30/2015	J	A
63	Sector SPDR Energy				Sell	4/30/2015	J	
64	Sector SPDR Utilities				Sell	4/30/2015	J	A
65	Ishares IBOXX \$ INVT Grade Corp Bd				Buy	6/22/2015	J	
66	Ishares Tips Bond ETF				Buy	6/22/2015	J	
67	Powershares Global Exchange				Buy	6/22/2015	J	
68	Ishares 3-7 yr Treasury Bond ETF				Buy	6/22/2015	J	
69	Ishares MBS ETF				Buy	6/22/2015	J	
70	Vanguard Intermediate Term Bond ETF				Buy	6/22/2015	J	
71	Vanguard Short Term Bond				Buy	6/22/2015	J	
72	SPDR Barclays High Yield Bond ETF				Buy	6/22/2015	J	
73	Market Vectors EMRG Mkts Local Currency Debt ETF				Buy	6/22/2015	J	
74	Ishares MSCI EAFE				Buy	8/28/2015	J	
75	Ishares IBOXX \$ INVT Grade Corp Bd				Sell	8/28/2015	J	A
76	Ishares Tips Bond ETF				Sell	8/28/2015	J	A
77	Vanguard Financials ETF				Sell	8/28/2015	J	A
78	Vanguard Information Tech ETF				Buy	8/28/2015	J	
79	Powershares Global Exchange				Sell	8/28/2015	J	A
80	Ishares 3-7 yr Treasury Bond ETF				Sell	8/28/2015	J	A
81	Ishares MBS ETF				Sell	8/28/2015	J	A
82	Vanguard Intermediate Term Bond ETF				Sell	8/28/2015	J	A
83	Vanguard Short Term Bond				Sell	8/28/2015	J	A
84	Emerging Global Shares				Sell	8/28/2015	J	A
85	Ishares INC Corp MSCI Emerging Mkts				Sell	8/28/2015	J	A
86	Materials Select Sector SPDR Fund				Buy	8/28/2015	J	A
87	Sector SPDR Consrms STPL				Sell	8/28/2015	J	A
88	Sector SPDR Energy				Buy	8/28/2015	J	A
89	Sector SPDR Industrial				Buy	8/28/2015	J	
90	Sector SPDR Utilities				Sell	8/28/2015	J	A