

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Covello, Alfred V.	2. Court or Organization U. S. District Court, CT	3. Date of Report 05/08/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2015 to 12/31/2015
	5b. ☐ Amended Report	
7. Chambers or Office Address 450 Main Street Hartford, CT 06103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2016	State of Connecticut Pension	\$120,626.04
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Covello, Alfred V.	05/08/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Covello, Alfred V.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market A Dividend K T 2. Bk of New England CH 7 Ban	A	Dividend	K	T					
2. Bk of New England CH 7 Bankruptcy		None	J	U					
3. UBS IRA MMF	B	Dividend	J	T					
4. UBS Bank Deposit IRA	A	Int./Div.	J	T					
5. Real Estate, Biddeford, ME (1/2 Interest)	C	Rent	M	W					
6. Real Estate, Naples, FL (1/2 Interest)	D	Rent	O	W					
7. Real Estate, Farmington, CT	C	Rent	P1	W					
8. Schwab Brokerage #1									
9. Schwab Money Market	B	Dividend	M	T					
10. MRK	A	Dividend			Sold	07/24/15	K	E	
11. SCHW	A	Dividend	J	T					
12. TRV	A	Dividend	K	T	Sold (part)	12/15/15	K	E	
13. Stamford CT GO 4%	C	Interest	L	T					
14. VTI	A	Dividend	M	T					
15. VHT	A	Dividend	K	T	Sold (part)	07/24/15	K	D	
16. CVY	A	Dividend			Sold	01/21/15	K	C	
17. IJK	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

P = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

T = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Covello, Alfred V.

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IJJ	A	Dividend	K	T					
19. IJT	A	Dividend	K	T					
20. IJS	A	Dividend	K	T					
21. IWB	A	Dividend	K	T					
22. HYG	C	Dividend			Sold	12/16/15	K	B	
23. FXI	A	Dividend	K	T					
24. DBC	A	Dividend			Sold	01/21/15	K	A	
25. PID	B	Dividend	K	T					
26. SCIV	B	Dividend			Sold	07/24/15	K	D	
27. XLY	A	Dividend	K	T					
28. XLB	A	Dividend	K	T					
29. XLK	A	Dividend	L	T					
30. SDY	A	Dividend			Sold	01/21/15	K	E	
31. VWO	A	Dividend	K	T					
32. VNQ	A	Dividend	K	T					
33. Louisiana St Coorec 5%	B	Interest	K	T					
34. Magnolia TX 5% GO	C	Interest	M	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

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35. NY State Dorm 5%	D	Interest	M	T					
36. Mass. State Health 5%	D	Interest	M	T					
37. Tarrant County TX 5.75%	D	Interest	M	T					
38. UCONN GO 5%	B	Interest	K	T					
39. Midland TX 5%	B	Interest	K	T	Buy	03/19/15	K		
40. BWZ	B	Dividend			Sold	01/21/15	K	A	
41. EFAV	A	Dividend	K	T					
42. EFA	A	Dividend			Sold	12/15/15	L	A	
43. SUB	A	Dividend	M	T	Sold (part)	07/24/15	K	A	
44. SCZ	A	Dividend	K	T					
45. VGK	A	Dividend	K	T					
46. SHM	B	Dividend	M	T	Sold (part)	07/24/15	K	A	
47. STSMX	A	Int./Div.			Sold	03/19/15	K	A	
48. DLENX	C	Interest	L	T	Buy	01/21/15	L		
49. PFODX	C	Interest	L	T	Buy	12/16/15	L		
50. SAMHX	D	Interest	L	T	Buy	12/16/15	M		
51. WSMAX	C	Interest	L	T	Buy	01/21/15	L		

1. Income Gain Codes:
(See Columns B1 and D4)

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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. FMIJX	B	Dividend	K	T	Buy	01/21/15	K		
53. MDLZ	A	Dividend	J	T					
54. PCAR	A	Dividend			Sold	03/19/15	K	B	
55. XLE	B	Dividend	J	T	Buy	01/21/15	J		
56. USCI	A	Dividend	K	T	Buy	01/21/15	K		
57. VNQI	A	Dividend	J	T	Buy	07/24/15	J		

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(See Columns B1 and D4)

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3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alfred V. Covello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544