

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Riley, William J.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Roman Hruska U.S. Courthouse 111 South 18th Plaza, #4303 Omaha, NE 68102-1322		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member & Manager	Riley Quest, L.L.C.
2. Adjunct Professor	University of Nebraska, College of Law
3. Delegate to House of Delegates	Nebraska State Bar Association since 1998
4. Treasurer, Nebraska Chapter	American Board of Trial Advocates
5. Counselor/Judicial Member	Robert M. Spire Inns of Court
6. Trustee, Board of Trustees	MidAmerica Council, Boy Scouts of America

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/2011	University of Nebraska-Lincoln, College of Law, Adjunct Faculty, Spring 2012
2. 04/01/75	Profit Sharing and 401(k) Retirement Plan, Fitzgerald, Schorr, Barmettler & Brennan, P.C., L.L.O., former law firm, no control after termination 8/15/2001
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Riley, William J.

Date of Report

05/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spring2011	University of Nebraska, College of Law	\$7,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Vanderbilt Law School	02/04/2011 to 02/05/2011	Nashville, TN	Judge Moot Court Competition	Airfare, hotel, airport parking & meals
2.	AccessData	05/16/2011 to 05/17/2011	Las Vegas, NV	Speaker at Conference	Airfare, hotel & meals
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Riley, William J.	05/11/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Reduced Membership	American Board of Trial Advocates	\$450.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT
Page 4 of 8

Table with 2 columns: Name of Person Reporting, Date of Report. Riley, William J. 05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[] NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include: 1. First National Bank of Omaha Accounts, 2. Mass Mutual Life Insurance - Cash Values, 3. Riley Quest, L.L.C., 4. Marasico Growth Fund, 5. IRA #1, 6. -Janus Growth & Income, 7. -Weitz Value Fund, 8. -Marsico Focus Fund, 9. IRA - #2, 10. -American Century Income & Growth Fund, 11. -Marsico Growth & Income Fund, 12. -American Century Equity Income, 13. IRA - #3, 14. -Janus Fund, 15. -Janus Twenty Fund, 16. RBC Dain Rauscher 403(b) Account, 17. -Evergreen Gro. - A Fund.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: J=\$15,000 or less, K=\$15,001 - \$50,000, L=\$50,001 - \$100,000, M=\$100,001 - \$250,000, P1=\$1,000,001 - \$5,000,000, P2=\$5,000,001 - \$25,000,000, P3=\$25,000,001 - \$50,000,000, P4=More than \$50,000,000

Name of Person Reporting	Date of Report
Riley, William J.	05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Fidelity Adv. Grow & Inc.-T			J						
19. -Fidelity Adv. Divers Intl.-T			J						
20. -Fidelity Adv. MidCap II CL-T			J						
21. -Fidelity Adv. Val Leaders-T			J						
22. -Fidelity Adv. LVGD Co. S&K CL-T			J						
23. First National Bank of Omaha - Profit Sharing & 401(k) FSB&B	D	Div. & Int.	O	T					
24. -Goldman Sachs -U.S. Government Money Market Fund					Distributed (part)	01/01/11	K		
25. -Berkshire Hathaway, Inc. Class A Common									
26. -Marsico Focus Fund									
27. -Marsico Growth Fund									
28. -Oakmark Equity & Income I Fund									
29. -T Rowe Price Equity Income Fund									
30. RBC Account X (inherited securities)	D	Int./Div.	N	T		02/01/11	M		
31. -Money Market X									
32. -Dodge & Cox Income X					Sold	04/08/11	L		
33. -Lord Abbett Affiliated X					Sold	10/31/11	L		
34. -PIMCO Funds X					Sold	04/08/11	J	A	

1. Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000
(See Columns B1 and D4) F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H1 =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000
2. Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000
(See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000
P3 =\$25,000,001 - \$50,000,000 P4 =More than \$50,000,000

FINANCIAL DISCLOSURE REPORT
Page 6 of 8

Table with 2 columns: Name of Person Reporting, Date of Report. Riley, William J. 05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include assets like Thornburg Income Trust, Thornburg Int'l Value, Templeton Foreign, Prime Money Market, Ashton Funds, Davis New York Venture Fund, Public Power Generation Agency Nebraska, Sarpy County Nebraska Recovery Zone, and Omaha Public Power District Nebraska.

1. Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2. Value Codes: F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000, M=\$100,001 - \$250,000, P2=\$5,000,001 - \$25,000,000, P4=More than \$50,000,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Riley, William J.

Date of Report

05/11/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Mother died January 31, 2011, and I inherited almost 100% of her estate. Real estate appraisals as of January 31, 2011.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Riley, William J.

Date of Report

05/11/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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