

Original

10-10
Rev. 1/2013FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wolle, Charles R.	2. Court or Organization U.S. Distric Court SDIA	3. Date of Report 01/01/2013 01/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior <u>status</u>	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 401 U.S. Courthouse 130 East Court Street Des Moines, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

08/25/2014

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University Law & Economics Center	Sept. 27-29 2011	Fairfax, VA	Anti-Trust Law Seminar	transportation, Hotel & meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 10

Name of Person Reporting	Date of Report
Wolle, Charles R.	04/25/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

04/25/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch IRA									
2. -Blackrock Global Fund	A	Dividend	K	T					
3. -Blackrock Govt Inc. Fund	A	Dividend	J	T					
4. -General Growth Properties	A	Dividend	J	T					
5. -Int Gam X Tech (common)	A	Dividend			Sold	8/2/11	J	A	
6. -RAE Systems (common)	A	Dividend			Sold	6/21/11	J	A	
7. -Calvert Art Global Fund	A	Dividend			Sold	12/28/11	J	A	
8.									
9. Merrill Lynch Rollover IRA									
10. -Berkshire Hath B Shares	A	Dividend	L	T	SOLD Part 10/11/13		J	A	
11. BP Amoco PLC ADR					Sold				Sold in 2010
12. -Irish Inv Fund	A	Dividend	J	T					
13. -Progressive Ret Fund	A	Dividend	J	T					
14. -General Elec. Common	A	Dividend	J	T					
15. -Hellenic Tele ADR	A	Dividend	J	T					
16. -Intel Common	A	Dividend	J	T					
17. -Johnson & Johnson Common	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

04/25/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Microsoft Common	A	Dividend	K	T					
19. -Pfizer Common	A	Dividend	J	T					
20. -Walmart Common	A	Dividend	J	T					
21. -Merryl Lynch Bank USA	A	Dividend	J	T					
22. -Fidelity Adv Mut Fund	A	Dividend	J	T					
23. -United Parcel Common	A	Dividend	J	T					
24. -Time Warner Cable	A	Dividend	J	T	SOLD	2/17/12			
25. -Time Warner (common)	A	Dividend	J	T	SOLD	2/17/12			
26. -Travelers Cos Ins	A	Dividend	J	T					
27. -Corning Inc Common	A	Dividend	J	T					
28. -Oracle Corp Common	A	Dividend	J	T					
29. Sun Microsystems Common	A	Dividend	J	T	Merged (with line 28)	12/31/11	J	A	
30. -Wells Fargo (common)	A	Dividend	J	T	Sold	9/30/11	J	A	
31. -Google inc Common	A	Dividend	J	T					
32. -Accenture Ltd CLA	A	Dividend	J	T					
33. -Franklin Natural Resources	A	Dividend	J	T					
34. -Petro Bras VTG ADR	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

04/26/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction,
35. -Cisco Syst Common	A	Dividend	J	T					
36. -Walgreen Co Common	A	Dividend	J	T	SOLD PART	10/11/13	J	A	
37.									
38. Vanguard Cust IRA rollover									
39. -Vanguard 500 Index Fund	A	Dividend	K	T					
40. -Vanguard Emerging Mkts	A	Dividend	L	T					
41. -Vanguard European Fund	A	Dividend	K	T					
42. -Vanguard Pacific Stock Index	A	Dividend	K	T					
43.									
44. Edward Jones Account									
45. Federal Home Loan Mtg Corp	A	Dividend	L	T					
46. Berkshire Hath B shares	A	Dividend	K	T					
47. Cisco Sys Common	A	Dividend	J	T					
48. CVS Corp	A	Dividend	J	T					
49. Exxon Mobile	A	Dividend	J	T					
50. General Mills Inc	A	Dividend	J	T					
51. Ishares Dow Trans Avg Index	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

01/26/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Proctor & Gamble Co Common	A	Dividend	J	T					
53. Proctor & Gamble Co Common	A	Dividend	J	T					
54.									
55. Merrill Lynch IRA II									
56. -American Income Fund of America	A	Dividend	K	T					
57. -Coca Cola Common	A	Dividend	J	T					
58. -McDonalds Common	A	Dividend	J	T					
59.									
60. Merrill Lynch IRA I									
61. -iShares FTSE HK China 25 Index Fund	A	Dividend	J	T					
62. -Paychex Inc	A	Dividend	J	T					
63. -United Tech Corp Common	A	Dividend	J	T					
64. QUANTUM FUEL Sys. Technology	A	Dividend	J	T	BUY	12/5/13	J	A	
65. Fidelity Investments Acct									
66. Berkshire Hathaway Common	A	Dividend	J	T					
67. Intl Game Tech Common	A	Dividend	J	T					
68. Apple CORP.	A	Dividend	J	T	BUY	11/4/13	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

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M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

04/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
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P3 = \$25,000,001 - \$50,000,000

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3. Value Method Codes
(See Column C2)

Q = Appraisal
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

06/25/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

I submit, in hand written changes To 2013 report for 2012, because my laptop computer does not receive or send the form here used. Last year an employee of Administrative Office for US Courts assisted creating report on line.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Wolle, Charles R.

Date of Report

04/25/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Dtd April 25, 2014.

Signature: s/ Charles R. Wolle

SR IS DISTRICT JUDGE, SDIA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544