

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Goeke, Joseph R.	2. Court or Organization United States Tax Court	3. Date of Report 04/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge (Active Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 400 Second Street, N.W. Room 410 Washington, D.C. 20217		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

04/13/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Goeke, Joseph R.	04/13/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Goeke, Joseph R.

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04/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FNMA	A	Interest			Sold	08/26/16	K	A	
2. St. Mary's County Bonds (2032)	A	Interest	L	T	Buy	08/17/16	L		
3. Fico Bonds	A	Interest			Sold	08/26/16	K	A	
4. Capital One Accounts	A	Interest	K	T					
5. Citibank Accounts	A	Interest	K	T					
6. Proctor & Gamble - common stock	E	Dividend	N	T					
7. Nestle SA Shares	D	Dividend	K	T	Buy (add'l)	08/16/16	K		
8. Franklin Fed Tax-Free Income Fund	E	Interest	N	T					
9. Royal Dutch Shell PLC	A	Dividend	J	T					
10. NYC General Purpose Bonds	A	Interest	K	T					
11. Oregon School Bonds	A	Interest	M	T					
12. Euro Pac Growth Fund	E	Dividend	K	T					
13. AT&T Inc. - senior notes	A	Interest	K	T					
14. FICO - Bonds	B	Interest	L	T					
15. Resolution FDG Corp. - Fed Bonds	A	Interest	J	T					
16. U.S. Treasury - Bonds	B	Interest	K	T					
17. Metlife -Bonds	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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18. Wells Fargo CD	B	Interest	K	T					
19. Smuckers stock	A	Dividend	J	T					
20. Barclay Bank PLC - Bonds	A	Interest	K	T					
21. Fed Natl Mtge Assn - Bonds	A	Interest	K	T					
22. Cohen & Stearns Intl - Bonds	A	Interest	J	T					
23. Darby Bank - CD	A	Interest	K	T					
24. Goldman Sachs - CD	A	Interest			Redeemed	02/01/16	K		no gain or loss
25. Great Hall - Investment Funds	A	Interest	K	T					
26. Harris PFD Capital Corp. - Pref stks	B	Interest	K	T					
27. Cit Bank Salt Lake Utah CD	C	Interest			Sold	11/29/16	M	B	
28. Prudential PLC - Bonds	B	Interest	K	T					
29. Southwestern Bank	A	Interest	K	T					
30. Bank of America - Bonds	A	Interest	M	T					
31. Salisbury MD GO Bonds (2029)	A	Interest	K	T	Buy	08/12/16	K		
32. Montgomery County MD bonds (2034)	A	Interest	K	T	Buy	08/17/16	K		
33. Transportation Alliance - CD	B	Interest	L	T					
34. Growth Fund of America	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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35. Pioneer Cullen - Value Fund	A	Dividend	J	T					
36. Montgomery County Bonds (2029)	A	Interest	K	T	Buy	08/12/16	K		
37. JNL Elite Annuity	A	Interest	L	T					
38. Eagle Mid - Capital Fund	A	Dividend	J	T					
39. Vanguard Mega	A	Dividend	J	T					
40. Pioneer Cullen - Value Fund C	A	Dividend	K	T					
41. Chain Bridge Bank - Stock	A	Dividend	K	T					
42. National Grid PLC	A	Dividend	J	T					
43. Citigroup - Shares	A	Dividend	K	T					
44. BB&T - Account	A	Interest	J	T					
45. Palm Beach County Fla Education Bonds 2010A	A	Interest	L	T					
46. USB Capital - Bonds	A	Interest	J	T					
47. CMA - Tax Exempt Fund	A	Interest	J	T					
48. Calamos - Und	A	Dividend	J	T					
49. Treasury - Level Plus Fund	B	Interest	M	T					
50. Merrill Lynch - Bank Deposit Prog.	A	Interest	J	T					
51. Montgomery County MD Bonds (2033)	A	Interest	K	T	Buy	08/12/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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52. Berkshire Hathaway B shares		None	L	T	Buy	09/21/16	L		
53. Fidelity - Income Fund	A	Interest	K	T					
54. Merrill Lynch - CMA Fund	A	Interest	J	T					
55. Jefferson Pilot - Ins. Policies (successor LIBERTY MUTUAL)	B	Dividend	L	T					
56. Berkshire - Life Ins. Policy	D	Dividend	L	T					
57. ABN AMRO - Cap Fund TR VII	A	Interest	J	T					
58. HSBC Bank C/D	A	Interest	L	T	Buy	12/22/16	L		
59. Lehman Bros - Bonds	B	Interest	L	T					
60. Evergreen - Wells Fargo Asset Allocation Fund	A	Dividend	J	T					
61. Oregon School Bonds	C	Interest	M	T					
62. Fed. Natl Mtge Assn - Bonds	A	Interest			Sold	06/27/16	M		no gain or loss
63. Citigroup - Capital Bonds	A	Interest	J	T					
64. BAC - Capital Trust Bonds	A	Interest	J	T					
65. General Mills Stock	A	Dividend	K	T					
66. Columbia - Small Cap Value Fund	A	Dividend	J	T					
67. Sentinel - Small Co. Fund	A	Dividend	J	T					
68. Metlife - Insurance	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

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69. GNMA - Bonds	A	Interest	J	T					
70. Discover - Bonds - CD	A	Interest	L	T					
71. Washington State Economic Development Bonds	A	Interest	L	T					
72. Black Rock Global	A	Dividend	J	T					
73. Putnam Capital	A	Dividend			Sold	05/06/16	K	A	
74. ML Bank USA	A	Interest	J	T					
75. Bank Of Nova Scotia	B	Dividend	L	T					
76. PIMCO - All Asset Fund	A	Dividend	J	T					
77. Deutsche Unconstrained	A	Dividend	K	T					
78. Aegon NV Bonds	A	Interest	K	T					
79. McDonalds Corp.	A	Dividend	L	T					
80. ING Group	A	Interest	K	T					
81. Eaton Vance Risk Managed Fund	A	Dividend	J	T					
82. JP Morgan Chase - CD	C	Interest	L	T					
83. Power Shares Bank Amer. - Bonds	A	Interest	K	T					
84. Coty	A	Dividend	J	T	Spinoff (from line 6)	2/28/16	J		
85. UIT First Trust High Dividend	A	Dividend	L	T	Buy	12/22/16	L		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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86. [REDACTED] Chevy Chase, MD (\$1.65 million) acq 6/4/2003	D	Rent			Sold	08/01/16	P1		G. Srinivasan (loss)
87. Ivy Large Cap Growth	A	Dividend	K	T					
88. Conoco Phillips	A	Dividend	J	T					
89. exelon corp	A	Dividend	K	T					
90. intel	A	Dividend	K	T					
91. Chevron	A	Dividend	J	T					
92. uit trust	B	Dividend			Redeemed	12/23/16	K		no gain or loss
93. Capital One Bank C/D	A	Interest	L	T	Buy	08/16/16	L		
94. FIA	A	Dividend	J	T					
95. Putnam Capital Spectrum	A	Dividend			Sold	04/11/16	J		loss
96. Ocean City MD GO Bonds	A	Interest	L	T	Buy	08/17/16	L		
97. Anne Arundel County General Purpose Bonds G/O 2010	B	Interest	K	T					
98. Palm Beach County Qualified School Bonds	C	Interest	L	T					
99. Goldman Sachs Bank CD	A	Interest	L	T					
100. UIT Advisors Fund	A	Int./Div.	J	T					
101. Metropolitan Transportation Bonds	B	Interest	K	T					
102. MTA Mass rapid transit bonds	B	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103. Lansing Michigan Capital Improvement Bonds Eaton County	A	Interest	K	T					
104. Fort Lauderdale Special Obligation Bonds	B	Interest	K	T					
105. Anne Arundel co. general purpose bonds	B	Interest	K	T					
106. New orleans general purpose bonds	B	Interest	K	T					
107. Shrewsbury mass land acquisition bonds	A	Interest	K	T					
108. Goldman Sachs C/D	A	Interest	K	T					
109. Fort Lauderdale Pension bonds	B	Interest	K	T					
110. Synchrony Bank C/D	A	Interest	K	T					
111. UPS stock	A	Dividend	K	T	Buy	08/17/16	K		
112. Honolulu Bonds (2035)	A	Interest	J	T	Buy	05/16/16	J		
113. Vanguard Total STK MKT ETF	A	Dividend	K	T	Buy	01/06/16	K		
114. Bank of Nova Scotia Stock	A	Dividend	K	T					
115. New Jersey Economic Development Authority Bonds	A	Interest	M	T	Buy (add'l)	06/22/16	L		
116. Vanguard Health Care ETF	A	Dividend	J	T	Buy	08/15/16	J		
117. Vanguard Dividend Appreciation ETF	A	Dividend	K	T	Buy	08/15/16	K		
118. Vanguard midcap	A	Dividend	K	T	Buy	08/15/16	K		
119. Bank of America Account	A	Interest	J	T	Buy	08/15/16	J		

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120. Putnam Capital Spectrum Fund	A	Dividend	J	T	Buy	08/15/16	J		
121. Janus Flexible Bond Fund	A	Interest	J	T	Buy	08/15/16	J		
122. Deutsche Managed Municipal Bond Fund	A	Interest	K	T	Buy	08/15/16	K		
123. Lord Abbett Bond Fund	A	Interest	J	T	Buy	08/15/16	J		
124. Lincoln National Life Insurance	A	Dividend	K	T	Spinoff (from line 55)	01/21/16	K		
125. Milwaukee Redev Bonds (2035)	A	Interest	M	T	Buy	11/23/16	M		
126. Wells Fargo Dep Shares 5.7%	B	Dividend	K	T	Buy	01/19/16	K		
127. Fresno (2025) Bonds	A	Interest	L	T	Buy	08/30/16	L		
128.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

04/13/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Goeke, Joseph R.

Date of Report

04/13/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph R. Goeke**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544