

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Lipp, Wendelin I.	2. Court or Organization Maryland- Bankruptcy Court	3. Date of Report 4/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 6500 Cherrywood Lane Third Floor Greenbelt, Maryland 20770		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Walter Chandler American Bankruptcy Inn of Court
2. TRUSTEE FOR FAMILY MEMBER'S TRUST	N/A
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	████████ salary
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Bankruptcy Bar Association for the District of Maryland	5/13-5/14/16	Annapolis, Maryland	Bankruptcy seminar speaker	Food and 1 night hotel stay.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property Montgomery County, MD (\$158,000)	D	Rent	M	S					
2. GE-common	D	Dividend	M	T					
3. United Tech-common	D	Dividend	N	T					
4. [REDACTED]		None	L	U					
5. [REDACTED]		None	J	U					
6. 401K									
7. SWMXX	A	Dividend	N	T					
8. EUEYX	A	Dividend	J	T					
9. JENSX	B	Dividend	K	T					
10. BJBIX	A	Dividend	J	T					
11. Capital One Bank-Various Accounts	A	Interest	M	T					
12. RBC Bank Deposit Program /cash	A	Interest	K	T					
13. Acacia Whole Life Insuranc policy	A	Dividend	K	T					
14. Acacia Whole Life Insurance policy	A	Dividend	K	T					
15. AIG Sun America whole life insurance policy	A	Dividend	J	T					
16. IRA#1									
17. SUIAX	A	Dividend			Sold	11/11/16	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. PONAX	C	Dividend	L	T	Buy (add'l)	11/14/16	K		
19. RAGTX		None	J	T					
20. SGENX		None	K	T					
21. KLCAX		None	K	T					
22. AZNAX	B	Dividend	K	T					
23. ITHAX	A	Dividend	K	T					
24. BME	A	Dividend	K	T					
25. TGTRX	A	Dividend	K	T					
26. GLOBAL X FDX (SDIV)	C	Dividend	K	T					
27. UIT FT DIV INC PLUS PORT 20	A	Dividend	K	T					
28. UIT FT HIGH DIV EQUITY PORT SERIES 18	C	Dividend			Sold	03/30/16	L	A	
29. UIT FT SER 16 DIV INC PLUS PORT	A	Dividend			Sold	11/14/16	K		
30. UIT FT SMID HIGH DIV PORT SERIES 12		None			Redeemed	01/28/16	K		
31. UIT GUGG DEF PORT SER 7 ZACKS IAS		None			Sold	02/10/16	J		
32. WISDOMTREE TRUST MIDCAP DIV FD ETF (DON)	A	Dividend	K	T					
33. WISDOMTREE TRUST SMALLCAP FUND ETF	A	Dividend	K	T					
34. UIT FTEP SER 2016	A	Dividend	K	T	Buy	11/14/16	K		

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35. UIT FT SER 21	A	Dividend	K	T	Buy	02/10/16	K		
36. UIT HIGH DIV EQUITY PORT SER 26	C	Dividend	L	T	Buy	03/30/16	L		
37. IRA#2:									
38. Oppenheimer Value Fund (CGRWX)	A	Dividend	J	T					
39. Growth Fund of America MF	A	Dividend	J	T					
40. Washington Mutual Investors Fund MT	A	Dividend	J	T					
41. QQQ 100 Trust/Powershares	A	Dividend	K	T					
42. UIT FT DIV INC PLUS PORT SER. 20	A	Dividend	K	T					
43. UIT FT SMID HIGH DIV PORT SER 20	A	Dividend	K	T	Buy	01/26/16	J		
44. Blackrock US Opportunities Portfolio Class A (BMEAX)	A	Dividend	J	T					
45. Allianz RCM Global Technology-MF (RAGTX)		None	K	T					
46. Goldman Sachs Mid Value Fund-MF	A	Dividend	K	T					
47. Prudential Jennison 20/20 Focus Fund-MF		None	L	T					
48. Oppenheimer Value Fund Class A	A	Dividend	K	T					
49. Oppenheimrer Amt-Free Municipals FD CL A	B	Interest			Sold	09/14/16	K		
50. The Growth Fund of America-MF	D	Dividend	L	T					
51. New Perspective Fund A	C	Dividend	L	T					

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52. Fundamental Investors A	C	Dividend	L	T					
53. UIT FTP SMID High Div. Port Series 12 (FORMERLY 5)		None			Redeemed	01/28/16	K		
54. UIT FT SERIES 29 CAP STRENGTH PORT SEMI-ANNUAL	A	Dividend	K	T					
55. EEP	A	Int./Div.			Sold	09/14/16	J	B	
56. STXAX	C	Int./Div.			Sold (part)	08/12/16	K	C	
57.					Sold	09/14/16	L	C	
58. MFC	A	Int./Div.			Sold	09/14/16	J		
59. JTD	A	Dividend	J	T					
60. ONEOK Partners LP	B	Int./Div.	J	T					
61. UIT GUGG DEF PORT SERIES 2 MLP & ENERGY	A	Dividend			Sold	12/22/16	J		
62. XLK	A	Dividend	J	T					
63. FIRST TRUST ETF NYS ARCA BIOTECH INDEX FUND (FBT)		None	K	T					
64. AB HIGH INC. MUNIC. PORT CLA (ABTHX)	C	Dividend	M	T	Buy	08/12/16	M		
65.					Buy (add'l)	09/14/16	L		
66. SPH	A	Interest	J	T					
67. DVY	A	Dividend	K	T					
68. SDIV	B	Int./Div.			Sold	09/14/16	K		

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69. CLAYMORE EXCH. TRADED FD TR 2 GUGG SOLAR ETF (TAN)	A	Dividend			Sold	08/12/16	J		
70. MFS SER TRX INTL VALUE FD (MGIAX)	A	Dividend	K	T					
71. IVY FDS INC (WSTAX)		None	K	T					
72. FRANKLIN TEMP. FUNDS MD T/F INC. FUND CLA (FMDTX)	B	Dividend	K	T	Buy	09/14/16	K		
73. UIT FT HIGH DIV EQUITY PORT SER 18	B	Dividend			Sold	03/30/16	K	A	
74. UIT FT HIGH DIV EQUITY PORT SER 26	B	Dividend	K	T	Buy	03/30/16	J		
75. UIT FT DIV STRENGTH PORT SER 29	A	Dividend	K	T					
76. UIT FT SMID HIGH DIV PORT SER 12		None			Redeemed	01/28/16	K		
77. UIT FTP DIV INC PLUS PORT SER 21	A	Dividend	K	T	Buy	02/18/16	K		
78. UIT FTP DIV INC PLUS PORT SER 20	B	Dividend	L	T					
79. UIT FT SMID SER 22 CAP STR PORT	A	Dividend			Sold	08/18/16	J	A	
80. UIT FT SMID SER 30 CAP STR PORT	A	Dividend	K	T	Buy	08/18/16	J		
81. UIT GUGG DEF PORT SER 7 ZACKS INC ADV	B	Dividend			Redeemed	02/19/16	K		
82. UIT GUGG DEF PORT SER 9 ZACKS INC ADV	A	Dividend			Sold	08/18/16	K		
83. UIT FT SABRIENT BAKER DOZ PORT 2015 SER MONTHLY	A	Dividend			Redeemed	02/18/16	K		
84. UIT FT US ENER, INDEP SER 7	A	Dividend	K	T					
85. UIT GUGG DEF PORT SER 20 DJ VALUE DIV FOCUS	A	Dividend			Sold	06/02/16	K	A	

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86. UIT GUGG DEF PORT SER 16 GUGG US SMID HIGH DIV	B	Dividend			Sold	07/14/16	J		
87. UIT GUGG DEF PORT MLP & ENERGY FDS PORT SER 8	A	Dividend			Sold	12/22/16	J		
88. UIT FT CAP STRENGTH PORT SER 29	A	Dividend	K	T					
89. WISDOMTREE TR EUROPE HEDGED EQUITY FUND (HEDJ)	A	Dividend			Sold	09/14/16	J		
90. UIT FT ELECTION PORT SER 2016	A	Dividend	J	T	Buy	12/22/16	J		
91. UIT FT SMID HIGH DIV PORT SER 20	A	Dividend	K	T	Buy	01/26/16	K		
92. UIT FT SMID HIGH DIV PORT SER 22	A	Dividend	K	T	Buy	07/15/16	K		
93. UIT GUGG DEF PORT BLUE CHIP SER 9	A	Dividend	K	T	Buy	02/18/16	K		
94. UIT GUGG DEF PORT SER 11 US CAP STR	A	Dividend	K	T	Buy	08/18/16	K		
95. UIT GUGG DEF PORT SER 23 DIVERS. DIV & INC. PORT	A	Dividend	K	T	Buy	06/02/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts:

No.1: The tax assessment is \$158,000.

I merged all RBC Bank Deposit Program/cash into one item.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Wendelin I. Lipp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544