

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BROWN, JR., GARRETT E.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 2/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/2011 to 1/26/2012
7. Chambers or Office Address CLARKSON S. FISHER COURTHOUSE 402 E. STATE STREET TRENTON, NEW JERSEY 08609	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	ADJUNCT PROFESSOR	SETON HALL UNIVERSITY SCHOOL OF LAW
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/27/2012	GARRETT E. BROWN, JR. AND JAMS ARBITRATION AND MEDIATION SERVICES, TO PERFORM ARBITRATION, MEDIATION AND NEUTRAL CASE EVALUATION AS AN INDEPENDENT CONTRACTOR
2.	AFTER RETIREMENT,
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

BROWN, JR., GARRETT E.

Date of Report

2/15/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	SETON HALL UNIVERSITY SCHOOL OF LAW	\$26,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	WOOLEY LAMB, INC.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	N.Y. INTELLECTUAL PROPERTY LAW ASSOCIATION	3/25-26, 2011	N.Y., N.Y.	MEETING	TRAVEL, FOOD AND LODGING
2.	AMERICAN CONFERENCE INSTITUTE	5/3/2011	N.Y., N.Y.	PRESENTER/PANELIST	TRAVEL, FOOD
3.	N.J. STATE BAR ASSOCIATION	5/18-5/20, 2011	ATLANTIC CITY, N.J.	PRESENTER/PANELIST	TRAVEL, FOOD AND LODGING
4.	BERKELEY CENTER FOR LAW & TECHNOLOGY	6/5-8, 2011	BERKELEY, CA.	PRESENTER/PANELIST	TRAVEL, FOOD AND LODGING
5.	ALI-ABA	10/7-8, 2011	N.Y., N.Y.	PRESENTER/PANELIST	TRAVEL, FOOD AND LODGING

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

BROWN, JR., GARRETT E.

Date of Report

2/15/2012

6.	ALI-ABA	10/12-14, 2011	NY., N.Y.	PRESENTER/PANELIST	TRAVEL, FOOD AND LODGING
7.	SETON HALL SCHOOL OF LAW	12/18-31, 2011	DAR ES SALAAM AND ZANZIBAR, TANZANIA	ADJUNCT PROFESSOR	TRAVEL, FOOD AND LODGING
8.	UNIVERSITY OF TEXAS CLE	1/19-20, 2012	ALEXANDRIA, VA.	PRESENTER/PANELIST	TRAVEL, FOOD AND LODGING

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

BROWN, JR., GARRETT E.

Date of Report

2/15/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

BROWN, JR., GARRETT E.

Date of Report

2/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank Acct., PNC Bank, Moorestown, N.J.	A	Int./Div.	J	T					
2. Prudential Insurance - Whole Life Policy		None	K	T					
3. Wells Fargo Bank NA	A	Interest	J	T					
4. Bank Acct. TD Bank	A	Interest	J	T					
5. First Trust Diversified Inc. & Growth	A	Dividend	K	T					
6. First Trust High Income	A	Dividend	K	T					
7. Wells Fargo Moderate Balance Fund	A	Dividend	K	T					
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT
Page 6 of 7

Name of Person Reporting BROWN, JR., GARRETT E.	Date of Report 2/15/2012
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

BROWN, JR., GARRETT E.

Date of Report

2/15/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY VIOLATES THESE PROVISIONS IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

SUBJECT TO CIVIL

Committee on Financial Disclosure
Administrative Office of the United States Courts
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