

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle Initial) Sedwick, John W.	2. Court or Organization District of Alaska	3. Date of Report 03/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 222 W. 7th Ave. #32 Anchorage, AK 99513	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Sedwick, John W.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Alaska Permanent Fund Dividend	\$1,174.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Alaska Permanent Fund Dividend
2. 2011	State of Alaska Pension
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1st Nat'l Bank Alaska Stock	A	Dividend	K	T					
2. 1st Nat'l Bank Alaska Accounts	A	Interest	L	T					
3. Northrim Bank Accounts	A	Interest	K	T					
4. Credit Union 1 Accounts	B	Int./Div.	M	T					
5. Alaska USA FCU Accounts	A	Int./Div.			Closed	02/23/11			
6. City of Akhiok Bond	A	Interest	J	T					
7. Lincoln Nat'l Life Ins. Co. Policies	D	Interest	M	T					
8. [REDACTED] IRA	D	Int./Div.	N	T					
9. [REDACTED] IRA: American Funds Euro Pacific					Buy (add'l)	05/11/11	J		
10. [REDACTED] IRA: American Funds Investment Co. of America					Sold (part)	05/11/11	J	C	
11. [REDACTED] IRA: American Funds Growth Fund of America									
12. [REDACTED] IRA: American Funds Capital Income Builder									
13. [REDACTED] IRA: American Funds Capital World G&I Fund									
14. [REDACTED] IRA: Pimco Unconstrained Bond Fund									
15. [REDACTED] IRA: Pimco All Assets									
16. Franklin Templeton Global Bond Fund					Buy	11/17/11	L		
17. IRA #1	E	Int./Div.	P1	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. IRA #1: Allianz NFJ Dividend Value Fund					Sold (part)	05/11/11	J	A	
19. IRA #1: American Funds Capital Income Builder Fund					Sold (part)	08/03/11	J	A	
20. IRA #1: American Funds Capital World G & I Fund					Buy (add'l)	05/11/11	J		
21. IRA #1: American Funds Growth Fund of America					Sold (part)	05/11/11	K	D	
22. IRA #1: Calamos Growth Fund					Buy (add'l)	05/11/11	J		
23. IRA #1: Davis New York Venture					Sold (part)	05/11/11	J	A	
24. IRA # 1: Franklin Templeton Global Bond Fund					Buy	09/12/11	M		
25. IRA # 1: Loomis Sayles Strategic Income Fund					Sold (part)	08/03/11	J	A	
26. IRA # 1: Pimco All Assets Fund					Buy (add'l)	05/11/11	J		
27. IRA # 1: Pimco All Assets Fund					Sold (part)	08/03/11	J	A	
28. IRA # 1: Pimco Global Multi-Asset Fund					Buy (add'l)	05/11/11	J		
29. IRA # 1: Pimco Global Multi Asset Fund					Sold (part)	08/03/11	J	A	
30. IRA # 1: Pimco Total Return Fund					Sold (part)	08/03/11	J	A	
31. IRA # 1: Pimco Total Return Fund					Sold	09/09/11	M	C	
32. IRA # 1: Pimco Unconstrained Bond Fund					Sold (part)	05/11/11	J	A	
33. IR A# 1:Pimco Unconstrained Bond Fund					Sold (part)	08/03/11	J	A	
34. IRA #1: Pimco Unconstrained Bond Fund					Sold	09/09/11	K	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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E = \$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. IRA # 1: RS Partners Fund					Sold (part)	05/11/11	J	A	
36. IRA # 1: RS Emerging Markets Fund					Sold (part)	05/11/11	J	A	
37. IRA # 1: RS Emerging Markets Fund					Sold (part)	08/03/11	J	A	
38. IRA # 1: Thornburg Int'l Value Fund					Buy (add'l)	05/11/11	K		
39. IRA #1: United States Government Securities									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII: IRA# 1 made an initial purchase of Pimco All Assets Fund on 08/27/10 with value code of K. This transaction was inadvertently omitted from the report for 2010.
2. Part VII: IRA#1 made an initial purchase of Pimco Global Multi-Asset Fund on 8/27/10 with a value code of K. This transaction was inadvertently omitted from the report for 2010.
3. Part VII: Regarding both IRA accounts: As in years past, reinvestments of income into the same fund are not listed as transactions and the total value of each IRA is listed in lieu of separately listing the value of each mutual fund held within each IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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