

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Foote, Elizabeth E.	2. Court or Organization U.S. District Court Western District of Louisiana	3. Date of Report 05/05/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 300 Fannin Street Shreveport, LA 71101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	[REDACTED] office building partnership
2. Board of Trustees Member	[REDACTED]
3. Power of Attorney	POA #1
4. Board Member	Federal Judges Association
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/01/10	Termination of Partnership Agreement-[REDACTED]
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	The Smith Foote Law Firm, pursuit to termination agreement	\$1,001,006.52
2. 12/10/2015	Hypatia (contribution to United Way made of behalf of Judge Foote)	\$50.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Louisiana State Employees Retirement System- retired judge
2. 2015	The Smith Foote Law Firm, share of partnership profits
3. 2015	Smith Segura & Raphael (formerly Smith Foote LLP), share of partnership profits
4. 2015	W Ross Foote, LLC

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	credit card	J
2. US Treasury & LA Dept of Revenue	income tax liability- ESTIMATED	P1
3. Mastercard	credit card	J
4. Discover Card	credit card	J
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo- Roth IRA (H)									
2. ----- Templeton World Fund	B	Int./Div.	L	T					
3. ----- Washington Mutual Investment Fund	A	Int./Div.	J	T					
4. [REDACTED] Bank Checking	A	Interest	M	T					
5. [REDACTED] Bank Checking #2	A	Interest	J	T					
6. Capital One Savings #1	C	Interest	P1	T					
7. American Funds 529 Plan Account	A	Dividend	M	T					
8. -----American Funds Money Market									
9. -----Cash Management Trust of America									
10. -----American Balanced Fund									
11. Wells Fargo Roth IRA (H)									
12. -----John Hancock Regional Bank	A	Int./Div.	J	T					
13. -----Templeton World Fund	B	Int./Div.	L	T					
14. -----Washington Mutual Invs Fund	A	Int./Div.	K	T					
15. Chase Investment (H)									
16. -----JP Morgan US Treas Plus MMKT	A	Dividend	K	T	Buy (add'l)	12/14/15	J		
17.					Buy (add'l)	12/22/15	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -----JPMorgan Chase & Co Common	B	Dividend	L	T					
19. -----AllianceBer Global Thematic Grth		None	J	T					
20. -----JPMorgan Intrepid Mid Cap	A	Dividend	J	T					
21. -----JPMorgan Large Cap Grth		None	K	T					
22. Capital One Savings #2	C	Interest	P1	T					
23. Fidelity & Guar Life Ins		None	N	T					
24. Chase Investment-Invesco Global Small & Mid	A	Dividend	J	T					
25. Yuma Exploration-Royalty Interest- [REDACTED], Louisiana	C	Royalty	K	W					
26. [REDACTED] Acres timber land- [REDACTED] Louisiana		None	N	W					
27. 1/2 interest [REDACTED] acres land- [REDACTED] Louisiana		None	J	W					
28. 1/2 interest [REDACTED] acres- [REDACTED], Louisiana		None	J	W					
29. 1/6 interest [REDACTED] Company		None	K	W	Sold (part)	07/16/15	L	E	
30. 1/3 interest [REDACTED] Company (power of attorney)		None	K	W	Sold (part)	07/16/15	L	E	
31. Cash Surrender Value- Metlife		None	K	T					
32. [REDACTED] Bank- Checking #3	A	Interest	J	T					
33. Vanguard-Prime Money Mkt Fund	A	Int./Div.	J	T					
34. John Hancock-Law Firm 401K, Lifestyle Balanced Fund		None	N	T					

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(See Columns B1 and D4)

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G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	Wells Fargo- Roth IRA line 1: Invesco Amer. Franchise		None	L	T					
36.	American Funds, Uniform Gift to Minor-Capital World Growth	A	Int./Div.	J	T					
37.	American Funds, Uniform Gift to Minor-Wash Mutual Invs	A	Int./Div.	J	T					
38.	Bank- Checking #4	B	Int./Div.	N	T					
39.	FTJ Fundchoice IRA - iShares Goldman Sachs Software Index	A	Dividend	K	T	Sold (part)	12/29/15	J	A	
40.	FTJ Fundchoice IRA-SPDR Technology Select Sector	A	Dividend	L	T	Buy (add'l)	04/08/15	J		
41.						Sold (part)	04/16/15	J	A	
42.						Sold (part)	12/29/15	J	A	
43.	FTJ Fundchoice IRA-iShares Dow Jones US Broker Dealers	A	Dividend	K	T	Buy (add'l)	12/29/15	J		
44.	FTJ Fundchoice IRA-SPDR Financial Select Sector	B	Dividend	L	T	Sold (part)	04/16/15	J	A	
45.						Sold (part)	12/29/15	J	A	
46.	FTJ Fundchoice IRA-SPDR Health Care Select Sector	A	Dividend	L	T	Sold (part)	04/08/15	J	A	
47.						Sold (part)	04/16/15	J	A	
48.	FTJ Fundchoice IRA-SPDR Industrial Select Sector		None			Sold	01/08/15	K	D	
49.	FTJ Fundchoice IRA-WisdomTree Japan Hedged Equity	A	Dividend	K	T	Sold (part)	04/08/15	J	A	
50.						Buy (add'l)	12/29/15	J		
51.	FTJ Fundchoice IRA-TD Bank USA FDIC Insured Deposit	D	Int./Div.	K	T					

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52. Cash Surrender Value-Aviva Life and Annuity Co.		None	N	T					
53. Vanguard Acct. #1 (H)									
54. ----- Vanguard Mid-Cap Growth	B	Dividend	N	T					
55. ----- Vanguard Small-Cap Growth	B	Dividend	M	T					
56. ----- Vanguard Total Stock	E	Dividend	P1	T					
57. Vanguard SEP IRA (Y)									
58. Capital One	A	Interest			Closed	12/31/15	M		
59. Vanguard SEP IRA: Vanguard REIT Index	B	Int./Div.	M	T	Buy (add'l)	07/21/15	J		
60. NR Scandic Springs	C	Int./Div.	L	T					
61. FTJ Fundcoice IRA- SPDR Consumer Discretionary	A	Dividend	K	T	Buy	01/08/15	K		
62.					Sold (part)	04/08/15	J	A	
63.					Sold (part)	12/29/15	J	A	
64. FTJ Fundchoice IRA - SPDR Energy	A	Dividend			Buy	04/08/15	J		
65.					Buy (add'l)	04/16/15	J		
66.					Sold (part)	07/10/15	J	A	
67.					Buy (add'l)	08/19/15	K		
68.					Buy (add'l)	10/22/15	J		

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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69.					Sold	12/29/15	K	A	
70. FTJ Fundchoice IRA - Vanguard Energy Index		None	K	T	Buy	07/10/15	K		
71.					Sold (part)	08/19/15	J	A	
72.					Buy (add'l)	12/29/15	K		
73. FTJ Fundchoice IRA - SPDR Consumer Staples	A	Dividend			Buy	01/08/15	J		
74.					Sold	04/08/15	J	A	
75. FTJ Fundchoice IRA - Ishares Russell 2000		None			Buy	04/08/15	K		
76.					Sold	05/26/15	K	A	
77. FTJ Fundchoice IRA - First Trust Energy	A	Dividend			Buy	05/26/15	K		
78.					Sold	10/22/15	J	A	
79. 1/14 interest in [redacted] acres - [redacted] and [redacted] acres - [redacted]		None	K	W					

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(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 79: 1/14 interest in [REDACTED] acres located in [REDACTED] Louisiana and 1/14 interest in [REDACTED] acres located in [REDACTED] Louisiana was given to [REDACTED] in 2015. This asset is [REDACTED] separate property and in no way associated with Judge Elizabeth Foote's assets.

Line 57: Vanguard SEP IRA has been incorrectly reported in prior years. The Vanguard SEP IRA account consists of Vanguard Prime Money Market Fund and Vanguard REIT Index funds reported separately on lines 33 and 59.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Elizabeth E. Foote**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544