

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RATEAU, JACQUELINE	2. Court or Organization DISTRICT OF ARIZONA	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE-FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES DISTRICT COURT 405 WEST CONGRESS ST SUITE 6650 TUCSON, AZ 85701-5054		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2014	W-2, EMPLOYEE, THE UNIVERSITY OF ARIZONA
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. WELLS FARGO

MORTGAGE ON RENTAL PROPERTY, PIMA COUNTY, ARIZONA

M

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP MORGAN CHASE ACCOUNTS	A	Int./Div.	J	T					
2. UNION SECURITY INSURANCE CO		None	J	T					
3. BANK OF AMERICA	A	Int./Div.	J	T					
4. ALGER AMERICAN CAPITAL APPREC		None	L	T	Sold (part)	03/31/14	J		
5. ALGER AMERICAN CAPITAL APPREC		None	L	T	Sold (part)	06/30/14	J		
6. ALGER AMERICAN CAPITAL APPREC		None	L	T	Sold (part)	07/29/14	J		
7. ALGER AMERICAN CAPITAL APPREC		None	L	T	Buy (add'l)	08/26/14	J		
8. ALGER AMERICAN CAPITAL APPREC		None	L	T	Sold (part)	09/30/14	J		
9. ALGER AMERICAN CAPITAL APPREC		None	L	T	Sold (part)	11/10/14	J		
10. ALGER AMERICAN CAPITAL APPREC		None	L	T	Sold (part)	12/31/14	J		
11. DWS MONEY MARKET	A	Int./Div.	L	T	Sold (part)	03/31/14	J		
12. DWS MONEY MARKET	A	Int./Div.	L	T	Sold (part)	06/30/14	J		
13. DEUTSCHE MONEY MARKET	A	Int./Div.	L	T	Sold (part)	07/29/14	J		
14. DEUTSCHE MONEY MARKET	A	Int./Div.	L	T	Sold (part)	08/26/14	J		
15. DEUTSCHE MONEY MARKET	A	Int./Div.	L	T	Sold (part)	09/30/14	J		
16. DEUTSCHE MONEY MARKET	A	Int./Div.	L	T	Buy (add'l)	10/16/14	K		
17. DEUTSCHE MONEY MARKET	A	Int./Div.	L	T	Sold (part)	11/10/14	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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18. DEUTSCHE MONEY MARKET	A	Int./Div.	L	T	Sold (part)	12/31/14	J		
19. DWS INTERNATIONAL		None			Sold (part)	03/31/14	J		
20. DWS INTERNATIONAL		None			Sold (part)	06/30/14	J		
21. DEUTSCHE INTERNATIONAL		None			Sold (part)	07/29/14	J		
22. DEUTSCHE INTERNATIONAL		None			Sold (part)	09/30/14	J		
23. DEUTSCHE INTERNATIONAL		None			Sold	10/16/14	K		
24. RENTAL HOME, PIMA COUNTY, ARIZONA \$162,500 (X)	D	Rent	M	W					
25.									
26.									
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes:

(See Columns B1 and D4)

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

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H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

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H2 = More than \$5,000,000

M = \$100,001 - \$250,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.									

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(See Columns B1 and D4)

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2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NAME CHANGE ON JULY 1, 2014 DWS INTERNATIONAL TO DEUTSCHE INTERNATIONAL.
NAME CHANGE ON JULY 1, 2014 DWS MONEY MARKET TO DEUTSCHE MONEY MARKET.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JACQUELINE RATEAU

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544