

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEAY, FRANK H.	2. Court or Organization US DISTRICT COURTS-EASTERN	3. Date of Report 08/24/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2014 to 12/31/2014
	5b. ☒ Amended Report	
7. Chambers or Office Address EASTERN DISTRICT OF OKLAHOMA UNITED STATES COURTHOUSE MUSKOGEE, OK 74402-0828		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	STATE OF OKLAHOMA - TEACHERS RETIREMENT SYSTEM
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1/64 WI IN OLIP,MCGRIT & DIXON, HUGHES COUNTY OK	A	Royalty	J	W	Sold	04/02/14			
2. 1/64 WI IN MCCASLIN #1 #2, SEMINOLE COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
3. 1/27 WI IN BOYD #2, HUGHES COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
4. 1/20 WI IN BE #1 & #2, HUGHES COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
5. 1/32 ORRI IN OLIPHANT #2, HUGHES COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
6. 1/20 WI IN KAMPERMAN, HUGHES COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
7. 1/40 WI IN ENNIS #1-25, SEMINOLE COUNTY, OK		None	J	W					
8. 1/40 WI IN HM#1 & #2, HUGHES COUNTY, OK	B	Royalty	J	W	Sold	04/02/14			
9. 1/64 WI IN BULL PASTURE, SEMINOLE COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
10. PRODUCING MIN INT-WILLACY CT, TX APPRAISAL 3-29-89	B	Royalty	J	W					
11. 1/64 WI IN BULL #1, SEMINOLE COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
12. 1/64 WI IN COWBOY #1 - HUGHES COUNTY, OK	A	Royalty	J	W	Sold	04/02/14			
13. 1/64 WI IN COWBOY #2 - HUGHES COUNTY, OK		None	J	W	Sold	04/02/14			
14. NON-PROD MIN INT-GARVIN, POTT & SEM CT, OK APPRAISAL 3-29-89		None	J	W					
15. PRODUCING MINERAL INT - SEMINOLE CO., OKLA	A	Royalty	J	W					
16. 1/64 WI IN JOHN W #1, SEMINOLE	A	Royalty	J	W	Sold	04/02/14			

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18. 1/124 WI IN STATE 1-16, POTTAWATOMIE CO., OK		None	J	W					
19. 1/124 WI IN STOVER 1-27, POTTAWATOMIE CO., OK		Royalty	J	W					
20. CD'S, SAVINGS & DEMAND DEPOSIT, BANCFIRST, SEMINOLE, OK	A	Interest	J	T					
21. EDWARD D. JONES ACCOUNT									
22. -EDJ-INTEREST			J	T					
23. -EDJ-BOND-GRDA OK REV	B	Interest	K	T					
24. -EDJ-BOND-CENTRAL BRADFORD PROG	A	Interest	K	T					
25. -EDJ-SANOFI SYNTHELABO SPON	A	Dividend	J	T					
26. -EDJ-HARTFORD EQUITY FUNDS	A	Dividend	J	T					
27. -EDJ-BP-PLC	A	Distribution	J	T					
28. -EDJ-CHESAPEAKE	A	Dividend	J	T					
29. -EDJ-HARTFORD EQUITY INCOME	A	Dividend	K	T					
30. -EDJ- INVESCO AMER FRANCHS FUND	A	Dividend	J	T	Buy	01/15/14	J		
31. -EDJ- SEVENTY SEVEN ENERGY INC	A	Dividend	J	T	Buy	01/02/14	J		
32. MERRILL LYNCH ACCOUNT									
33. MERRILL LYNCH-INTEREST		Interest	J	T	Closed	02/01/14			TRANSFER TO LPL

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35. -ML-BOND FUND OF AMERICA	A	Dividend	K	T	Closed	02/01/14			TRANSFER TO LPL
36. -ML-NEUROCRINE INC		None	J	T	Closed	02/01/14			TRANSFER TO LPL
37. -ML-DAVIS NY VENTURE		Dividend	J	T	Closed	02/01/14			TRANSFER TO LPL
38. -ML-FEDERATED KAUFMANN FUND		None	J	T	Closed	02/01/14			TRANSFER TO LPL
39. -ML-FIDELITY ADV DIVERSIFIED		Dividend	J	T	Closed	02/01/14			TRANSFER TO LPL
40. -ML-MUTUAL SHARES		Dividend	J	T	Closed	02/01/14			TRANSFER TO LPL
41. -ML-OPENHEIMER CAPTIAL		None	J	T	Closed	02/01/14			TRANSFER TO LPL
42. -ML-INVESCO FLOATING RATE		Dividend	L	T	Closed	02/01/14			TRANSFER TO LPL
43. METLIFE WHOLE POLICY	A	Dividend	J	T					
44. 100% INTEREST IN THE FAYE L. CRUM TRUST									
45. FLC TRUST-BANCFIRST CD	B	Interest	L	T					
46. FLC TRUST-BANCFIRST DEMAND DEPOSIT	A	Interest	J	T					
47. FLC TRUST-EDJ CAL ED FACS BOND	B	Interest	K	T					
48. FLC TRUST-EDJ GROSSMONT BD	B	Interest	K	T					
49. FLC TRUST-EDJ PENN ST TKP BOND	A	Interest	J	T					
50. FLC TRUST-EDJ OK AG MECH BOND	B	Interest	K	T					

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52. FLC TRUST-EDJ SOUTH BROWARD HOSP	B	Interest	K	T					
53. FLC TRUST-EDJ TULSA OKLA PUB FACS AUTH	B	Interest	K	T					
54. FLC TRUST-EDJ MARYSVILLE CA	B	Interest	K	T					
55. FLC TRUST-EDJ MD ST CMNTY DEV	B	Int./Div.	K	T					
56. FLC TRUST-EDJ LORD ABBETT HIGH YIELD	D	Int./Div.	L	T					
57. FLC TRUST-EDJ LORD ABBETT NATIONAL TX	A	Int./Div.	M	T					
58. FLC TRUST-EDJ IN FIN WASTEWATER REV	A	Int./Div.	J	T					
59. FLC TRUST-EDJ NE COOP	A	Int./Div.	J	T					
60. FLC TRUST-EDJ AMERICAN PWR OHIO		None	K	T					
61. FLC TRUST-RENT HOUSE 908 W. WILSON SEMINOLE, OK	C	Rent	L	Q					
62. LPL FINANCIAL									
63. LPL- INTEREST		Interest			Open				
64. LPL- AMERN INCM FD OF AMER	A	Dividend	J	T	Open				
65. LPL- BOND FUND OF AMERICA	A	Dividend	K	T	Open				
66. LPL- NEUROCRINE INC		None	J	T	Open				
67. LPL- DAVIS NY VENTURE	D	Dividend	J	T	Open				

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69. LPL- FIDELITY ADV DIVERSIFIED	A	Dividend	J	T	Open				
70. LPL- MUTUAL SHARES	A	Dividend	J	T	Open				
71. LPL- OPENHEIMER CAPITAL	C	None	J	T	Open				
72. LPL- INVESCO FLOATING RATE	C	Distribution	L	T	Open				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ON PART VII, BEGINNING ON LINE 42 THIS IS A HEADER LINE FOR THE FAYE L CRUM TRUST THAT WAS INHERITED IN 2010. ON THE FOLLOWING LINES 43 THROUGH 62, THE NOTATION FLC TRUST STANDS FOR FAYE L CRUM TRUST.

ON LINE 62 THE APPRAISAL DATE OF THE RENT HOUSE WAS JANUARY 25, 2010.

ON LINE 29, HARTFORD EQUITY FUNDS WAS ROLLED INTO HARTFORD EQUITY INCOME AT THE END OF 2014. THUS, FOR THE 2015 REPORT THERE WILL ONLY BE ONE LINE FOR HARTFORD EQUITY INCOME.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ FRANK H. SEAY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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