

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHASANOW, DEBORAH K.	2. Court or Organization U.S. DIST. CT. FOR D. OF MD.	3. Date of Report 8/7/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address RM 400 U.S. COURTHOUSE 6500 CHERRYWOOD LANE GREENBELT, MARYLAND 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust created by family member's will (created 11/2011)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. monthly	State of Maryland Retirement	\$12,052.62
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. various	Retirement Income, State of Maryland Judiciary
2. various	Private mediation
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rollover IRA, Delaware Charter Trustee (H)									
2. --Morgan Stanley Bank NA, formerly Daily Income Fund	A	Interest	K	T					
3. --Conoco Phillips	B	Dividend	K	T					
4. --Phillips 66	A	Dividend	J	T					
5. --Washington REIT SBI	A	Dividend	K	T					
6. --Vanguard Dividend Appreciation	A	Dividend	K	T					
7. --Vanguard Short-Term Corporate	A	Dividend	J	T					
8. UNIMPROVED AGRICULTURAL LAND IN ST. MARY'S COUNTY, MD.		None	L	W					
9. Ameriprise Financial AQEAX	A	Dividend	K	T					
10. Bank of America SAVINGS	A	Interest	L	T					
11. Bank of America CHECKING	A	Interest	O	T					
12. Bank of America checking	A	Interest	O	T					
13. Bank of America SAVINGS	B	Interest	M	T					
14. Morgan Stanley Bank N.A.,	A	Dividend	N	T					
15. America Movil SA	A	Dividend	K	T					
16. Equitable Life Insurance Policy--whole life	C	Dividend	M	T					
17. Hartford Life Insurance Policy--whole life on parents' lives	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SEP IRA (H)									
19. --Morgan Stanley Bank NA, formerly Daily Income fund	A	Dividend	K	T					
20. --Plum Creek Timber Co.		None			Merged (with line 21)	02/19/16	L		
21. --Weyerhaeuser Company	D	Dividend	M	T					
22. --Chevron Corp	C	Dividend	L	T					
23. --Washington REIT SBI	A	Dividend	K	T					
24. --Regions Financial Corp.	A	Dividend	J	T					
25. --Spectra Energy Corp.	B	Dividend	K	T					
26. --Johnson and Johnson	B	Dividend	K	T	Sold (part)	07/07/16	J	D	
27. --Caterpillar Inc.	B	Dividend	L	T	Sold (part)	07/07/16	J	C	
28. --Coca Cola Company	A	Dividend	K	T	Sold (part)	07/07/16	J	C	
29. --General Mills Inc.	A	Dividend	K	T	Sold (part)	07/07/16	J	D	
30. --Vanguard Intermediate Bond Fund	A	Dividend	J	T					
31. --iShares Barclays 1-3 year credit bond	A	Dividend	K	T					
32. --Conoco Phillips	B	Dividend			Sold	07/07/16	K	A	
33. --Phillips 66	A	Dividend	K	T					
34. --Rayonier Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Matthews Asian Japan Inv.	A	Dividend	K	T					
36. --Bank of America Corp	A	Dividend	K	T					
37. --iShares S&P US PFD Stk Idx	A	Dividend	J	T					
38. --Pfizer Inc	A	Dividend	K	T					
39. --Vanguard FTSE Europe ETF	A	Dividend	J	T					
40. --Wisdomtree Trust Japn Hedge EQ	A	Dividend	J	T					
41. --Calamos Market Neutral Inc	A	Dividend	K	T	Buy	07/12/16	K		
42. --Doubleline Income Solutions FD	A	Dividend	K	T	Buy	07/12/16	K		
43. --Clearbridge Energy MLP FD Inc	A	Dividend	K	T	Buy	07/12/16	K		
44. Spectra Energy Corp.	A	Dividend	J	T					
45. Anadarko Petroleum Corp.	A	Dividend	K	T					
46. HP Inc Com, formerly Hewlett Packard Co.	A	Dividend	K	T					
47. Apache Corp.	A	Dividend	K	T					
48. Bank of America Time Deposits	C	Interest	M	T					
49. Conoco Phillips	A	Dividend	K	T					
50. Phillips 66	A	Dividend	K	T					
51. iShares MSCI Germany Index	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. John Deere & Co.	B	Dividend	L	T					
53. Northern Trust Co. checking account	C	Interest	N	T					
54. B of A. Fund Strat Mngd Acct (Merrill Lynch)(no control)(H)									
55. --Allianz NFJ	A	Dividend	J	T					
56. --BIF Tax-Exempt Fund	A	Dividend	J	T					
57. --T Rowe Price Dividend	A	Dividend	J	T					
58. --Blackrock Eqty Dividend	A	Dividend	J	T					
59. --Legg Mason Western Asset	A	Dividend			Sold	05/05/16	J	A	
60. --Mainstay Large Cap	B	Distribution	J	T					
61. --MFS Value FD CL 1	A	Dividend	K	T					
62. --Blackrock Short Term Muni	A	Dividend			Sold	06/17/16	J	A	
63. --Columbia Select Large Cap	A	Dividend	J	T					
64. --The Oakmark Int'l Fund	A	Dividend			Sold	05/05/16	J	A	
65. --Clearbridge Small Cap		None			Sold	02/03/16	J	A	
66. --Oppenheimer International	A	Dividend	K	T					
67. --Harbor International	A	Distribution			Sold	10/25/16	J	A	
68. --Lord Abbett Intermediate	A	Dividend	J	T	Buy	05/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --PNC Small Cap FD 1	A	Dividend	J	T	Buy	05/05/16	J		
70. --T Rowe Price Summit Muni		None	J	T	Buy	05/05/16	J		
71. --Victory Sycamore Small	A	Dividend	J	T	Buy	07/20/16	J		
72. --SPDR S P DIVID ETF	A	Dividend			Buy	02/03/16	J		
73.					Sold	05/05/16	J	A	
74. --iShares Tr Russell 2000	A	Dividend			Buy	02/03/16	J		
75.					Sold	05/05/16	J	A	
76. --Hancock Horizon diversified Intl FD Inst					Buy	02/03/16	J		
77.					Sold	07/20/16	J	A	
78. NextEra Energy, Inc. formerly FPL Group	B	Dividend	L	T					
79. iShares Barclays TIPS bond	A	Dividend	K	T					
80. Vanguard Intermediate Bond Fund	A	Dividend	J	T					
81. iShares Barclays 1-3 Credit Bond	A	Dividend	K	T					
82. iShares MSCI Emerging Market Index Fund9	A	Dividend	J	T					
83. Capital One Bank, Bank accounts	B	Interest	M	T					
84. Apple, Inc.	B	Dividend	L	T					
85. American Woodmark Corp.		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. CF Industries Holdings, Inc.	B	Dividend	K	T					
87. Schlumberger Ltd.	A	Dividend	K	T					
88. General Mills Inc.	A	Dividend	K	T					
89. iShares MSCI Japan ETF	A	Dividend	K	T					
90. Gent Dynamics Corp	A	Dividend	K	T					
91. The India Fund Inc	A	Dividend	K	T					
92. Vanguard FTSE Europe ETF	A	Dividend	K	T					
93. Vanguard FTSE Pacific ETF	A	Dividend	K	T					
94. Vanguard Mid-Cap ETF	A	Dividend	L	T					
95. Vanguard Small Cap ETF	B	Dividend	L	T					
96. Wisdomtree Europe Hedged Equit	A	Dividend	K	T					
97. Wisdomtree Trust India	A	Dividend	K	T					
98. Wisdomtree Trust Japn Hedge EQ	A	Dividend	K	T					
99. Wells Fargo & Co New	A	Dividend	K	T	Buy	9/20/16	K		
100. Blackstone REIT	A	Interest	K	T	Buy	12/28/16	K		
101. Trust Under Family Member's Will (H)									
102. --Property in Sussex Co. Delaware		None	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. --Bank of America checking	A	Interest	M	T					
104. --Plains All American Pipeline LP	B	Distribution	K	T					
105. --Energy Transfer Partners LP	C	Distribution	K	T					
106. --Enterprise Prods Partners LP	B	Distribution	K	T					
107. --Clearbridge Energy MLP Tot Ret	C	Distribution	K	T					
108. --MPLX LP	B	Distribution	K	T					
109. --Merck & Co Inc	B	Dividend	K	T	Sold (part)	11/21/16	K	C	
110. --Nestle Spon ADR Rep	B	Dividend	K	T					
111. --Targa Res Ptnrs LP	B	Distribution			Sold	02/17/16	J	A	
112. --Vodafone GP Plc ADS NEW	A	Dividend			Sold	07/26/16	J	A	
113. --BCE Inc.	B	Dividend	K	T					
114. --Unit First Trust Deep Value Sub 10 Div. 10 (X)					Sold	09/22/15	K	A	
115. --Unit Van Kampen Morgan Stanley Stocks for 2016	A	Dividend			Sold	04/25/16	L	E	
116. --First TR Morningstar Div	B	Dividend	K	T	Sold (part)	11/21/16	K	D	
117. --First Trust DJ GL Sel DVD	B	Dividend	K	T					
118. --AT&T Inc	B	Dividend	K	T					
119. --Clearbridge Ameren Engy MLP FND	B	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --First Trust New Opp MLP&ENE FD	B	Distribution	K	T					
121. --Unit Guggenheim SMID Dividend Strength 1	A	Dividend			Sold	02/23/16	J	A	
122. --Unit Guggenheim European High Dividend 2	A	Dividend			Sold (part)	03/25/16	J		
123.					Sold	05/16/16	J	A	
124. --Verizon Communications	A	Dividend	J	T	Sold (part)	05/16/16	K	A	
125. --Unit First Trust Capital Strength Buy- Write 25	A	Distribution	K	T	Sold (part)	09/25/16	J	A	
126. --Unit First Trust Dividend Strength 27	C	Dividend	M	T					
127. --Unit First Trust Dividend Strength 28	A	Distribution	K	T	Sold (part)	12/25/16	J	A	
128. --Unit Invesco S&P Dividend Sustainability 2015-3	A	Distribution	K	T					
129. --Unit First Trust Deep Value Dividend 8	B	Dividend	K	T					
130. --Johnson & Johnson	A	Dividend	K	T					
131. --NRG Yield Inc.	A	Distribution	K	T					
132. --Unit Guggenheim SMID Dividend Strength 4	A	Dividend	K	T	Buy	02/23/16	K		
133. --Unit Guggenheim Dividend Strength 20	A	Dividend	L	T	Buy	05/10/16	L		
134. --iShares Nat Mun BN ETF (MUB)	A	Dividend	J	T	Buy	07/26/16	J		
135. --Nuveen Intermediate Duration (NIQ)	A	Dividend	K	T	Buy	05/26/16	K		
136. --Unit Invesco Stocks for 2019	A	Dividend	L	T	Buy	04/25/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --CISCO sys Inc	A	Dividend	K	T	Buy	05/26/16	K		
138. --GlaxoSmithKlein PLC ADS	A	Dividend	K	T	Buy	05/26/16	K		
139. --Targa Resources CP	A	Dividend	K	T	Buy	02/17/16	J		
140. --Morgan Stanley Bank	A	Interest	L	T	Open	01/01/16	L		
141. --Wash Real Est Inv Tr Maryland	A	Dividend	K	T	Buy	05/26/16	K		
142. --Cummins Inc		None	J	T	Buy	11/21/16	J		
143. --Caterpillar Inc		None	J	T	Buy	11/21/16	J		
144. --Berkshire Hathaway CL-B New		None	K	T	Buy	11/21/16	K		
145. --JP Morgan Alerian MLP Index ETN		None	K	T	Buy	11/21/16	K		
146. --S & P 500 Index fund	A	Dividend	K	T	Buy	11/21/16	K		
147. JAMS, Inc/Endispute	E	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 114. Asset sold in 2015 and inadvertently failed to report in 2015.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

CHASANOW, DEBORAH K.

Date of Report

8/7/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ DEBORAH K. CHASANOW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544