

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REAVLEY, THOMAS M.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 08/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street, Room 11009 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	State of Texas, Judicial Retirement	\$64,650.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Norton Rose Fulbright Defined Benifit Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank (Houston)		None	N	T					
2. Royalty (Hendrix) Pecos County, Texas	A	Royalty	J	W					
3. Minerals (Rock Cliff Energy formerly Samson) Nacogdoches County, Texas	D	Royalty	L	W					
4. Minerals (Apache) Nacogdoches County, Texas		None	J	W					
5. Chase Bank (Houston)	A	Interest	J	T					
6. Minerals (Chesapeake) Nacogdoches County, Texas		None	J	W					
7. Fee Land, Llano County, Texas		None	K	W					
8. Prudential Insurance Company - Whole Life Policy	A	Int./Div.	J	T					
9. Minerals (Indigo) Nacogdoches County, Texas	C	Royalty	M	W					
10. Vallence Operating Co., Panola County, Texas	C	Royalty	M	W					
11. Minerals (AETHON) Nacogdoches County, Texas	B	Royalty	J	W					
12. Minerals (Patara & Gulf Coast) Shelby County, Texas	A	Royalty	J	W					
13. Minerals Nacogdoches County, Texas		None	J	W					
14. Minerals (XTO) Nacogdoches County, Texas		None	J	W					
15. Minerals (Delta Petroleum) Newton County, Texas		None	J	W					
16. Texas State Bond 5% Due 10/1/22	A	Interest	M	T					
17. Brookston Energy, Shelby County Texas	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Massachusetts State Bond 5.25% Due 08/01/21	C	Interest	L	T					
19. Texas GO Series B Bond 4% Due 4/01/24	C	Interest	M	T					
20. Apple Common Stock	B	Dividend	L	T					
21. Walt Disney Common Stock	A	Dividend	L	T					
22. Arkansas State Bond 4% Due 06/01/24	B	Interest	L	T					
23. Stifel Bank & Trust - cash account	A	Interest	M	T					
24. American Express Centurion Bank - cash account	A	Interest			Closed	04/30/18	J		
25. Minerals (British Petroleum) Panola County, Texas	B	Royalty	J	W					
26. Minerals (Amplify Energy formerly Memorial Production) Panola Cty TX	A	Royalty	J	W					
27. Minerals (CDR), Shelby County, Texas	A	Royalty	J	W					
28. Minerals (CCI Gulf Coast), Nacogdoches County, Texas	A	Royalty	J	W					
29. Bank of Baroda - cash account	A	Interest	M	T	Open	01/30/18	M		
30. Stifel Bank - cash account	A	Interest	M	T	Open	12/31/18	M		
31. American Express National Bank - cash account	A	Interest			Open	04/30/18	M		
32.					Closed	12/31/18	J		
33. Spouse Holdings Listed Below:									
34. BBVA Compass Bank Houston, TX (Money Market)	A	Interest	N	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. J.P. Morgan Chase Common Stock (formerly J.P. Morgan & Co.)	B	Dividend	L	T					
36. General Electric Co. Common Stock	A	Dividend	K	T					
37. IBM Common Stock	B	Dividend	K	T					
38. Exxon Mobil Corp. Common Stock	C	Dividend	L	T					
39. BBVA Compass Bank Checking Account		None	K	T					
40. T. Rowe Price Small Cap Value Fund	B	Distribution	N	T					
41.		Dividend							
42. T. Rowe Price New Era Fund	B	Distribution	L	T					
43.		Dividend							
44. Northwestern Mutual Whole Life Policies - See Part VIII	E	Dividend	O	V					
45. Abbott Labs Common Stock	B	Dividend	L	T					
46. Illinois Tool Works Inc Common Stock	E	Dividend	O	T					
47. Proctor & Gamble Common Stock	C	Dividend	M	T					
48. Northwestern Mutual Variable Annuity Policy (Son, Annuitant)		None	M	T					
49. Tarrant Cnty Tex Hea B LTH FACS Dev Corp 5% Bonds-12/1/2019	A	Interest			Redeemed	02/01/18	L		Issuer
50. Northwestern Mutual Insurance Service Account	A	Interest	J	T					
51. Glaxosmithkline PLC	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. Texas GO 5% Bonds Due 10/1/2019	C	Interest	M	T					
53. Phoenix AZ Rev. 5% Bonds Due 7/1/2018	B	Interest			Redeemed	07/02/18	L		Issuer
54. Chevron Corp.	C	Dividend	L	T					
55. Royal Dutch Shell	C	Dividend	L	T					
56. Dallas Cnty TX Cmnty College GO 5% Bonds Due 2/15/21	B	Interest			Redeemed	02/15/18	L		Issuer
57. Massachusetts GO 5.25% Bonds Due 8/1/2021	D	Interest	M	T					
58. Caterpillar Common Stock	C	Dividend	M	T					
59. Abbvie Inc. Common Stock	C	Dividend	L	T					
60. Home Depot Common Stock	B	Dividend	L	T					
61. Microsoft Common Stock	C	Dividend	M	T					
62. Apple Common Stock	B	Dividend	L	T					
63. Walt Disney Common Stock	A	Dividend	K	T					
64. Wisconsin Clean Water Bond 4% Due 6/01/23	C	Interest	M	T					
65. Texas GO Series B Bond 4% Due 4/01/24	C	Interest	M	T					
66. Arkansas GO Higher Ed Bond 4% Due 6/1/24	C	Interest	M	T					
67. Stifel Bank & Trust - cash account	A	Interest	M	T					
68. American Express Centurion Bank - cash account	A	Interest			Closed	04/30/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Dreyfus Appreciation Fund (401(k))	E	Int./Div.	M	T	Sold (part)	12/03/18	K		
70. Fidelity Contra Fund (401(k))		None	N	T	Sold (part)	12/03/18	L		
71. Vanguard Prime MM (401(k))	A	Int./Div.	J	T	Sold (part)	12/03/18	J		
72. FIAM Index TD 2005 U (401(k))		None			Closed	04/23/18	J		
73. Vanguard High Dividend Yield ETF	C	Dividend	M	T					
74. Harris County TX Met Tran Bond 5% Due 11/1/26	C	Interest	M	T					
75. Oklahoma Dev Fin Auth Bond 5% Due 6/1/22	C	Interest	M	T					
76. Comwlth Fing Auth PA Bond 5% Due 6/1/25	C	Interest	M	T					
77. Lumberton TX Mud Util Sys Rev Bond 5% Due 8/15/20	B	Interest	M	T	Buy	05/07/18	M		
78. Galveston County TX Mud 44 Bond 5% Due 9/1/23	B	Interest	M	T	Buy	05/07/18	M		
79. College Station TX Indpt Sch Dist Bond 5% Due 8/15/25	B	Interest	M	T	Buy	05/11/18	M		
80. Connecticut ST ETC Ser E 5% Due 10/15/26	A	Interest	M	T	Buy	11/05/18	M		
81. Pennsylvania ST RFDG 2nd Ser B/E 5% Due 1/15/28	A	Interest	M	T	Buy	11/05/18	M		
82. Stifel Bank - cash account	A	Interest	L	T	Open	12/31/18	L		
83. American Express National Bank - cash account	A	Interest			Open	04/30/18	M		
84.					Closed	12/31/18	J		
85. Bank of Baroda - cash account	A	Interest			Open	02/28/18	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Closed	12/31/18	J		
87. FIAM Index TD 2005 V (401(k))		None			Buy	04/23/18	J		
88.					Closed	10/26/18	J		
89. FIAM Index TD 2005 S (401(k))		None	J	T	Buy	10/26/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I am married to Circuit Judge Carolyn Dineen King.

In response to Part VII #44C, Judge King has reported the aggregate cash surrender value for the whole life policies. In response to part VII #44B, Judge King has shown the aggregate amount of the dividends on those policies, which are used to pay the premiums and increase the cash surrender value.

Part VII #3 - Rock Cliff Energy formerly Samson

Part VII #13 - This year no royalty was received

Part VII #14 - This year no royalty was received

Part VII #26 - Amplify Energy formerly Memorial Production

Part VII #27-28 - I believe that these last two royalty interests are only name changes from assets previously listed in the annual reports; they are not newly acquired in 2018; however, I do not have the information necessary to be able to correlate these interests to previously reported mineral operator names that generated income in 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **THOMAS M. REAVLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544