

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Godbey, David C.	2. Court or Organization Northern District of Texas	3. Date of Report 12/21/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U. S. District Judge 1100 Commerce Street Room 1504 Dallas Tx 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executor 2 estates	Executorships, See Part VII. Lines 6-26
2. Trustee	Family Trust, See Part VII, Lines 47-52
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Self employed, attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch - Retirement (H)									
2. -Vanguard Institutional Index Fund	E	Dividend	O	T	Buy (add'l)	06/30/16	K		
3. -Vanguard Short Term Investment Grade Fund ADM Class	D	Dividend	M	T	Buy (add'l)	06/30/16	J		
4. JPMorgan Chase Bank Accounts	A	Interest	K	T					
5. Vanguard Wellington Fund Admiral	D	Dividend			Sold	04/08/16	M	C	
6. JPMorgan Chase Bank Accounts DOD 8-16-14 (2)	A	Interest	K	T					
7. JP Morgan Chase Bank Account DOD 6-18-12	A	Interest	M	T					
8. Scottrade Brokerage #1 (H)									
9. - SPDR S&P 500 ETF Trust	B	Dividend			Distributed	11/15/16	M		1/2 to other beneficiary
10. - SPDR S&PMidcap 400ETF Tr	B	Dividend			Distributed	11/15/16	M		1/2 to other beneficiary
11. - Vanguard Int-Term Corp	D	Dividend			Distributed	11/15/16	N		1/2 to other beneficiary
12. - Vanguard FTSE Dev Mkts	B	Dividend			Distributed	11/15/16	M		1/2 to other beneficiary
13. - Bank deposit program	A	Interest			Distributed	11/15/16	M		1/2 to other beneficiary
14. Scottrade Brokerage #2 (H)									
15. - SPDR S&P 500 ETF Trust	A	Dividend			Distributed	07/29/16	L		1/2 to other beneficiary
16. - SPDR S&PMidcap 400ETF Tr	A	Dividend			Distributed	07/29/16	L		1/2 to other beneficiary
17. - Vanguard Int-Term Corp	C	Dividend			Distributed	07/29/16	M		1/2 to other beneficiary

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Vanguard FTSE Dev Mkts	B	Dividend			Distributed	07/29/16	L		1/2 to other beneficiary
19. - Bank deposit program	A	Interest	J	T					
20. -Aberdeen Asia-Pacific Income FAX (X)	A	Dividend	J	T					
21. -National Ret Prp NNN (X)	A	Dividend	J	T					
22. -Public Service Entprz Group PEG (X)	A	Dividend	J	T					
23. -Telefonica deEspana TEF (X)	A	Dividend	J	T					
24. -Texas Pacific Land & Trust TPL (X)	A	Dividend	M	T					
25. Hawaii Electric Industries Inc (X)	A	Dividend	J	T					
26. Putnam Tax Exempt Income-A (X)	A	Dividend	J	T					
27. Schwab Brokerage (H)									
28. - Sch Adv Cash Reserve	A	Dividend	J	T					
29. - DFA One Year Fixed Inc Port Instl DFIHX	A	Dividend	L	T					
30. - DFA Two Year Global Fixed Inc Port Instl DFGFX	A	Dividend	K	T					
31. - DFA Emerging Mkts Sm Cap Port Instl DEMSX	B	Dividend	K	T					
32. - DFA Emerging Mkts Value Port Instl DFEVX	A	Dividend	K	T					
33. - DFA Intl Sm Cap Grwth Instl DISMX	A	Dividend	K	T					
34. - DFA Intl Sm Cap Value Port Instl DISVX	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - DFA Real Estate Secur Port Instl DFREX	B	Dividend	K	T	Sold (part)	09/07/16	J	A	
36. - DFA US Lg Cap Grwth Instl DUSLX	A	Dividend	K	T					
37. - DFA US Lg Cap Value Port Instl DFLVX	A	Dividend	K	T					
38. - DFA US Micro Cap Port Instl DFSCX	A	Dividend	K	T					
39. - DFAUS Sm Cap Grwth Instl DSCGX	A	Dividend	J	T					
40. - DFA US Sm Cap Value Port Instl DFSVX	B	Dividend	K	T					
41. Vanguard Inter-Term Corp Bond Ix Ad	E	Dividend	O	T					
42. Vanguard Total Stock Mkt Idx Adm	E	Dividend	P1	T					
43. Vanguard Prime MMA	A	Dividend	L	T	Sold (part)	04/07/16	L	A	
44.					Sold (part)	07/21/16	J	A	
45.					Sold (part)	08/09/16	J	A	
46.					Buy (add'l)	11/15/16	K		
47. Scottrade Brokerage #3(H)									
48. - SPDR S&P 500 ETF Trust	A	Dividend	L	T	Spinoff (from line 9)	11/15/16	L		
49. - SPDR S&PMidcap 400ETF Tr	A	Dividend	L	T	Spinoff (from line 10)	11/15/16	L		
50. - Vanguard Int-Term Corp	C	Dividend	M	T	Spinoff (from line 11)	11/15/16	M		
51. - Vanguard FTSE Dev Mkts	A	Dividend	L	T	Spinoff (from line 12)	11/15/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Bank deposit program	A	Interest	L	T	Spinoff (from line 13)	11/15/16	L		
53. Scottrade Brokerage #4(H)									
54. - SPDR S&P 500 ETF Trust	A	Dividend	L	T	Spinoff (from line 15)	07/29/16	L		
55. - SPDR S&PMidcap 400ETF Tr	A	Dividend	L	T	Spinoff (from line 16)	07/29/16	L		
56. - Vanguard Int-Term Corp	C	Dividend	M	T	Spinoff (from line 17)	07/29/16	M		
57. - Vanguard FTSE Dev Mkts	A	Dividend	L	T	Spinoff (from line 18)	07/29/16	L		
58. -Bank deposit program	A	Interest	L	T	Spinoff (from line 19)	07/29/16	L		
59. Fergus Oil & Gas LLC DOD 6/18/12 (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 6 through 26 Respondent was Executor of two family member's estates. Dates of death were June 18,2012 and August 16, 2014.

Part VII, Lines 20 through 26 additional assets discovered under estate administration

Part VII, Line 47 through 52 were from a trust created under estate administration.

Part VII, Lines 53 through 58 were inherited from administration of estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Godbey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544